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Search for Flavor Changing Neutral Currents in Top Quark Decays at CDF



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What are Flavor Changing Neutral Currents?

The CDF Experiment at the Tevatron

Top Quark Physics at CDF

Search for FCNC in Top Quark Decays

Summary & Conclusions





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Standard Model of Particle Physics





- Matter in the standard model: 12 fermions in three generations
 - Six quarks and their anti-particles
 - Six leptons and their anti-particles
- Forces in the standard model:
 - Strong force (carrier: gluon)
 - Electroweak force (carriers: photon, W[±] bosons, Z boson)
- Interactions = "currents" coupling to gauge bosons, e.g. electromagnetic current



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Flavor Changing Neutral Currents



- Flavor changing neutral current (FCNC):
 - Transition: from a quark q of flavor A and charge
 Q to quark q' of flavor B with the same charge Q
 - Examples: $b \rightarrow s\gamma$, $t \rightarrow cH$, ...
- 1960s: only three light quarks (u,d,s) known, mystery in neutral kaon system:





Flavor Changing Neutral Currents



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- Solution: "GIM Mechanism" (Glashow, Iliopoulos, Maiani, 1970)
 - Fourth quark needed for cancellation in box diagram: prediction of charm quark
 - Cancellation exact if all quarks had the same mass: estimate of charm quark mass



Top FCNC & New Physics



- Top FCNC not at tree level, only in higher orders → very rare in SM: B(t→Zq) ≈ 10⁻¹⁴ (q=u,c)
- Top FCNC enhanced in many models of physics beyond the SM
 → signal at CDF = new physics
- Enhancement mechanisms:
 - FCNC interactions at tree level
 - Weaker GIM cancellation by new particles in loop corrections
- Examples:
 - New quark singlets: Z couplings not flavor-diagonal → tree level FCNC
 - Two Higgs doublet models
 - Supersymmetry: gluino/neutralino and squark in loop corrections







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Model	BR $(t \rightarrow Zq)$			
Standard Model	$O(10^{-14})$			
q = 2/3 Quark Singlet	$O(10^{-4})$			
Two Higgs Doublets	$\mathcal{O}(10^{-7})$			
MSSM	$\mathcal{O}(10^{-6})$			
<i>R</i> -Parity violating SUSY	$\mathcal{O}(10^{-5})$			
[after J.A. Aguilar-Saavedra, Acta Phys. Polon. B35 (2004) 2695]				

Previous Searches for Top FCNC



• CDF Run I search:

F. Abe *et al.*, PRL **80** (1998) 2525.

- Signature: Z → I⁺ I⁻ + 4 jets (1 b-jet)
 → starting point for Run II analysis
- Limit on BR(t \rightarrow Zq): 33%



LEP searches:

P. Achard *et al.* (L3), Phys. Lett. **B549** (2002) 290.
G. Abbiendi *et al.* (Opal), Phys. Lett. **B521** (2001) 181.
J. Abdallah *et al.* (Delphi), Phys. Lett. **B590** (2004) 21.
A. Heister *et al.* (Aleph), Phys. Lett. **B453** (2002) 173.

- Anomalous single top production in e⁺e⁻ collisions
- Very similar results among all LEP experiments, best limit on BR(t→Zq): 13.7% (L3)



DØ: *t*-channel production \rightarrow top + 1 jet final state: W+2 jets











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Tevatron Run II: 2001–2009 (2010?)



Fermi National Accelerator Laboratory – Aerial View



[Fermilab Visual Media Service]

- Proton-antiproton collider: $\sqrt{s} = 1.96$ TeV
- 36×36 bunches, collisions every 396 ns
- Record instantaneous peak luminosity: $372 \ \mu b^{-1} \ s^{-1}$ $(1 \ \mu b^{-1} \ s^{-1} = 10^{30} \ cm^{-2} \ s^{-1})$
- Integrated luminosity goal: 7.8–9.3 fb⁻¹ by 2010
- Running in 2011 currently under discussion: 12 fb⁻¹
- Two multi-purpose detectors: CDF and DØ





