

The Higgs Mechanism in the SM

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INSTITUTE OF EXPERIMENTAL PARTICLE PHYSICS (IEKP) – PHYSICS FACULTY



Schedule for today

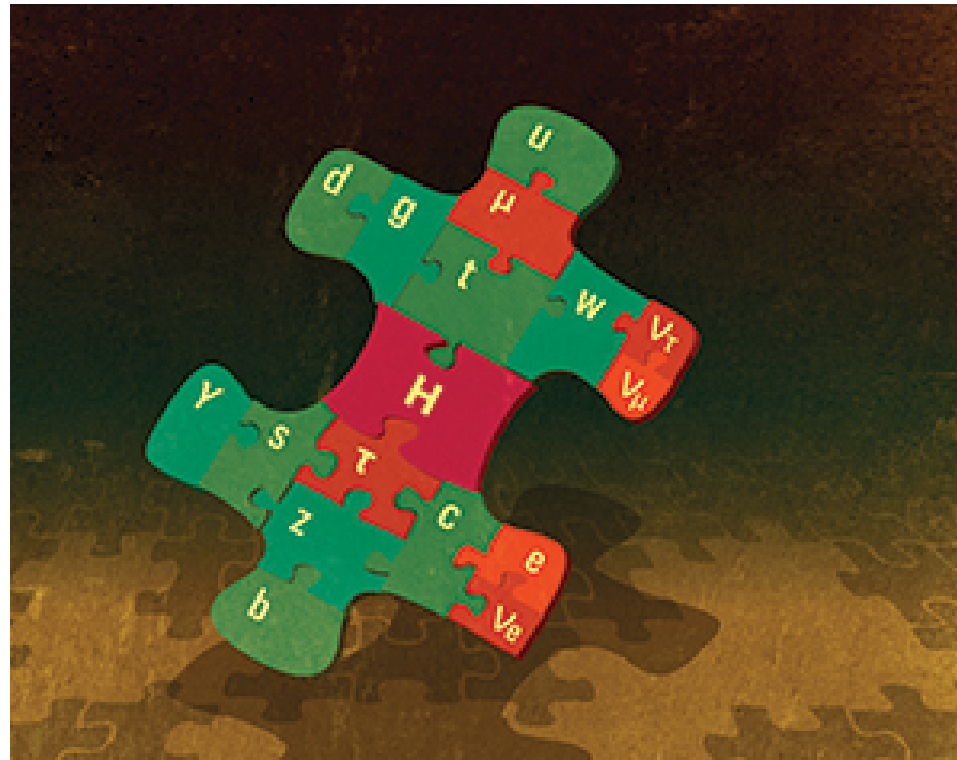
- Is the following statement true: “the Higgs boson couples always proportional to the mass of the particle”?
- How many and which symmetries in the SM are broken?

3 Obtaining massive fermions

2 Obtaining massive gauge bosons

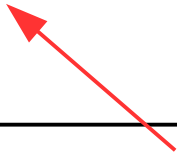
1 Reprise: SM w/o masses

The final construction of the SM



- Compilation of the last two lectures:

Fermion kinematics



$$\mathcal{L}^{SU(2)\times U(1)} = \mathcal{L}^{\text{kin}} + \mathcal{L}^{CC} + \mathcal{L}^{NC} + \mathcal{L}^{\text{gauge}}$$

$$\mathcal{L}^{\text{kin}} = i\bar{e}\gamma^\mu\partial_\mu e + i\bar{\nu}\gamma^\mu\partial_\mu\nu$$

$$\mathcal{L}^{CC} = -\frac{e}{\sqrt{2}\sin\theta_W} [W_\mu^+ \bar{\nu}\gamma_\mu e_L + W_\mu^- \bar{e}_L\gamma_\mu\nu]$$

$$\mathcal{L}^{NC} = -\frac{e}{2\sin\theta_W\cos\theta_W} Z_\mu [(\bar{\nu}\gamma_\mu\nu) + (\bar{e}_L\gamma_\mu e_L)] - e [A_\mu + \tan\theta_W Z_\mu] (\bar{e}\gamma_\mu e)$$

$$\mathcal{L}^{\text{gauge}} = -\frac{1}{2}\text{Tr}(W_{\mu\nu}^a W^{a\mu\nu}) - \frac{1}{4}B_{\mu\nu}B^{\mu\nu} \left| \begin{array}{l} B_\mu \rightarrow A_\mu \\ W_\mu^3 \rightarrow Z_\mu \end{array} \right.$$

