

**Application of the APPLfast  
grid interface to NNLOJET  
and  
determination of  $\alpha_s$  at NNLO  
from DIS jet cross sections**

D. Britzger (MPI Munich), C. Gwenlan (Oxford),  
A. Huss (CERN), **K. Rabbertz (KIT)**, M. Sutton (Sussex)





# Motivation

- Interpretation of experimental data requires reasonably fast theory
- Often: Repeated computation of same cross section:
  - + Different PDF sets; PDF uncertainties
  - + Variations of renormalisation & factorisation scales  $\mu_R$ ,  $\mu_F$
  - + Variation of  $\alpha_s(M_Z)$
  - + SM parameter fits ( $\rightarrow$  e.g. with xFitter, see talk by C. Gwenlan)
- Jet cross section calculations at NLO were slow
  - + Initial reason for interpolation grids
- Nowadays NNLO in general very demanding!
  - + More than ever need fast re-evaluations of higher order cross sections for varied input parameters
  - + Use interpolation grids like from APPLgrid or fastNLO

APPLgrid, Carli et al., Eur. Phys. J. C, 2010, 66, 503.  
fastNLO, Britzger et al., arXiv:1208.3641.



# What is APPLfast?

- Started as joint project of NNLOJET, APPLgrid, and fastNLO authors at QCD@LHC in London, 2015



*APPLgrid project*

**fastNLO**

- Developed common interface between NNLOJET and fast interpolation grid technology

- + The least obtrusive as possible for NNLOJET
  - + No grids → no timing penalty
- + As similar as possible for APPLgrid & fastNLO
  - + Simpler maintenance
  - + Usable from other theory programs



X. Chen, J. Cruz-Martinez, J. Currie, R. Gauld, A. Gehrmann-De Ridder, T. Gehrmann, E.W.N. Glover, A. Huss, I. Majer, T. Morgan, J. Niehuis, J. Pires, D. Walker

## Common framework for NNLO corrections using antenna subtraction

### Characterictics:

- ✚ Parton-level event generator
- ✚ Based on antenna subtraction
- ✚ Test & validation framework
- ✚ Interface to APPLfast

### Processes:

- ▶  $pp \rightarrow (Z \rightarrow \ell^+ \ell^-) + 0, 1 \text{ jets}$
- ▶  $pp \rightarrow (W^\pm \rightarrow \ell \nu) + 0, 1 \text{ jets}$
- ▶  $pp \rightarrow H + 0, 1 \text{ jets}, \text{ VBF}$   
 $\rightarrow \gamma\gamma, \ell^+ \ell^- \gamma, 4\ell, \dots$
- ▶  $pp \rightarrow \text{dijets}$
- ▶  $ep \rightarrow 1, 2 \text{ jets}$
- ▶  $e^+ e^- \rightarrow 3 \text{ jets}$
- ▶ ...

A. Gehrmann-De Ridder, T. Gehrmann, N. Glover, A. Huss, T. Morgan,  
PoS RADCOR2015 (2016) 075, arXiv: 1601.04569.

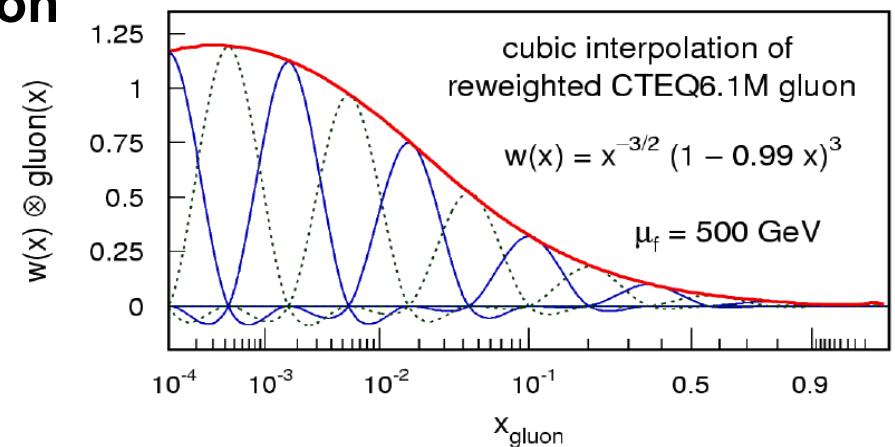
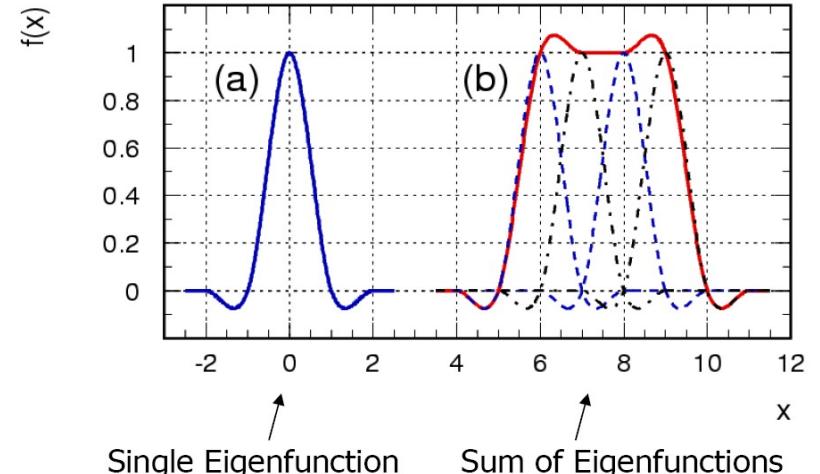
Implemented in APPLgrid & fastNLO

## Use interpolation kernel

- Introduce set of  $n$  discrete **x-nodes**,  $x_i$ 's being equidistant in a function  $f(x)$
- Take set of **Eigenfunctions  $E_i(x)$**  around nodes  $x_i$
- Interpolation kernels
- Actually a rather old idea, see e.g.  
**C. Pascaud, F. Zomer (Orsay, LAL), LAL-94-42**
- Single PDF is replaced by a linear combination of interpolation kernels

$$f_a(x) \cong \sum_i f_a(x_i) \cdot E^{(i)}(x)$$

- Then the integrals are done only once
- Afterwards only summation required to change PDF



Tabulate the convolution of the perturbative coefficients with the interpolation kernel