- Tevatron continues to perform extremely well:
 - More than 7 fb⁻¹ delivered by Tevatron as of October 11, 2009
 - More than 5.8 fb⁻¹ recorded by CDF









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Brief history of top quark discovery:

- 1977: Y discovery bottom quark
- 1980s: Searches for "light" top (mt < mw): isospin partner of bottom at PETRA, SppS, LEP, CDF Run 0
- 1992/3: Tevatron Run I starts, first indications for top quark production
- March 2, 1995: CDF and DØ announce top quark discovery

The Discovery of the Top Quark

Finding the sixth quark involved the world's most energetic collisions and a cast of thousands

by Tony M. Liss and Paul L. Tipton

[Scientific American, September 1997]

VIOLENT COLLISION between a proton and an antiproton (*center*) creates a top quark (*red*) and an antitop (*blue*). These decay to other particles, typically producing a number of jets and possibly an electron or positron.





- The top is heavy: $m_t \approx 173 \text{ GeV}/c^2$ (40× m_b , approx. mass of gold atom)
- Mass close to scale of electroweak symmetry breaking (EWSB), top Yukawa coupling *f* ≈1:

$$\mathscr{L}_{\text{Yuk},t} = f \frac{v}{\sqrt{2}} \, \bar{t}_L t_R \equiv m_t \, \bar{t}_L t_R$$

(vacuum expectation value of Higgs field: $v/\sqrt{2} \approx 178$ GeV) → Important role in EWSB models

Top is the only "free" quark: lifetime shorter than hadronization time

$$\tau = \frac{1}{\Gamma} \approx \frac{1}{1.5 \, \text{GeV}} < \frac{1}{\Lambda_{\text{QCD}}} \approx \frac{1}{0.2 \, \text{GeV}}$$

 \rightarrow No spectroscopy of bound states \rightarrow Spin transferred to decay products



Top Pair Production at the Tevatron



Top production is rare: one top quark pair produced every 10 billion collisions

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85% q**q** → t**t**: 0000 15% gg → tī: 0000



Analyzing Top Quark Events



	₩- →	hadrons	τ	μe
hadrons		All Hadronic (S/B ≈ 0.04)	Lepton+ т	Lepton + Jets (S/B ≈ 1)
Ч		Lepton+ τ		
t 0 ⊐	L	epton + Jets (S/B ≈ 1)		Dilepton (S/B \approx 3)

- Top decay in the standard model: t → Wb (BR ≈ 100%)
- tt decay signatures characterized by W decays:
 - All-Hadronic: 45% of all decays, large QCD background
 - Lepton+Jets: 30% of all decays, the "gold-plated" channel
 - Dilepton: 5% of all decays, very clean, but small branching fraction
- Main background process: "W+Jets" (production of W bosons in association with jets)
- tt
 events contain two b quarks:
 "b-tagging" (identification of jets from b quarks) crucial





- High p_T electron identification:
 - Isolated charged particle track (no nearby tracks)
 - Almost all energy deposited in electromagnetic calorimeter
- High p_T muon identification:
 - Isolated charged particle track (no nearby tracks)
 - Little energy in calorimeters
 - "Stub" in dedicated muon detector
- Parton identification:
 - Reconstruct energies of jets, not partons
 - Jet energy scale (JES) correction: estimate parton energies from "raw" jet energies



Secondary Vertex B-Tagging





- CDF's standard "SecVtx" algorithm:
 - Long lifetime of B mesons: detect displaced secondary vertex
 - Main discriminant: significance of displacement in xy plane (L_{xy})

Top Basics: Mass and Cross Section



Top Cross Section (Lepton+Jets): Very Pure Top Sample

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- Double SecVtx tag with 1.9 fb⁻¹: $\sigma_{t\bar{t}} = 8.8$ pb
- Background cocktail used in many top analyses
- Normalization mode for FCNC analysis

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Top Mass Combination 2009: 0.7% Uncertainty



CDF's Top Properties Program



Top Physics Makes Prime Time!