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Fermion kinematics Charged current IA

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Fermion kinematics Charged current IA Neutral current IA



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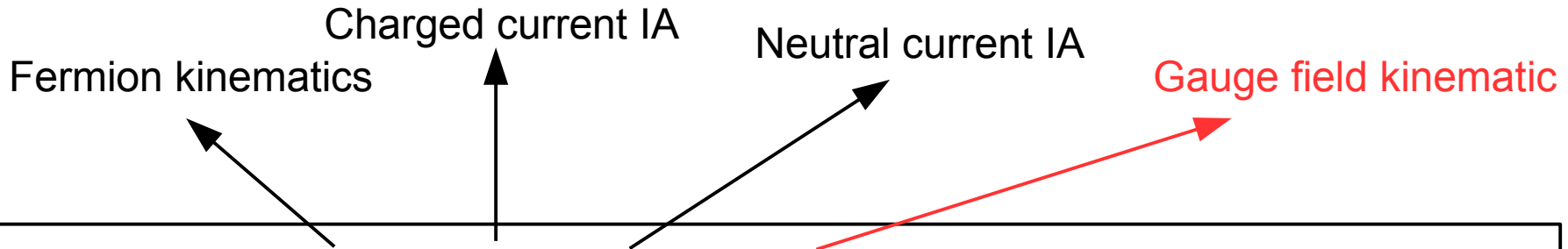
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Extension by a new field ϕ

- SM does not allow for naive introduction of mass terms for gauge bosons nor fermions.
- But possible to create mass terms dynamically via the Higgs mechanism. Requires that the **symmetry in energy ground state must be spontaneously broken**.
- All fields we have introduced so far do obey all symmetries, also in their energy ground state. → **Need new field with self-interaction** that leads to spontaneously symmetry breaking (*Goldstone*) potential.

The new field ϕ

- Add ϕ as $SU(2)$ doublet field:

$$\phi = \begin{pmatrix} \phi_+ \\ \phi_0 \end{pmatrix}$$

Transformation behavior:

$$\phi \rightarrow \phi' = e^{i\vartheta'} G \phi$$

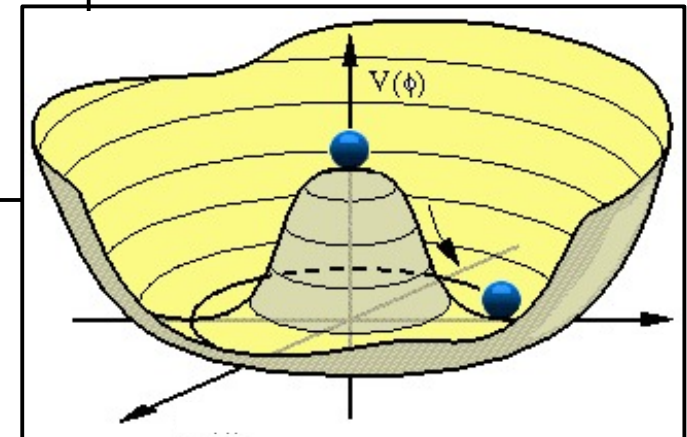
$$\phi^\dagger \rightarrow \phi'^\dagger = \phi^\dagger G^\dagger e^{-i\vartheta'}$$

$$G = e^{i\vartheta^a t^a} \in SU(2) \quad \vartheta^a, \vartheta' \in \mathbb{R}$$