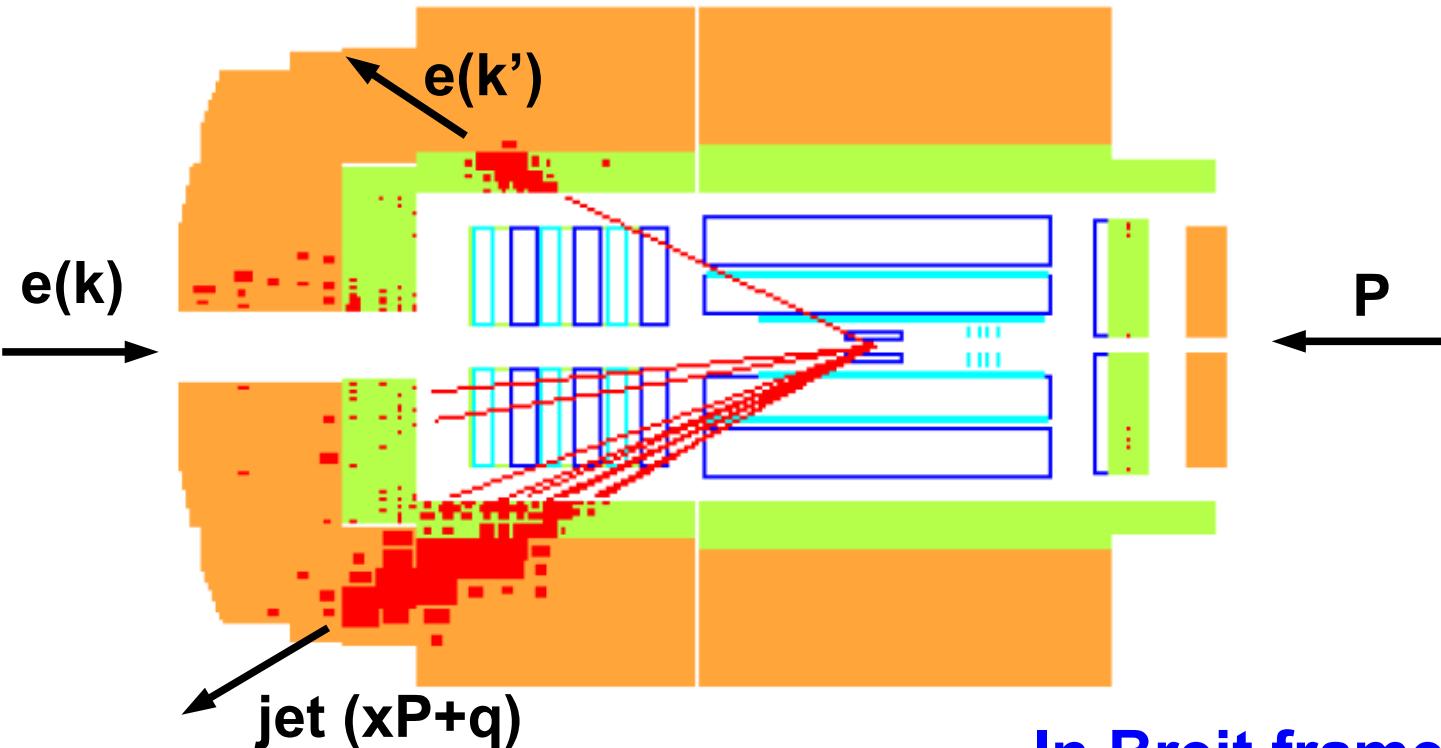


- **1. Preprocessing:** Check of interpolation quality
  - + Short test jobs to check interpolation settings (& optimise if necessary) O(10 h)
- **2. NNLOJET Warm-up:** Vegas integration optimisation
  - + 1 long (multi-core) job per process O(100 h)
- **3. APPLgrid/fastNLO Warm-up:** Adapt x- and scale-grids to accessed phase space (exact strategy differs between APPLgrid & fastNLO)
  - + Only phase space provided from NNLOJET → significant speed-up O(100 h)
- **4. Interpolation grid production:**
  - + Thousands of parallel jobs O(250 kh)
- **5. Postprocessing:** Statistical evaluation and combination of all produced grids ...
  - + Job to combine all grids and estimate statistical uncertainty O(100 h)
- **6. Validate, validate, and validate** O(? h)
- **7. Present final results :-)** 30 min :-)



# Application to DIS jets

## DIS event in H1 detector



## DIS kinematics

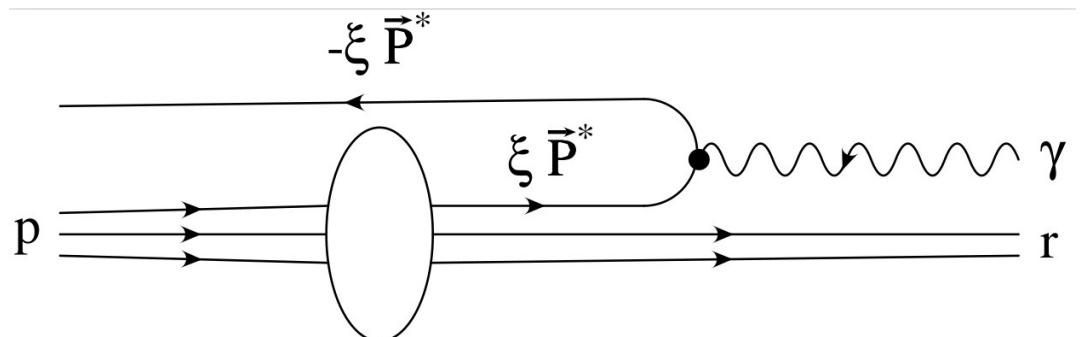
$$Q^2 = -\mathbf{q}^2 = -(\mathbf{k}-\mathbf{k}')^2$$

$$x = Q^2 / 2\mathbf{P} \cdot \mathbf{q}$$

Backscattered quark  
in quark-parton-model

No jet pT!

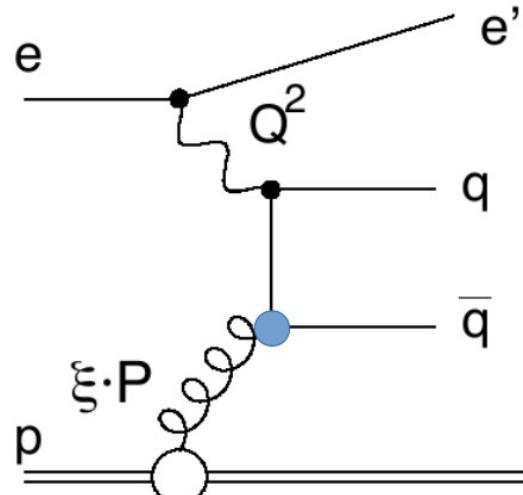
## In Breit frame of reference



# DIS jets in Breit frame

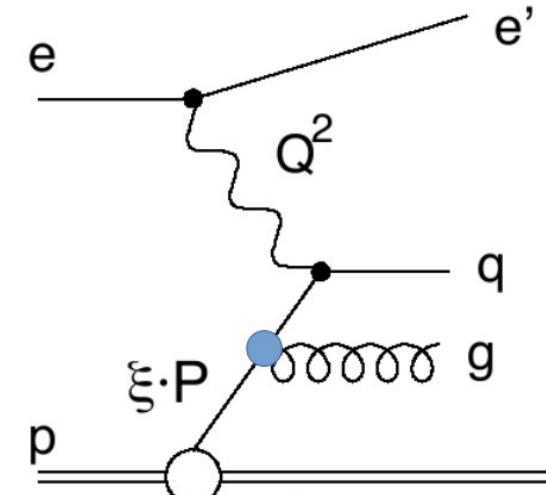
$ep \rightarrow 2 \text{ jets}$

from head-on collision  
of virtual boson with  
struck quark in proton



Boson-gluon fusion

Accesses gluon density  
→ dominates at low to medium  $x$



QCD Compton

Accesses quark density  
→ dominates at high  $pT$  (high  $x$ )

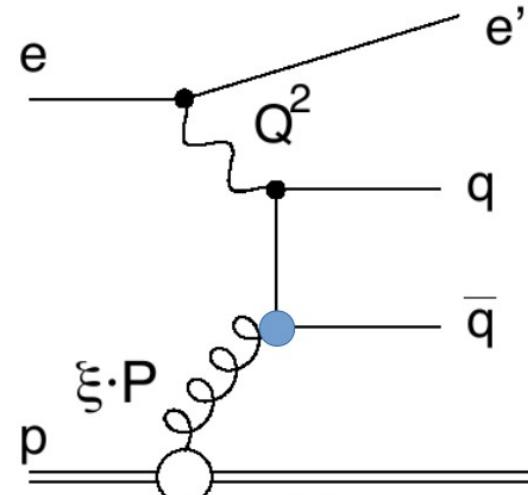
Sensitivity to strong coupling constant  $\alpha_s$  and gluon density  $g(x, \mu_F^2)$  at LO



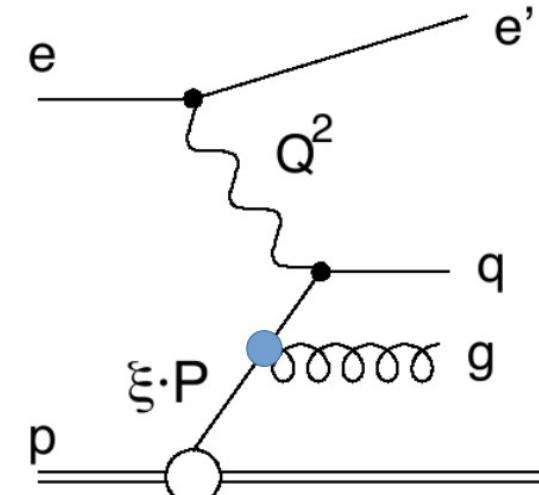
# DIS jets in Breit frame

$ep \rightarrow 2 \text{ jets}$

from head-on collision  
of virtual boson with  
struck quark in proton



Boson-gluon fusion

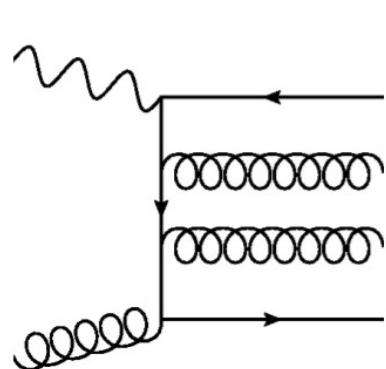


QCD Compton

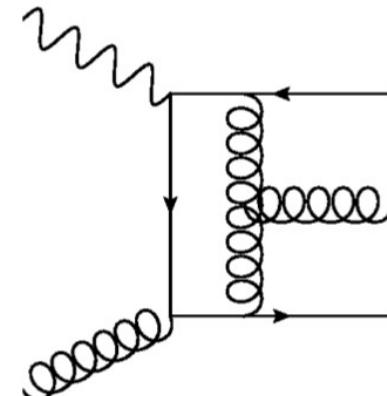
Accesses gluon density  
→ dominates at low to medium  $x$

Accesses quark density  
→ dominates at high  $pT$  (high  $x$ )

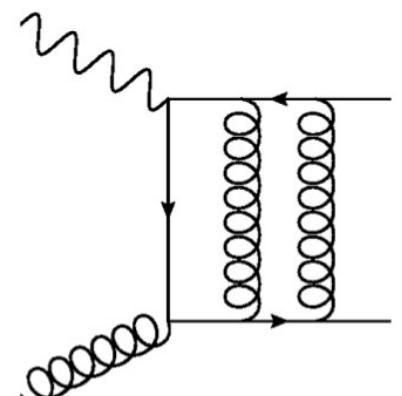
Now with  
NNLO corrections!