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DESY









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Search for FCNC in Top Quark Decays






Search for FCNC in Top Quark Decays

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- Basic question: how often do top quarks decay into Zq?
- Result: discovery of top FCNC or limit on branching fraction B(t → Zq), where q=u,c
- Selected decay channels for $t\bar{t} \rightarrow Zq$ Wb:
 - Z → charged leptons: very clean signature, lepton trigger
 - W → hadrons: large branching fractions, no neutrinos (→ event can be fully reconstructed)
- Final signature: Z + ≥4 jets







Z Boson Reconstruction



Electron Coverage

Muon Coverage



- Simple trigger: single e/μ with $p_T > 18$ GeV/c
- Sharp Z resonance, good lepton p_T resolution \rightarrow mass window: 76 GeV/ $c^2 < M_{\parallel} < 106$ GeV/ c^2
- Enhancing the Z acceptance for this analysis:
 - Allow second lepton to be isolated track

 → doubles Z acceptance w.r.t. standard lepton selection
 - Correct track momentum with calorimeter energy \rightarrow 3% more dielectron pairs



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Mass x² Details





- Jet-parton assignment unknown:
 - Check all 12 possible combinations of four highest E_T jets
 - Pick combination with lowest mass χ^2
- "Fix" reconstructed W/Z masses
 - Vary momenta of W/Z daughters within resolution to adjust masses
 - Improves mass resolution → better sensitivity
- Widths reflect mass resolutions as measured in MC simulation:
 - $\sigma_{W,rec} = 15 \text{ GeV}/c^2$
 - $\sigma_{t \rightarrow Wb, rec} = 24 \text{ GeV}/c^2$
 - $\sigma_{t \rightarrow Zq, rec} = 21 \text{ GeV}/c^2$





How do you search for a signal that is likely not there? Understand the background!

Standard Model Background	Signature	Importance	Estimated from
Z+Jets Production	Real Z boson, very similar to FCNC signal	Dominant, most difficult to estimate	Data (normalizations) & MC (shapes)





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Diboson Production: WZ, ZZ	Real Z boson	Small, more important if b-tag required (Z→bb̄)	Monte Carlo
Others: W+Jets, WW Production	No real Z boson	Negligible	Monte Carlo & Data



Z+Jets Production



MC tool for Z+Jets: ALPGEN

- Modern MC generator for multiparticle final states (exact 2→n matrix elements), PYTHIA for parton showers
- "MLM matching": remove overlap between jets from matrix element and partons showers

• Comparing ALPGEN with data:

- Leading order generator: no absolute prediction for cross section
- Underestimate of number of events with large jet multiplicities, large uncertainties
- Our strategy: only shapes of kinematic distributions from MC, normalization from control samples in data



Separating Signal from Background



• Mass χ^2 : combination of mass constraints – best discriminator

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$$\chi^{2} = \left(\frac{m_{W,\text{rec}} - m_{W}}{\sigma_{W}}\right)^{2} + \left(\frac{m_{t \to Wb,\text{rec}} - m_{t}}{\sigma_{t \to Wb}}\right)^{2} + \left(\frac{m_{t \to Zq,\text{rec}} - m_{t}}{\sigma_{t \to Zq}}\right)^{2}$$

- Transverse mass: top decays (including FCNC) are more central than Z+jets $M_T = \sqrt{\left(\sum E_T\right)^2 - \left(\sum \vec{p}_T\right)^2}$
- Jet transverse energies: FCNC signal has four "hard" jets, background processes: jets have to come from gluon radiation





- Requiring a SecVtx b-tag?
 - Advantage: Better discrimination against Z+jets
 - Disadvantage: Reduction of data sample size
- Solution: use both!
 - Split sample in tagged and anti-tagged
 - Combine samples in limit calculation
- Need to take into account event migration between samples
 - Correlated systematic uncertainties: affect samples in same direction
 - Anti-correlated uncertainties: move events between samples (e.g. b-tagging efficiency)



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Acceptance Algebra: Catch 22?