$$\mathcal{L}^{SU(2) \times U(1)} = \mathcal{L}^{\text{kin}} + \mathcal{L}^{CC} + \mathcal{L}^{NC} + \mathcal{L}^{\text{gauge}} + \mathcal{L}^{\text{Higgs}}$$

$$\mathcal{L}^{\text{Higgs}} = \partial_\mu \phi^\dagger \partial^\mu \phi - V(\phi)$$

$$V(\phi) = -\mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2$$



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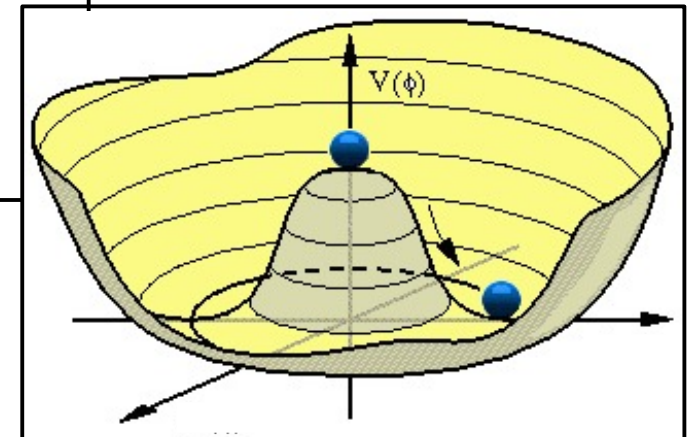
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Can you point to the
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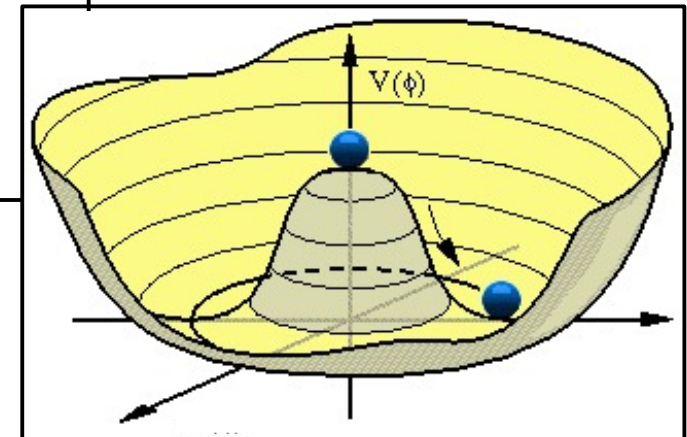
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Expansion close to energy ground state

- Develop ϕ in its energy ground state at $|\phi| = \sqrt{\frac{\mu^2}{2\lambda}}$:

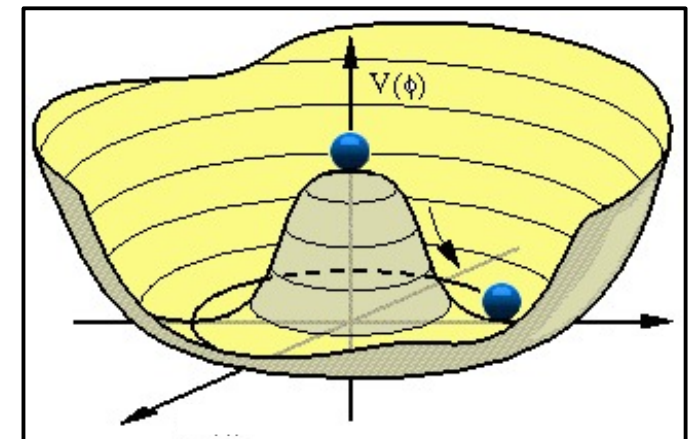
$$\phi = \begin{pmatrix} \phi_+ \\ \phi_0 \end{pmatrix} \xrightarrow{|\phi| = \sqrt{\frac{\mu^2}{2\lambda}}} \phi = \begin{pmatrix} 0 \\ \sqrt{\frac{\mu^2}{2\lambda}} + \frac{H}{\sqrt{2}} \end{pmatrix}$$

NB: In principle this can be done anywhere in the minimum. For a consistent model it is done in the lower component of ϕ .