Double-real



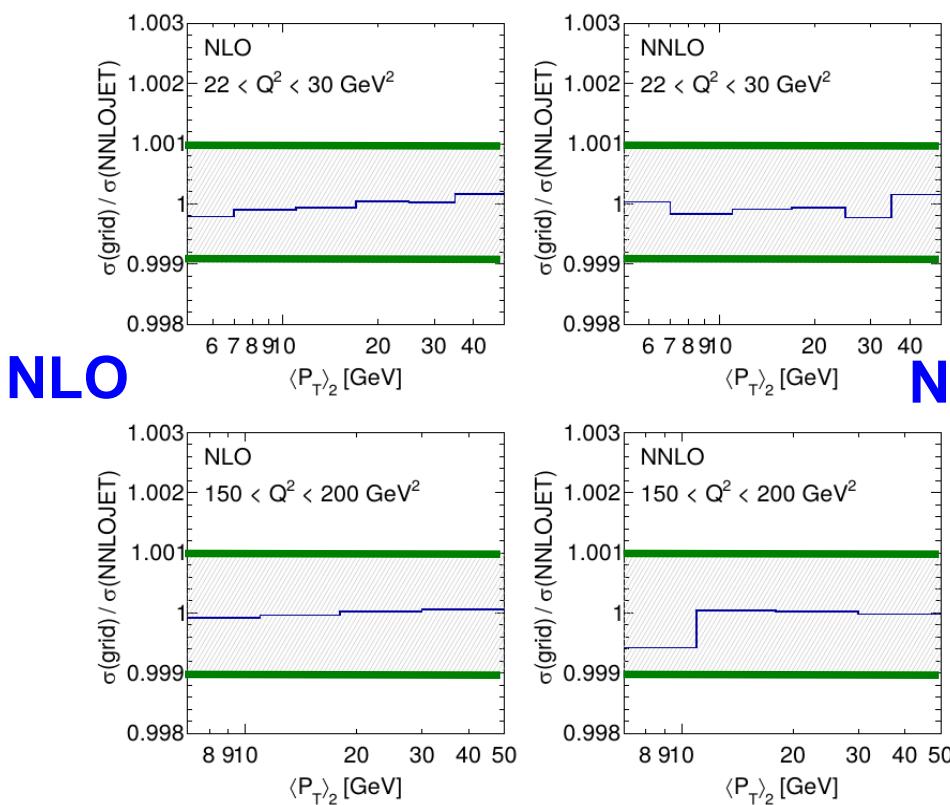
Real-virtual



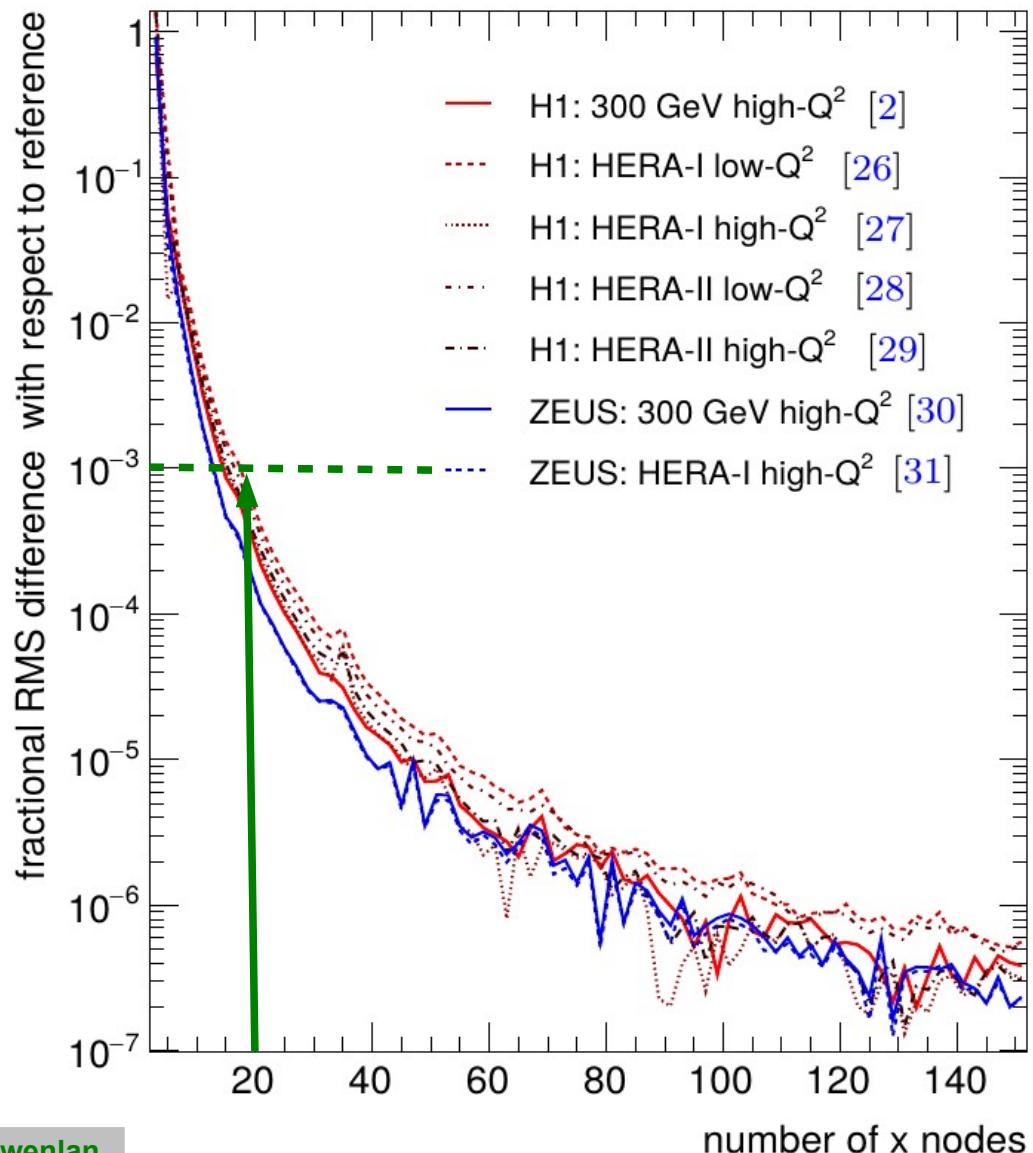
Double-virtual

Interpolation quality optimisable,  
e.g. depends on process(!) and :

- Reweighting functions
- Distribution of  $x$  nodes
- Number of  $x$  nodes



With 20 nodes  $< 1\%$  deviation!



D. Britzger, J. Currie, A. Gehrmann-De Ridder, T. Gehrmann, N. Glover, C. Gwenlan,  
A. Huss, T. Morgan, J. Niehuis, J. Pires, K. Rabbertz, M. Sutton, arXiv: 1906.05303.

# Scale dependence

Implementation of scale dependence differs between APPLgrid & fastNLO:

## APPLgrid:

- Stores one grid per order
- Calculates log terms and convolutions with splitting functions dynamically (HOPPET)
- Computationally more complex, smaller grid sizes

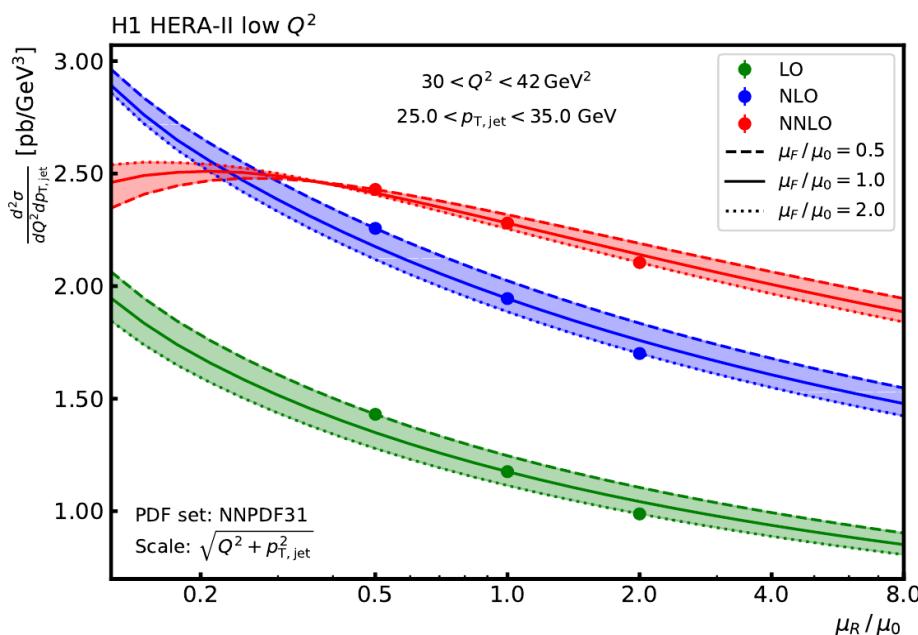
## fastNLO:

- Stores one grid per log term per order
- Simpler computation, but larger grid sizes
- Simpler to store alternative scale choice, again increasing grid size