- Question: how do we convert event counts into limit on B(t→Zq)?
 - Circular dependency #1: Limit calculation requires signal acceptance, but signal acceptance depends on limit
 - Circular dependency #2: Measure limit on fraction of tt production cross section, but cross section changes with changing FCNC contribution



Acceptance Algebra: Catch 22?



- Question: how do we convert event counts into limit on B(t→Zq)?
 - Circular dependency #1: Limit calculation requires signal acceptance, but signal acceptance depends on limit
 - Circular dependency #2: Measure limit on fraction of tt production cross section, but cross section changes with changing FCNC contribution
- Solution: "running acceptance" functional form of dependencies implemented in limit machinery
 - Signal acceptance dynamically adjusted as a function of B(t→Zq)
 - Signal normalized to measured tt
 production cross section
 measurement
 - tt
 tr
 cross section re-interpreted as a function of BR(t→Zq) to allow for FCNC contribution







Search for FCNC in Top Quark Decays

Basic Ingredients: Signal and Background

> Round I: Counting Experiment

> > Round II: Template Fit

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Blind Counting Experiment: Outline





- Blind analysis: avoid biases by looking into the data too early
- Analysis strategy :
 - Blind signal region: Z + ≥ 4 jets
 (minus control region in Z + ≥ 4 jets)
 - Optimization on data control regions and Monte Carlo (MC) simulation only (event selection, prediction of backgrounds, systematic uncertainties)
 - Very last step: "opening the box", i.e. look into signal regions in data (tagged and anti-tagged)





- Selection cuts optimized for best expected limit (in the absence of an FCNC signal)
 - Separately for tagged and antitagged sample
 - Expected 95% C.L. upper limit on B(t→Zq): 6.8% ± 3.0% (L3 limit: 13.7%)
- Background estimate: from data
 - Fit to tail of mass χ² distribution (little FCNC signal)
 - Use mass χ² shape from MC to estimate total background
 - Tagging rate: similar technique

Final Event Selection

Kinematic Variable Optimized Cut

Z Mass	\in [76,106] GeV/ c^2
Leading Jet E_T	$> 40 \mathrm{GeV}$
Second Jet E_T	$> 30 \mathrm{GeV}$
Third Jet E_T	$> 20 \mathrm{GeV}$
Fourth Jet E_T	> 15 GeV
Transverse Mass	$> 200 \mathrm{GeV}$
$\sqrt{\chi^2}$	< 1.6 (<i>b</i> -tagged)
	< 1.35 (anti-tagged)







• Opening the box with 1.12 fb⁻¹



- Event yield consistent with background only
- Fluctuated about 1σ high: slightly unlucky
- Result: The World's Best Limit!

B(t→Zq) < 10.4% @ 95% C.L.

- Expected limit: 6.8% ± 3.0%
- 25% better than L3 (13.7%)
- 3x better than CDF Run I (33%)

Selection	Observed	Expected
Base Selection	141	130±28
Base Selection (Tagged)	17	20 ± 6
Anti-Tagged Selection	12	$7.7{\pm}1.8$
Tagged Selection	4	$3.2{\pm}1.1$

Mass χ² (95% C.L. Upper Limit)









Search for FCNC in Top Quark Decays



Round I: Counting Experiment

Round II: Template Fit





- 70% more data: update with 1.9 fb⁻¹
- More sensitivity: template fit to $\sqrt{\chi^2}$ shape
 - Exploit full shape information
 - Reduce sensitivity to background normalization
- Build on previous experience:
 - Same event selection
 - Same acceptance algebra
 - Same method of calculating (most) systematic uncertainties





Mass x² Template Fitting



- Strategy: fit signal and background templates to mass χ² distribution → extract B(t→Zq)
- Advantage: reduced uncertainty
 - Dominant uncertainty in counting experiment: absolute prediction of Z +Jets background
 - Fit total background and tagging rate
 → uncertainty reduced
- Challenge: shape systematics
 - Need to account for systematic uncertainties of template shape (in addition to rate uncertainties)
 - Investigated many sources, dominant effect: jet energy scale