Non-zero vacuum expectation value

$$v = \sqrt{\frac{\mu^2}{2\lambda}}.$$

Radial excitation field. → **This is the Higgs boson.**



Enforcing local gauge invariance for ϕ

- Develop ϕ in its energy ground state at $|\phi| = \sqrt{\frac{\mu^2}{2\lambda}}$:

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- $\mathcal{L}^{\text{Higgs}}$ is **covariant under global $SU(2)$ transformations**. Introduce covariant derivative D_μ to **enforce local gauge invariance**:

$$\partial_\mu \rightarrow D_\mu = \partial_\mu + ig' \frac{Y_\phi}{2} B_\mu + ig W_\mu^a \mathbf{t}^a$$

| $SU(2) \times U(1)$ Hypercharges | | | |
|----------------------------------|-----------|--------|------|
| Field | Y_ϕ | I_3 | Q |
| ϕ_+ | | $+1/2$ | $+1$ |
| ϕ_0 | +1 | $-1/2$ | 0 |

$$Q = I_3 + \frac{Y}{2}$$

Dynamic term of Lagrangian density

- Couple to gauge fields:

$$\phi = \begin{pmatrix} \phi_+ \\ \phi_0 \end{pmatrix} \xrightarrow{|\phi| = \sqrt{\frac{\mu^2}{2\lambda}}} \phi = \begin{pmatrix} 0 \\ \sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \end{pmatrix}$$

$$D_\mu \phi^\dagger D^\mu \phi = \left| \left[\frac{1}{\sqrt{2}} \partial_\mu H + \left(\sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \right) \left(ig' \frac{Y_\phi}{2} B_\mu + ig W_\mu^a \mathbf{t}^a \right) \right] \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right|^2$$

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$$D_\mu \phi^\dagger D^\mu \phi = \left| \left[\frac{1}{\sqrt{2}} \partial_\mu H - \frac{i}{2} \left(\sqrt{\frac{\mu^2}{2\lambda}} + \frac{H}{\sqrt{2}} \right) (g W_\mu^3 - g' B_\mu) \right] \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right|^2$$

$$+ \left| \left[\frac{i}{2} \left(\sqrt{\frac{\mu^2}{2\lambda}} + \frac{H}{\sqrt{2}} \right) g W_\mu^+ \right] \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right|^2$$

Dynamic term of Lagrangian density

- Resolve products of *Pauli* matrices ($\mathbf{t}^a \equiv \frac{1}{2}\sigma_a$):
 - Ascending operator \mathbf{t}^+ (of W_μ^+) shifts unit vector of the down component up.

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$$D_\mu \phi^\dagger D^\mu \phi = \left| \left[\frac{1}{\sqrt{2}} \partial_\mu H + \left(\sqrt{\frac{\mu^2}{2\lambda}} + \frac{H}{\sqrt{2}} \right) \left(ig' \frac{Y_\phi}{2} B_\mu + ig W_\mu^a \mathbf{t}^a \right) \right] \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right|^2$$

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 - Operator \mathbf{t}^3 switches sign for unit vector of down component.

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Dynamic term of Lagrangian density

- Evaluate components of absolute value squared:

$$D_\mu \phi^\dagger D^\mu \phi = \frac{1}{2} \partial_\mu H \partial^\mu H + \frac{g^2 + g'^2}{4} \left(\sqrt{\frac{\mu^2}{2\lambda}} + \frac{H}{\sqrt{2}} \right)^2 Z_\mu Z^\mu + \frac{g^2}{4} \left(\sqrt{\frac{\mu^2}{2\lambda}} + \frac{H}{\sqrt{2}} \right)^2 W_\mu^+ W^{\mu-}$$