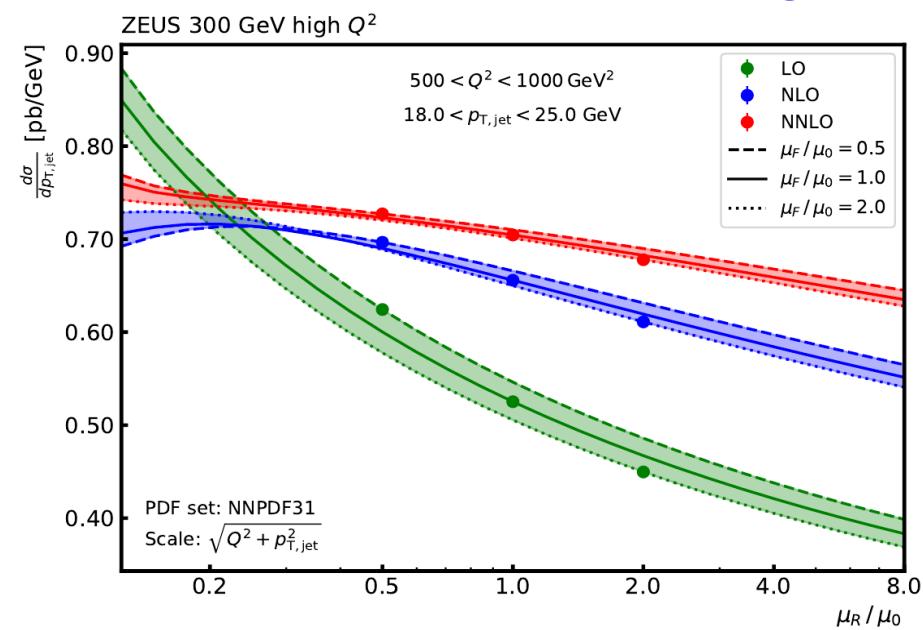
**Conversion possible between APPLgrid & fastNLO formats:**

- Here: Convert central scale grid from fastNLO production to APPLgrid
- Both implementations of scale dependence agree

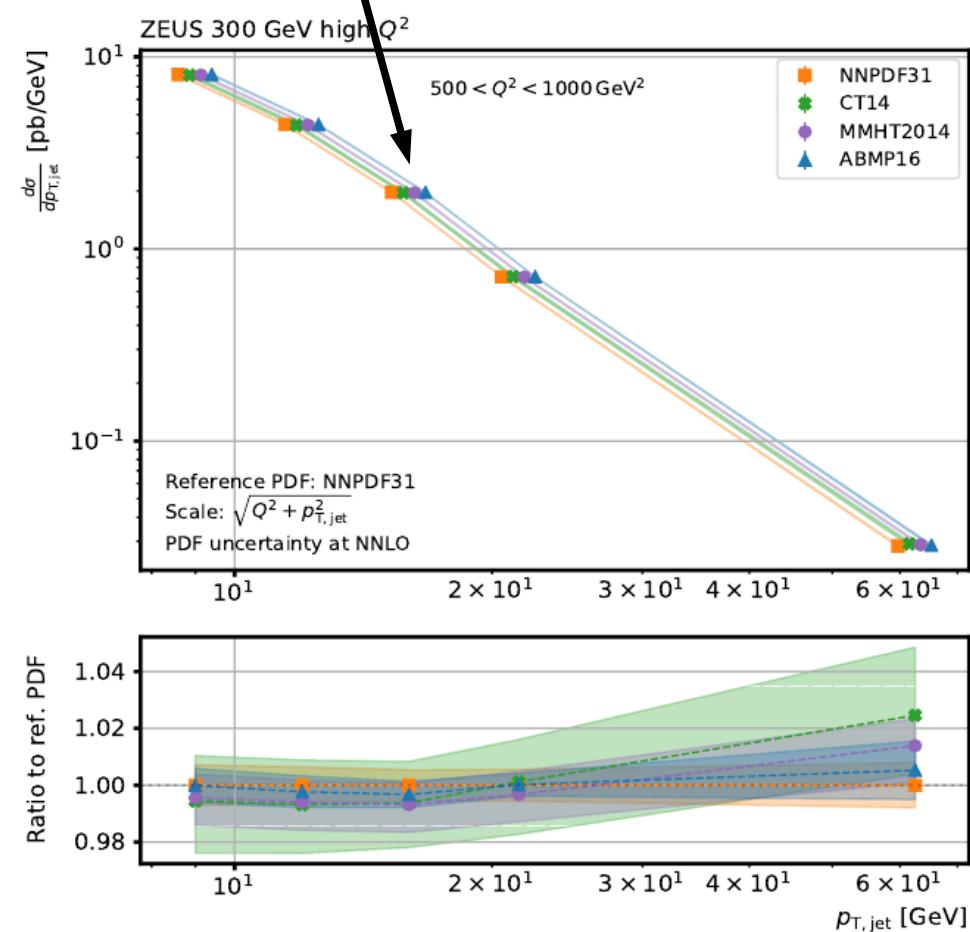
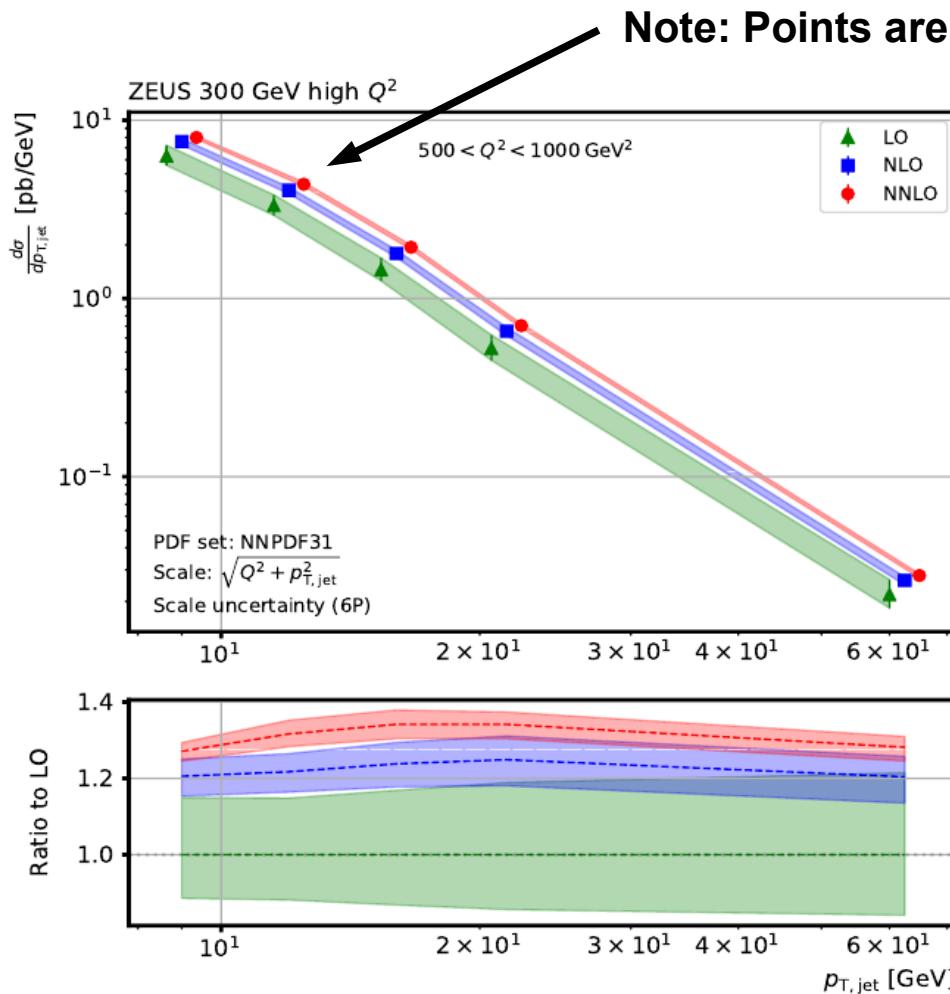
H1 low  $Q^2$



ZEUS high  $Q^2$



Grids allow fast re-evaluation for different orders, scales, PDFs etc.;  
here for one of the new ZEUS inclusive jet datasets