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Shape Uncertainties





- Dominant uncertainty: jet energy scale (JES)
 - Translation from "raw" jet energy to partons energy
 - Many corrections: detector effects, neutral particles, underlying event, out-of-cone partons …
 → JES uncertainty ±σ_{JES}
- Much smaller uncertainty: ALPGEN Z+jets MC simulation
 - Tunable parameters: factorization/ renormalization scale, vertex Q² scale
 - Big effect on jet multiplicity, small effect on mass χ² shape





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- Treatment of shape uncertainties:
 - Assume that all shape uncertainties are due to JES
 - All others: much smaller effect \rightarrow treated as systematic uncertainty
- Template fit: allow JES to float
 - Fitter knows how to "morph" templates → linear interpolation between normalized cumulative distribution functions (C.D.F.)
 - JES shift = free parameter in the fit







- Challenge: control shape uncertainties but don't "morph away" a possible small signal
- Solution: add a control region
 - Definition: event fails at least one optimized cut (jet E_T , M_T)
 - Only 12% FCNC signal, but 67% Z+jets







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 - Definition: event fails at least one optimized cut (jet E_T , M_T)
 - Only 12% FCNC signal, but 67% Z+jets
- Additional benefit: constrain Z+jets background
 - Trust MC within a jet bin, but not across jet bins
 - Use amount of Z+jets found in control region to constrain signal regions to within 20%







- Interpretation of fitted B(t→Zq): Feldman-Cousins (FC) method [G.J. Feldman, R.D. Cousins, Phys. Rev. D57 (1998) 3873]
- FC answers the question: "What range of true values are likely to lead to the fitted value?"
- FC features:
 - Measurement or limit \rightarrow data decide
 - Coverage of confidence intervals guaranteed
- Our implementation:
 - Includes systematic uncertainties
 - Based on "pseudo-experiments"







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What are Pseudo-Experiments?

- Simulated experiments from MC
- Smear MC templates according to all known correlations and systematic uncertainties
- * Draw Poisson random numbers from smeared MC templates \rightarrow mass χ^2 distribution
- ★ Fit as in data \rightarrow "measured" B(t \rightarrow Zq)
- Rinse and repeat...



Fit to the Data



Best Fit to Mass χ²






FCNC Feldman-Cousins Band (95% C.L.)





Summary



B(t→Zq) < 3.7% @ 95% C.L.

- Expected limit: 5.0% ± 2.2%
- Order of magnitude improvement over CDF Run I (33%)
- Almost 4× better than LEP (13.7%)



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Summary





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Summary









Large Hadron Collider (LHC):

- Top FCNC searches can (and should!) be re-done at the LHC
- ATLAS and CMS have already studied their FCNC sensitivities
- ATLAS study on sensitivity for top FCNC (1 fb⁻¹ at 14 TeV)
 - Improvement of current limits on BR(t→Zq) by 1–2 orders of magnitude
 - Entering interesting regime of 10⁻³ to 10⁻⁴ → exclusion of first theoretical models?
 - Caveat: so far only MC studies, first data to come end of this year

Top FCNC Sensitivity in ATLAS •Zq) 95% C.L. **EXCLUDED** ± ± 10^{−1} REGIONS CDF **ZEUS** 10-2 ATLAS (1 fb⁻¹) (q=u only) <u>והוהוהוהוהוהוהוהוהוהו</u>ה H1 10-3 (q=u only) CDF 10-4 (2 fb⁻¹) 10-5 10-4 10-3 10-2 10-5 10-1 B(t→γq) [ATLAS CSC Book, CERN-OPEN-2008-20]

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Conclusions





- Top flavor changing neutral current (FCNC) decays
 - Extremely rare in the standard model
 - Enhanced in theories beyond the standard model → any signal: new physics
- First Tevatron Run II search for FCNC t → Zq in top quark decays
 - Event signature: $Z + \ge 4$ jets
 - Mass x² to separate signal from background
- No evidence for top FCNC found
 - World's best limit: BR(t→Zq) < 3.7% at 95% C.L.
 - Analysis published in Phys. Rev. Lett. **101** (2008) 192002