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Masses for Gauge Bosons

- By introducing ϕ as a $SU(2)$ doublet with a non-zero energy ground state we have obtained:

$$D_\mu \phi^\dagger D^\mu \phi = \frac{1}{2} \partial_\mu H \partial^\mu H + \frac{g^2 + g'^2}{4} \left(\sqrt{\frac{\mu^2}{2\lambda}} + \frac{H}{\sqrt{2}} \right)^2 Z_\mu Z^\mu + \frac{g^2}{4} \left(\sqrt{\frac{\mu^2}{2\lambda}} + \frac{H}{\sqrt{2}} \right)^2 W_\mu^+ W^{\mu-}$$

- Masses: $m_Z^2 \equiv \frac{(g^2 + g'^2) \mu^2}{8\lambda}$ $m_W^2 \equiv \frac{g^2 \mu^2}{8\lambda}$
- Characteristic tri-linear and quartic couplings of the gauge bosons to the Higgs field.
- A solid prediction of the SM on the masses of the gauge bosons:

$$\rho = \frac{m_W}{m_Z \cdot \cos^2 \theta_W} \equiv 1 \longrightarrow m_Z > m_W$$

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$$\begin{aligned} D_\mu \phi^\dagger D^\mu \phi &= \frac{1}{2} \partial_\mu H \partial^\mu H \\ &+ m_Z^2 Z_\mu Z^\mu + \frac{2m_Z^2}{v} Z_\mu Z^\mu \frac{H}{\sqrt{2}} + \frac{m_Z^2}{v^2} Z_\mu Z^\mu \frac{H}{\sqrt{2}} \frac{H}{\sqrt{2}} \\ &+ m_W^2 W_\mu^+ W^{\mu-} + \frac{2m_W^2}{v} W_\mu^+ W^{\mu-} \frac{H}{\sqrt{2}} + \frac{m_W^2}{v^2} W_\mu^+ W^{\mu-} \frac{H}{\sqrt{2}} \frac{H}{\sqrt{2}} \end{aligned}$$

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Vacuum expectation value

- We can obtain a precise estimate for the vacuum expectation value, $v = \sqrt{\frac{\mu^2}{2\lambda}}$, via its relation to m_W .

$$m_W^2 = \left(\frac{g}{2}\right)^2 v^2 \quad (\text{from Higgs mechanism, c.f. slide 25})$$

$$m_W^2 = \frac{\sqrt{2}g^2}{8G_F} \quad (\text{from Fermi theory})$$



Fermi constant:

$$G_F = (1.16639 \pm 0.00002) \times 10^{-5} \text{ GeV}^{-2}$$

(determined from muon lifetime measurements)

$$v = \frac{1}{\sqrt{\sqrt{2}G_F}} = 246.22 \text{ GeV}$$

- Sets the scale of electroweak symmetry breaking.