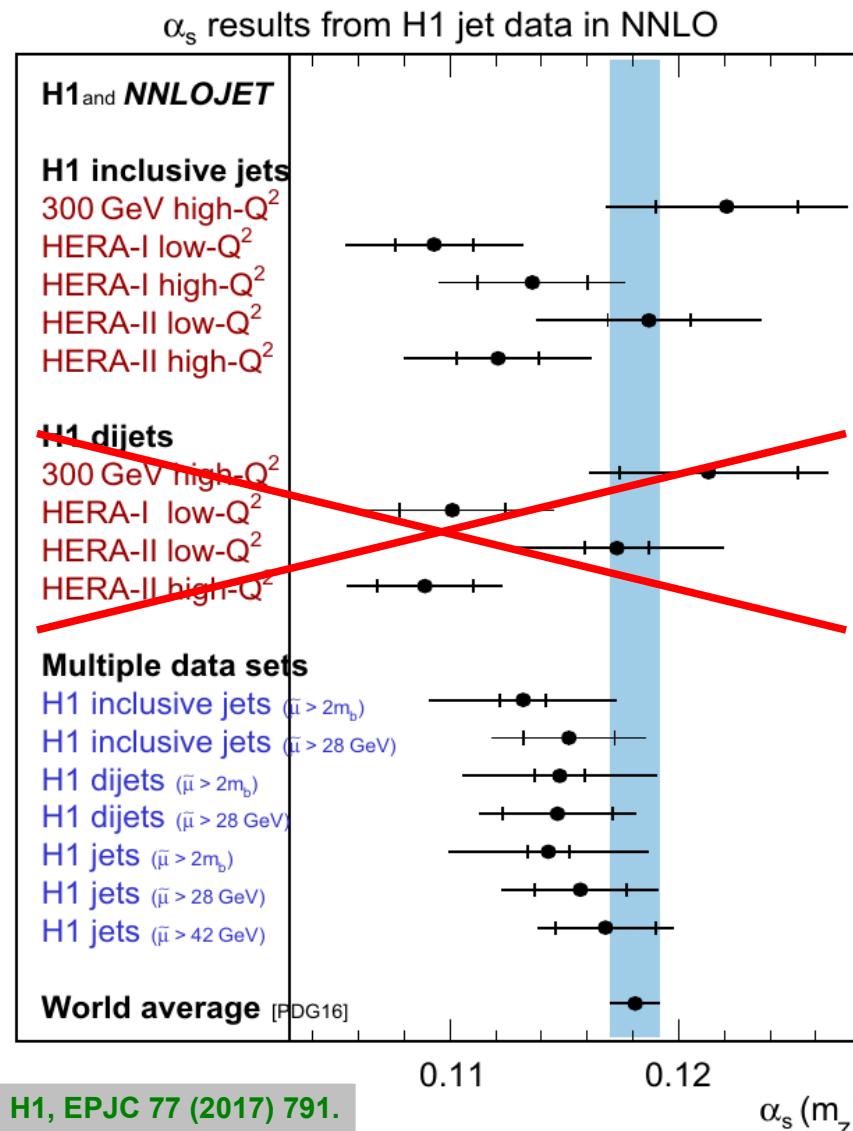




# Datasets

Previously:

- H1 data only
- Inclusive & di-jets
- Normalised



H1, EPJC 77 (2017) 791.

K. Rabbertz

Buffalo, NY, USA, 15.07.2019

Today:

- H1 and ZEUS data
- Inclusive jets only
- Unnormalised
- Some grids with increased stat. precision

$\alpha_s$  results from HERA inclusive jet data in NNLO

**APPLfast and NNLOJET**

- H1 inclusive jets <sup>†</sup>
- 300 GeV high-Q<sup>2</sup>
- HERA-I low-Q<sup>2</sup>
- HERA-I high-Q<sup>2</sup>
- HERA-II low-Q<sup>2</sup>
- HERA-II high-Q<sup>2</sup>

**ZEUS inclusive jets**

- 300 GeV high-Q<sup>2</sup>
- HERA-I high-Q<sup>2</sup>

**Multiple data sets**

- H1 inclusive jets <sup>†</sup>
- ZEUS inclusive jets
- HERA inclusive jets

**Multiple data sets ( $\bar{\mu} > 28 \text{ GeV}$ )**

- H1 inclusive jets <sup>†</sup> ( $\bar{\mu} > 28 \text{ GeV}$ )
- ZEUS inclusive jets ( $\bar{\mu} > 28 \text{ GeV}$ )
- HERA inclusive jets ( $\bar{\mu} > 28 \text{ GeV}$ )

**World average [PDG18]**

<sup>†</sup> previously fit in Ref. [10]

D. Britzger et al., arXiv: 1906.05303.

13

# Results

All HERA inclusive jets data points ( $\mu_{\text{cut}} > 2 \text{ m}_b$ ):

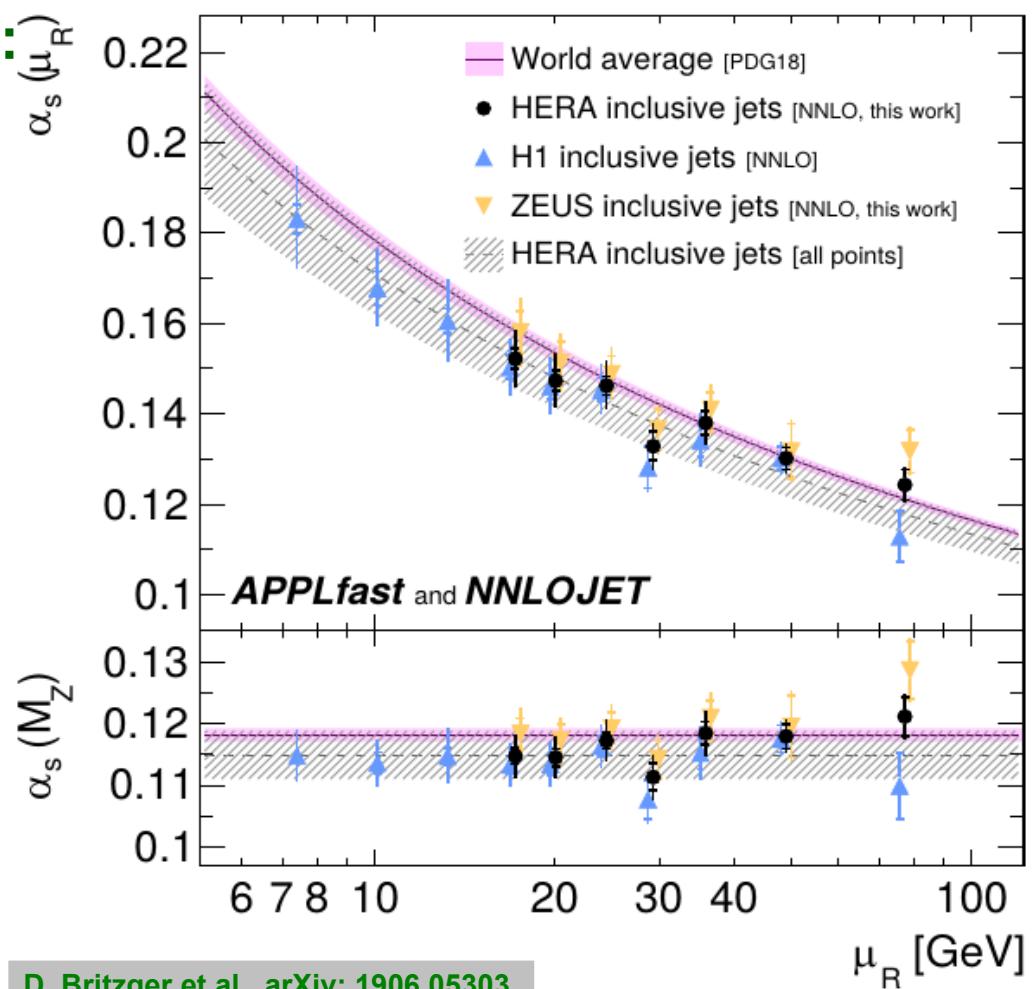
$$\alpha_s(M_Z) = 0.1149(9)_{\text{exp}}(5)_{\text{had}}(4)_{\text{PDF}}(3)_{\text{PDF}\alpha_s}(2)_{\text{PDFset}}(37)_{\text{scale}}$$

$$\alpha_s(M_Z) = 0.1170(15)_{\text{exp}}(7)_{\text{had}}(3)_{\text{PDF}}(2)_{\text{PDF}\alpha_s}(3)_{\text{PDFset}}(24)_{\text{scale}}$$

Smallest total uncertainty ( $\mu_{\text{cut}} > 28 \text{ GeV}$ ):

Compatible with world average  
Scale still largest uncertainty :-)

Data	$\mu_{\text{cut}}$ [GeV]	$\alpha_s(M_Z)$	exp	theo	X2/ ndof
All	$2 \text{ m}_b$	<b>0.1149</b>	9	38	<b>183/193</b>
H1	28	<b>0.1153</b>	19	28	<b>44/60</b>
ZEUS	28	<b>0.1194</b>	24	27	<b>39/43</b>
All > 28	28	<b>0.1170</b>	15	25	<b>86/104</b>



D. Britzger et al., arXiv: 1906.05303.



# Grid distribution – Ploughshare

hosted by CERN

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## Ploughshare

for all your interpolation  
grid needs

Ploughshare allows users from the HEP community to share  
fast interpolation grids in a standardised way

PDF fitters and those from the experimental collaborations are  
able to upload their validated grids and access the grids of  
others quickly and with minimal fuss



## What is Ploughshare ?

1

**Quick to use** – a web based utility for the automated distribution of fast interpolation grids for the high energy physics community.

2

**Secure storage** – registered users can upload grid files and corresponding standard format configuration files to describe the grids and physics processes and these are added to a central repository.

3

**Automatic distribution** – a standard utility library will be provided to download any required grids automatically in user code.

**A utility for the community** Ploughshare allows users to share their grids, so it is important that the provenance of the grids is guaranteed. This is achieved by allowing only registered users to upload their validated grids. Subsequently however, anyone is free to download and use the grids as they wish.

## Fast operations summary

Navigate quickly to some of the primary operations you might be interested in



**Download grids**



**Upload grids**



**Download grid code**



**Settings**

View all the lovely grids which are available for download

Upload grids using the standard web interface

Get the code for the automated download of multiple grids

How to set up the automated code for the grid downloads

- ✚ **Repository where registered users can upload grids with some documentation**
- ✚ **Registered(!) user gets FAME or BLAME**
- ✚ **Automated job treats the upload:**
  - ✚ **Add to the appropriate location in the file system**
  - ✚ **Generate relevant lists, and display web pages**
- ✚ **Provides a user interface for automated download with a simple line of code**
- ✚ **Have expression of interest from other stakeholders**
- ✚ **DIS inclusive jet grids at NNLO are downloadable!**



# DIS inclusive jet grids at NNLO

General

## Search mask

Group	Experiment	Energy (in TeV)	Type (ep, pp etc)
			ep
Process (incljets, Z0, Wpm etc)	Calculation	Order (LO, NLO, NNLO)	Arxiv

**APPLgrid project**

you selected: type='ep'

**Available in both formats**

**fastNLO**

**Search**

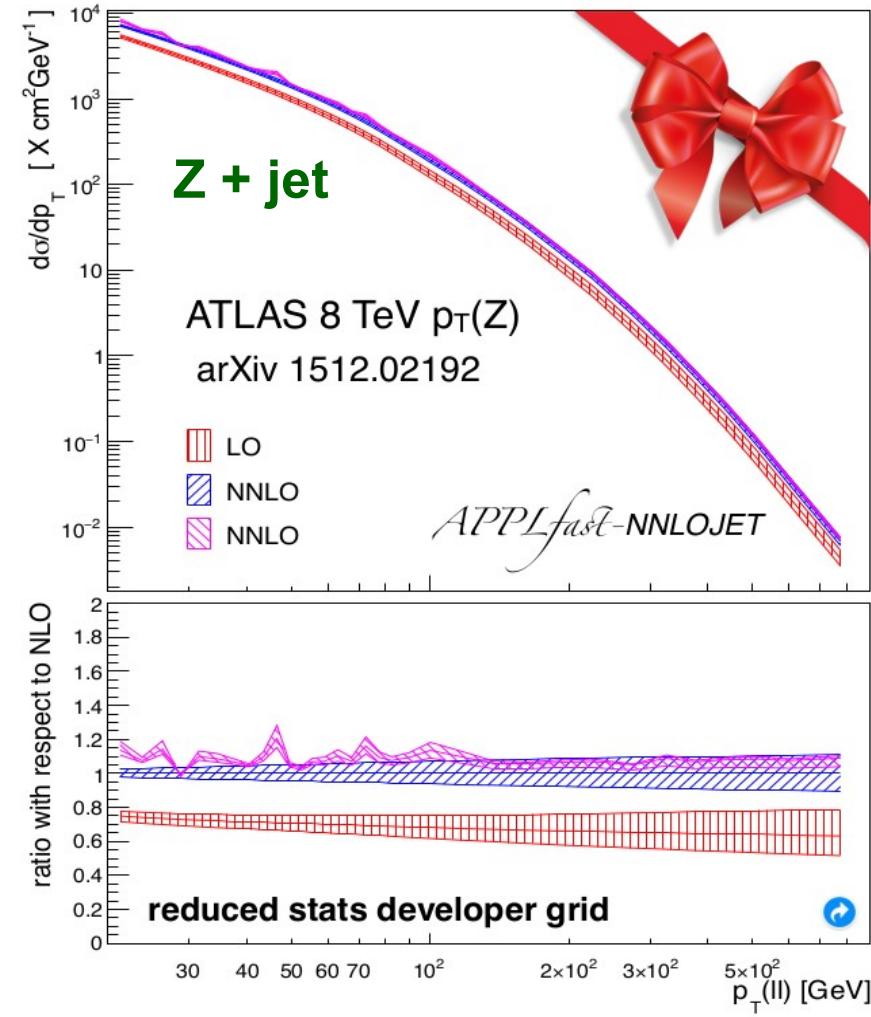
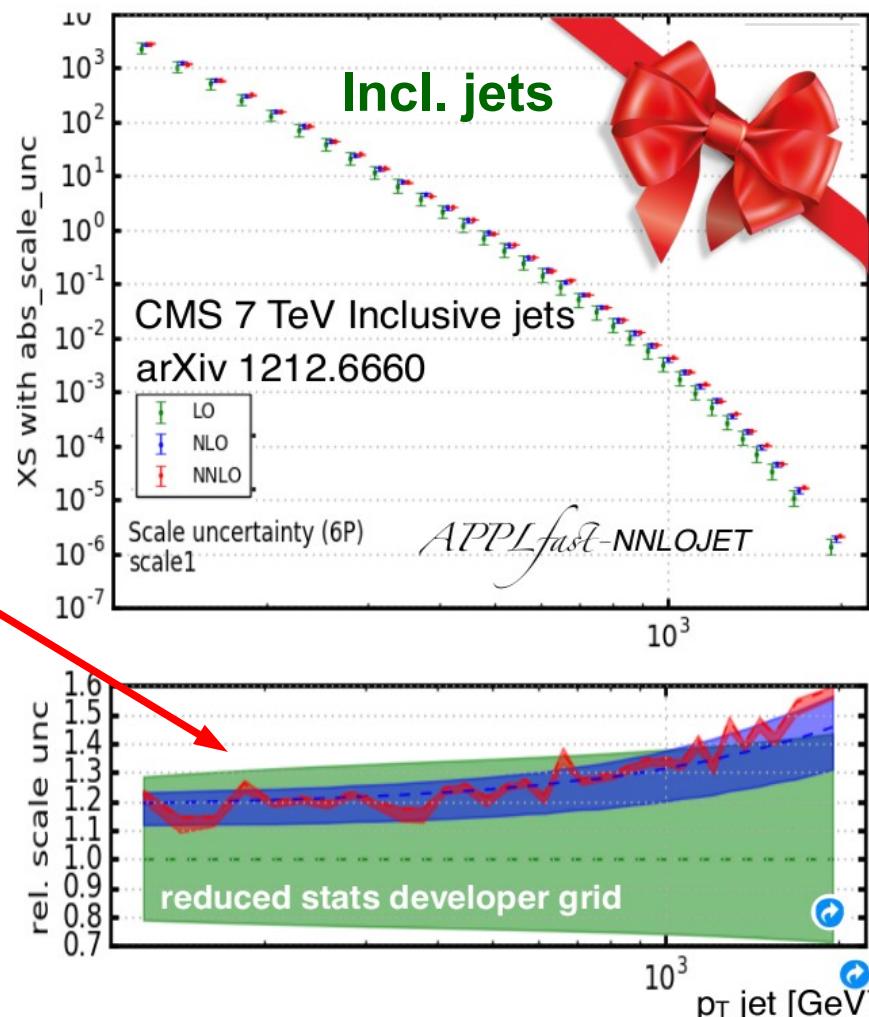
Result Type	Description
APPLgrid	applfast-h1-incjets-appl-arxiv-0010054
APPLgrid	applfast-h1-incjets-appl-arxiv-0706.3722
APPLgrid	applfast-h1-incjets-appl-arxiv-0911.5678
APPLgrid	applfast-h1-incjets-appl-arxiv-1406.4709
APPLgrid	applfast-h1-incjets-appl-arxiv-1611.03421
APPLgrid	applfast-h1-incjets-fnlo-arxiv-0010054
APPLgrid	applfast-h1-incjets-fnlo-arxiv-0706.3722
APPLgrid	applfast-h1-incjets-fnlo-arxiv-0911.5678
APPLgrid	applfast-h1-incjets-fnlo-arxiv-1406.4709
APPLgrid	applfast-h1-incjets-fnlo-arxiv-1611.03421
fastNLO	applfast-zeus-incjets-appl-arxiv-0208037
fastNLO	applfast-zeus-incjets-appl-arxiv-0608048
fastNLO	applfast-zeus-incjets-fnlo-arxiv-0208037
fastNLO	applfast-zeus-incjets-fnlo-arxiv-0608048