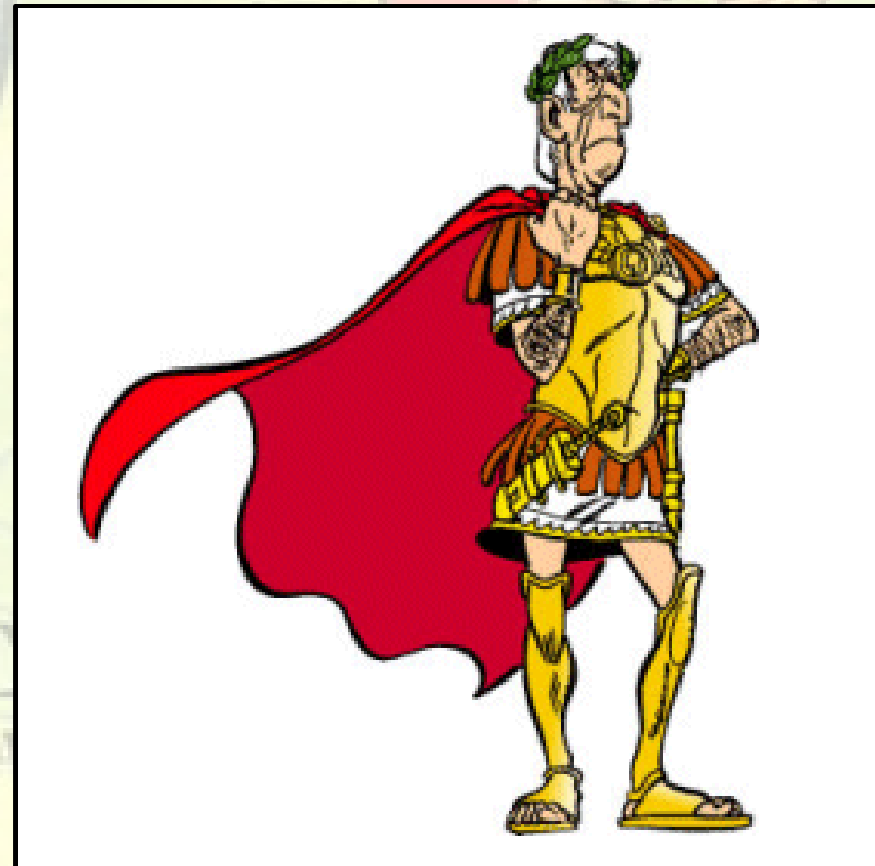
- We have discussed how **gauge bosons obtain mass** by a gauge that absorbs the *Goldstone* bosons in the theory.
- As a complex $SU(2)$ doublet ϕ has **four degrees of freedom**.
- In the final formulation **only the radial excitation H of ϕ remains**. The *Goldstone* bosons (ϑ^a) have been absorbed into the gauge fields W_μ^\pm & Z_μ , which have obtained their masses in this way.

Congratulations – you got it...



...almost

We are still left with the problem of fermion masses.



- The Higgs mechanism can also help to obtain mass terms for fermions, by coupling the fermions to ϕ .

$$\mathcal{L}^{\text{Yukawa}} = -f_e (\bar{e}_R \phi^\dagger \psi_L) - f_e^* (\bar{\psi}_L \phi e_R)$$

- check the $SU(2)$ and $U(1)$ behavior of $\mathcal{L}^{\text{Yukawa}}$.

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- check the $SU(2)$ and $U(1)$ behavior of $\mathcal{L}^{\text{Yukawa}}$.

$$\begin{aligned} \bar{e}'_R \phi'^{\dagger} \psi'_L &= \bar{e}_R e^{-iY_R/2\vartheta'} \phi^\dagger G^\dagger e^{-iY_\phi/2\vartheta'} e^{iY_L/2\vartheta'} G \psi_L \\ &= e^{i(Y_L - Y_\phi - Y_R)\vartheta'/2} \bar{e}_R \phi^\dagger G^\dagger G \psi_L \\ &= e^{i((-1) - (+1) - (-2))\vartheta'/2} \bar{e}_R \phi^\dagger \psi_L \\ &= \bar{e}_R \phi^\dagger \psi_L \end{aligned}$$

$$\bar{\psi}'_L \phi' e_R = \text{analog}$$

$\mathcal{L}^{\text{Yukawa}}$ is manifest gauge invariant.

- NB: $f_e = f_e^*$ can be chosen real. Residual phases can be re-defined in e_R .

Expansion close to energy ground state

- Develop ϕ in its energy ground state at $|\phi| = \sqrt{\frac{\mu^2}{2\lambda}}$:

$$\phi = \begin{pmatrix} \phi_+ \\ \phi_0 \end{pmatrix} \xrightarrow{|\phi| = \sqrt{\frac{\mu^2}{2\lambda}}} \phi = \begin{pmatrix} 0 \\ \sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \end{pmatrix}$$

$$\begin{aligned} \mathcal{L}^{\text{Yukawa}} &= -f_e (\bar{e}_R \phi^\dagger \psi_L) - f_e^* (\bar{\psi}_L \phi e_R) \\ &= -f_e \left(\bar{e}_R \begin{pmatrix} 0 & \sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \end{pmatrix} \begin{pmatrix} \nu \\ e_L \end{pmatrix} + (\bar{\nu} \quad \bar{e}_L) \begin{pmatrix} 0 \\ \sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \end{pmatrix} e_R \right) \\ &= -f_e \left(\underbrace{\left(\sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \right)}_{m_e \equiv f_e \sqrt{\frac{\mu^2}{2\lambda}}} (\bar{e}_R e_L + \bar{e}_L e_R) \right) = -f_e \left(\sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \right) \underbrace{\bar{e}e}_{\stackrel{(1)}{=} \bar{e}e} \end{aligned}$$

$$\mathcal{L}^{\text{Yukawa}} = -f_e \left(\sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \right) (\bar{e}_R e_L + \bar{e}_L e_R) \quad \text{Give the explicit coupling structure.}$$

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$$\mathcal{L}^{\text{Yukawa}} = -f_e \left(\sqrt{\frac{\mu^2}{2\lambda} + \frac{H}{\sqrt{2}}} \right) (\bar{e}_R e_L + \bar{e}_L e_R) = -m_e \bar{e} e - \frac{m_e}{v} \frac{H}{\sqrt{2}} \bar{e} e$$

Here comes the 64 billion \$ question

- In the beginning of Lecture-04 I explicitly showed to you that terms of type $\bar{e}e = \bar{e}_R e_L + \bar{e}_L e_R$ break gauge invariance. Now I tell you the opposite. Did I lie to you? When yes, when?

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- In the beginning of Lecture-04 I explicitly showed to you that terms of type $\bar{e}e = \bar{e}_R e_L + \bar{e}_L e_R$ break gauge invariance. Now I tell you the opposite. Did I lie to you? When yes, when?

Of course I would never lie to you. Single terms of this type do indeed break gauge invariance. It is the combination w/ the coupling to the Higgs boson field, which restores the gauge invariance.

Full SM Lagrangian (first lepton generation)

$$\mathcal{L}^{\text{SM}} = \mathcal{L}_{\text{kin}}^{\text{Lepton}} + \mathcal{L}_{\text{IA}}^{\text{CC}} + \mathcal{L}_{\text{IA}}^{\text{NC}} + \mathcal{L}_{\text{kin}}^{\text{Gauge}} + \mathcal{L}_{\text{kin}}^{\text{Higgs}} + \mathcal{L}_{V(\phi)}^{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}}^{\text{Higgs}}$$

$$\mathcal{L}_{\text{kin}}^{\text{Lepton}} = i\bar{e}\gamma^\mu\partial_\mu e + i\bar{\nu}\gamma^\mu\partial_\mu\nu$$

$$\mathcal{L}_{\text{IA}}^{\text{CC}} = -\frac{e}{\sqrt{2}\sin\theta_W} [W_\mu^+ \bar{\nu}\gamma_\mu e_L + W_\mu^- \bar{e}_L\gamma_\mu\nu]$$

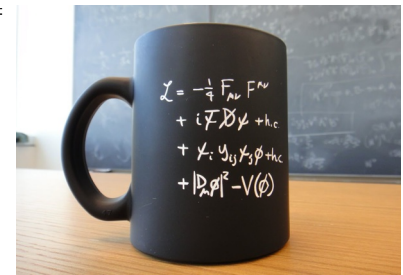
$$\mathcal{L}_{\text{IA}}^{\text{NC}} = -\frac{e}{2\sin\theta_W\cos\theta_W} Z_\mu [(\bar{\nu}\gamma_\mu\nu) + (\bar{e}_L\gamma_\mu e_L)] - e [A_\mu + \tan\theta_W Z_\mu] (\bar{e}\gamma_\mu e)$$