# Perspective for pp collisions

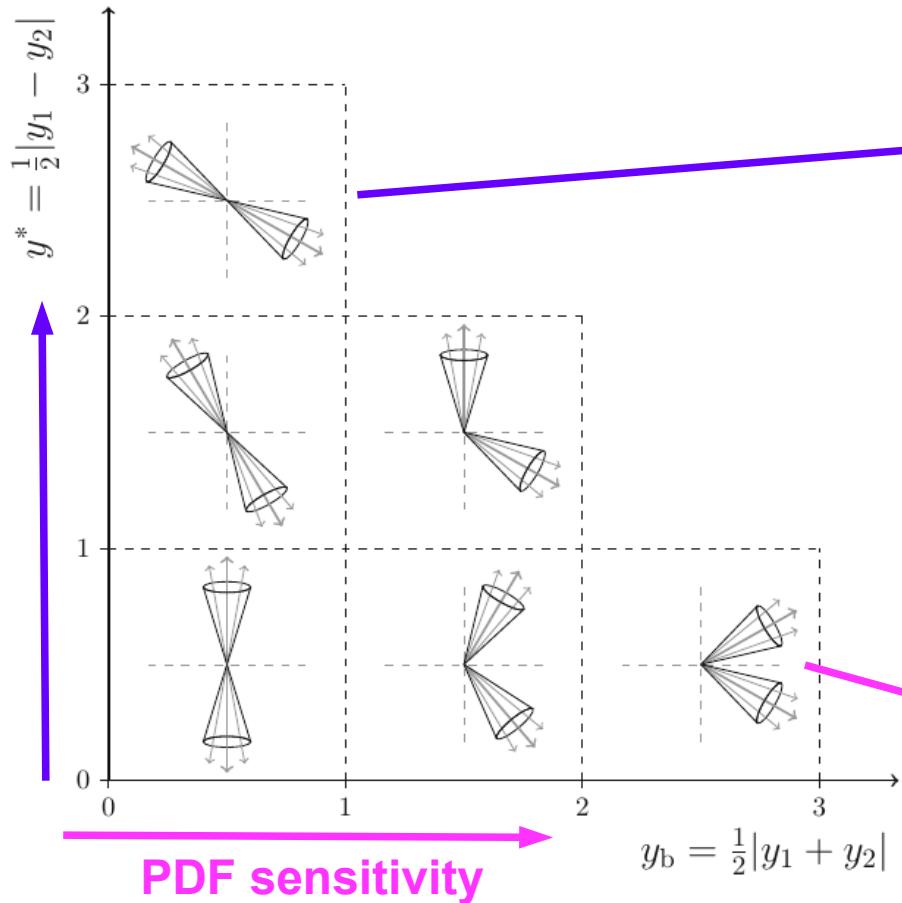
Grid production much more involved: For each event have two x values!

- Grids become substantially larger and need more CPU time for sufficient stat. accuracy
- Nevertheless first grids for testing available



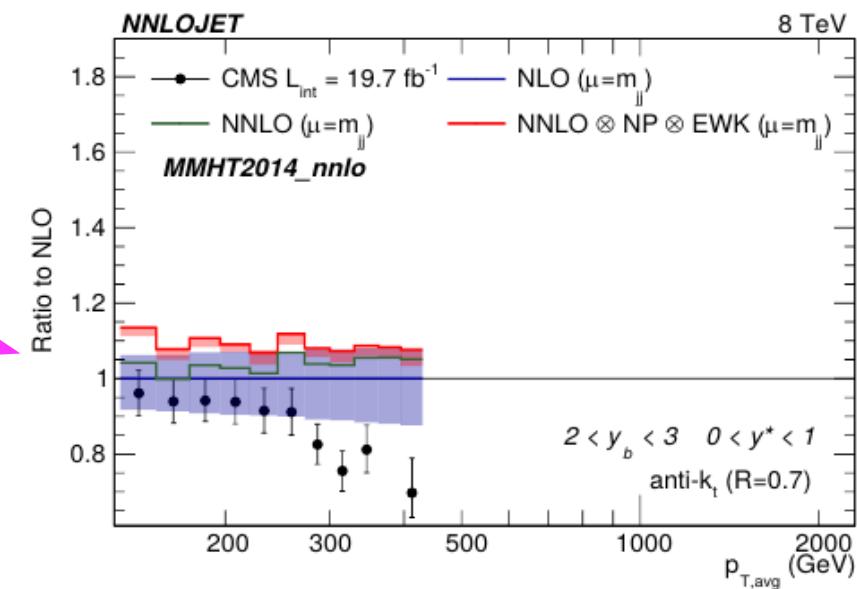
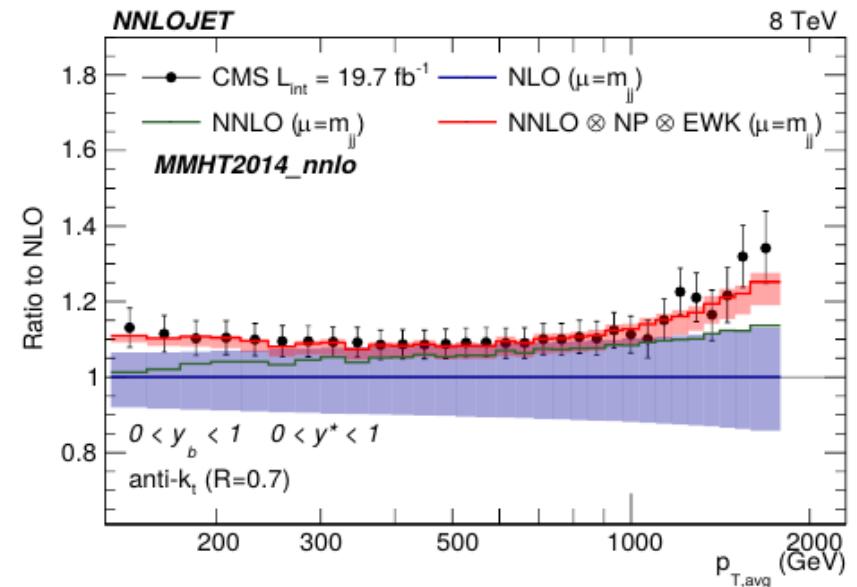
## Triple-differential dijet production

Hard process dependent



CMS, EPJC 77 (2017) 746, arXiv: 1705.02628.

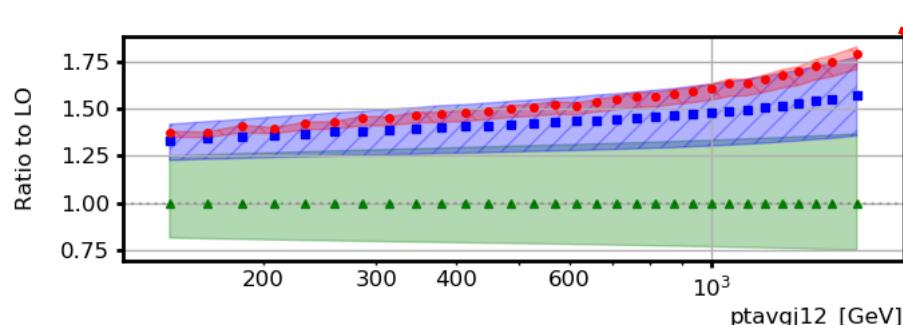
A. Gehrmann-De Ridder, T. Gehrmann, N. Glover, A. Huss, J. Pires,  
arXiv: 1905.09047.



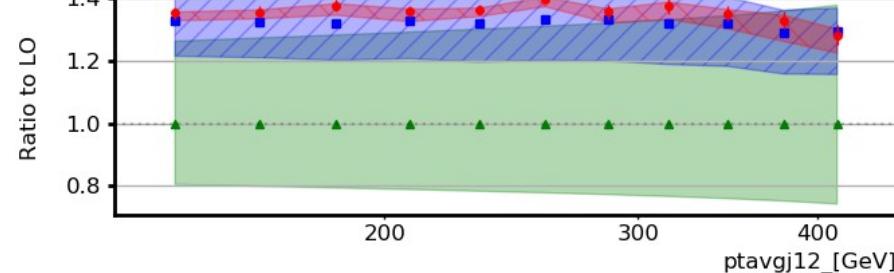
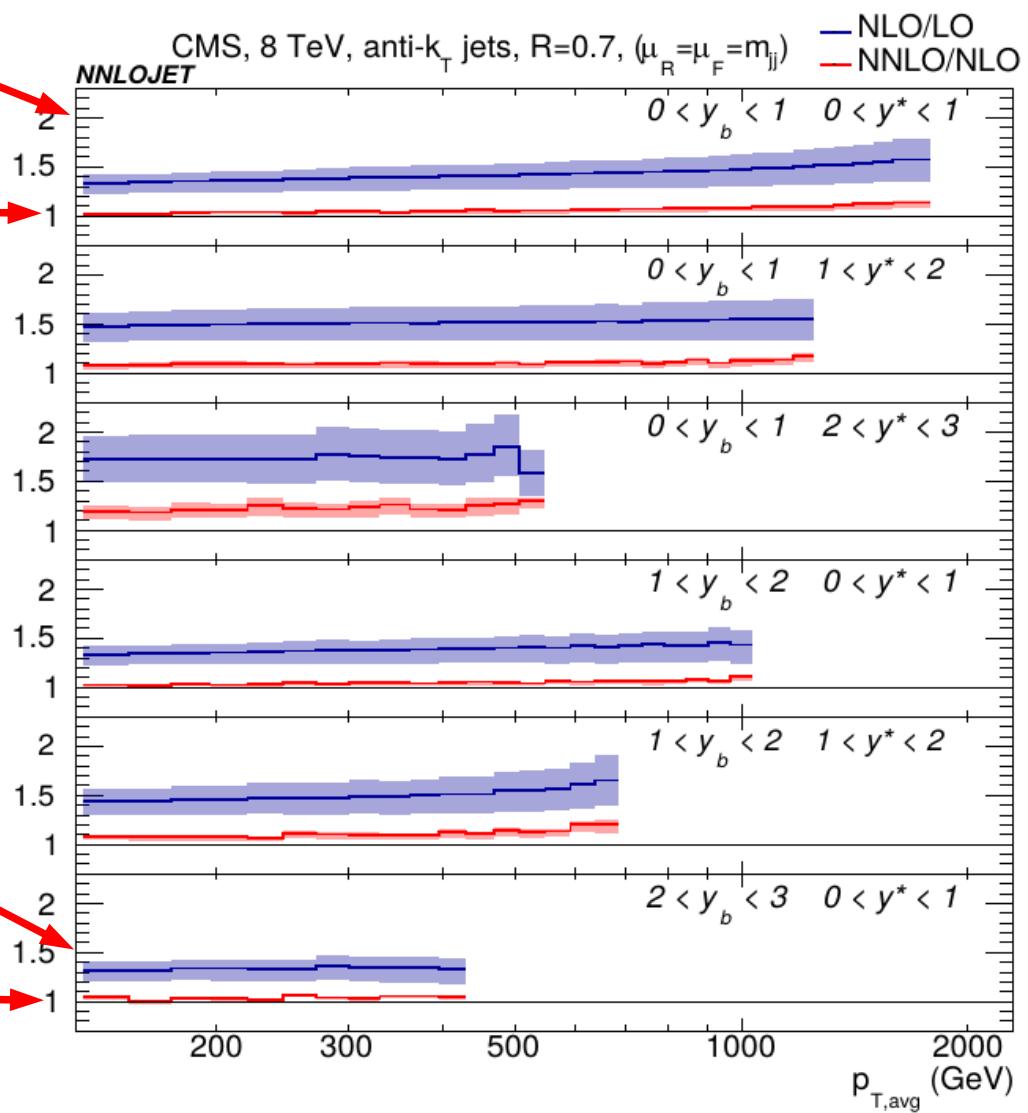