$$\mathcal{L}_{\text{kin}}^{\text{Gauge}} = -\frac{1}{2}\text{Tr}(W_{\mu\nu}^a W^{a\mu\nu}) - \frac{1}{4}B_{\mu\nu}B^{\mu\nu} \left| \begin{array}{l} B_\mu \rightarrow A_\mu \\ W_\mu^3 \rightarrow Z_\mu \end{array} \right.$$

$$\mathcal{L}_{\text{kin}}^{\text{Higgs}} = \frac{1}{2}\partial_\mu H\partial^\mu H + \left(1 + \frac{1}{v}\frac{H}{\sqrt{2}}\right)^2 m_W^2 W_\mu^+ W^{\mu-} + \left(1 + \frac{1}{v}\frac{H}{\sqrt{2}}\right)^2 m_Z^2 Z_\mu Z^\mu$$

$$\mathcal{L}_{V(\phi)}^{\text{Higgs}} = -\frac{m_H^2 v^2}{4} + \frac{m_H^2}{2} \left(\frac{H}{\sqrt{2}}\right)^2 + \frac{m_H^2}{v} \left(\frac{H}{\sqrt{2}}\right)^3 + \frac{m_H^2}{4v^2} \left(\frac{H}{\sqrt{2}}\right)^4$$

$$\mathcal{L}_{\text{Yukawa}}^{\text{Higgs}} = -\left(1 + \frac{1}{v}\frac{H}{\sqrt{2}}\right) m_e \bar{e}e$$



- On our way we have witnessed three **mechanisms of mass generation** in the SM:

- Via gauge coupling: $\left(\frac{(g^2 + g'^2)}{2}\right)^2 v^2 Z_\mu Z^\mu$ $\left(\frac{g^2}{2}\right)^2 v^2 W_\mu^+ W^{\mu-}$
 - Via *Yukawa* coupling: $f_e v \bar{e} e$
 - Via *Goldstone* potential (\rightarrow self-coupling): $\frac{m_H^2}{2} \left(\frac{H}{\sqrt{2}}\right)^2$
- } Mass generation via
coupling to non-
vanishing vacuum.

A word on couplings...

- We summarize the **couplings of fermions and bosons to the Higgs boson** (according to Feynman rules):

$$f_{H \rightarrow ff} = i \frac{m_f}{v} \quad (\text{Fermions})$$

$$f_{H \rightarrow VV} = i \frac{2m_V^2}{v} \quad (\text{Heavy Bosons trilinear})$$

$$f_{HH \rightarrow VV} = i \frac{2m_V^2}{v^2} \quad (\text{Heavy Bosons quartic})$$

$$f_{H \rightarrow HH} = i \frac{3m_H^2}{v} \quad (H \text{ Boson trilinear})$$

$$f_{HH \rightarrow HH} = i \frac{3m_H^2}{v^2} \quad (H \text{ Boson quartic})$$

combinatoric factor

2!
3!/2
4!/2

- The couplings can be read off from the Lagrangian density (c.f. slide 37), times i .
- It has to be taken into account that H is an indistinguishable particle. It therefore contributes with a combinatoric factor for all amplitudes with in- and out-going H fields, wherever the H appears more than once in an interaction vertex.

- **Higgs mechanism = incorporation of spontaneous symmetry breaking into a gauge field theory.** Leads to the fact that gauge bosons eat up *Goldstone* bosons in the system and gain mass.
- → Higgs boson obtains mass from the **Goldstone potential**.
- → Gauge bosons obtain mass from their coupling to ϕ via the **covariant derivative**.
- → Fermions obtain mass via direct **Yukawa coupling** to ϕ .
- Gauge bosons couple to the Higgs like $\propto m_V^2$, fermion fields couple to the Higgs like $\propto m_f$.
- Next week we will recapitulate how to get from a prediction in a Lagrangian density to an observable cross section (→ Feynman rules). For this I will follow the book “*Feynman-Graphen und Eichtheorien für Experimentalphysiker*” by Peter Schmüser.