# Work in progress: Dijets

NLO/LO, NNLO/LO



Interpolation table nicely reproduces size and shape of statistically independent NNLOJET original



Note: y axis scales differ!



# Summary

- APPLfast grids with DIS jets at NNLO from NNLOJET used
  - by H1 to determine  $\alpha_s$  from inclusive jets and dijets
  - by HERAPDF team to include jet production in NNLO PDF+ $\alpha_s$  fit
  - here to determine  $\alpha_s$  from all H1 and ZEUS inclusive jet data
- The best overall uncertainty is achieved for  $\mu_{\text{cut}} > 28 \text{ GeV}$   
$$\alpha_s(M_Z) = 0.1170 \pm 15(\text{exp}) \pm 25(\text{theo})$$
- Grids are publically available on Ploughshare web site
- Grids for pp processes in progress

**Thank you for your attention!**



# Backup



# Scale dependence in fastNLO

- Storage of scale-independent weights enable full scale flexibility also in NNLO

- Additional logs in NNLO

$$\omega(\mu_R, \mu_F) = \underbrace{\omega_0 + \log(\mu_R^2) \omega_R + \log(\mu_F^2) \omega_F + \log^2(\mu_R^2) \omega_{RR} + \log^2(\mu_F^2) \omega_{FF}}_{\text{log's for NLO}} + \underbrace{\log(\mu_R^2) \log(\mu_F^2) \omega_{RF}}_{\text{additional log's in NNLO}}$$

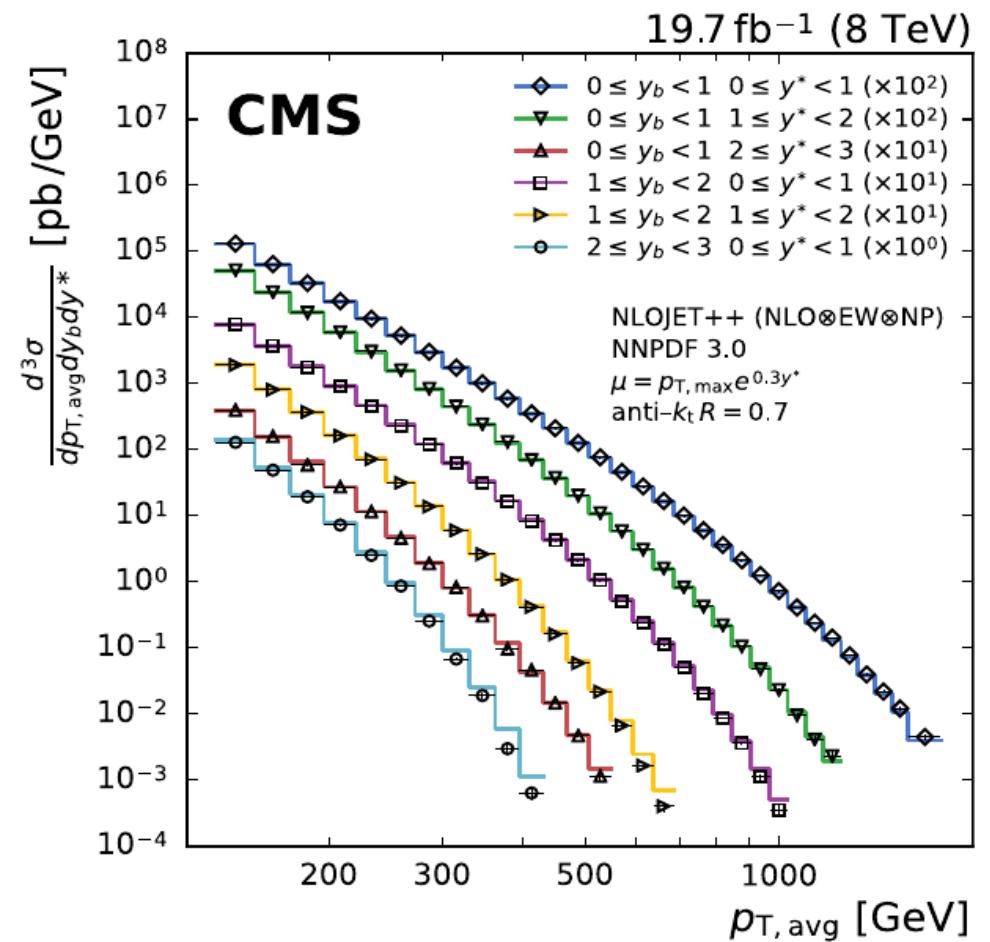
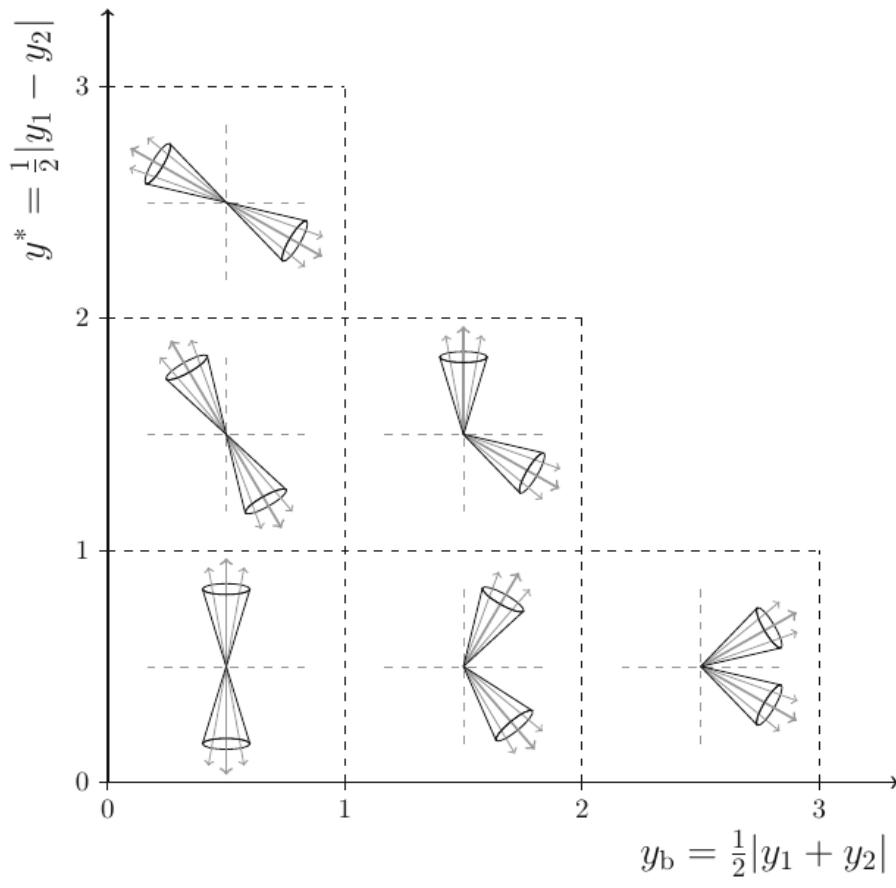
- Store weights:  $w_0, w_R, w_F, w_{RR}, w_{FF}, w_{RF}$  for order  $\alpha_s^{n+2}$  contributions

## Advantages

- Renormalization and factorization scale can be varied *independently* and by *any* factor
  - No time-consuming ‘re-calculation’ of splitting functions in NLO necessary
- Only small increase in amount of stored coefficients

## Implementation

- Two different observables can be used for the scales
  - e.g.:  $H_T$  and  $p_{T,\max}$
  - or e.g.:  $p_T$  and  $|y|$
  - ...
- Any function of those two observables can be used for calculating scales



CMS, EPJC 77 (2017) 746, arXiv: 1705.02628.

A. Gehrmann-De Ridder, T. Gehrmann, N. Glover, A. Huss, J. Pires,  
arXiv: 1905.09047.