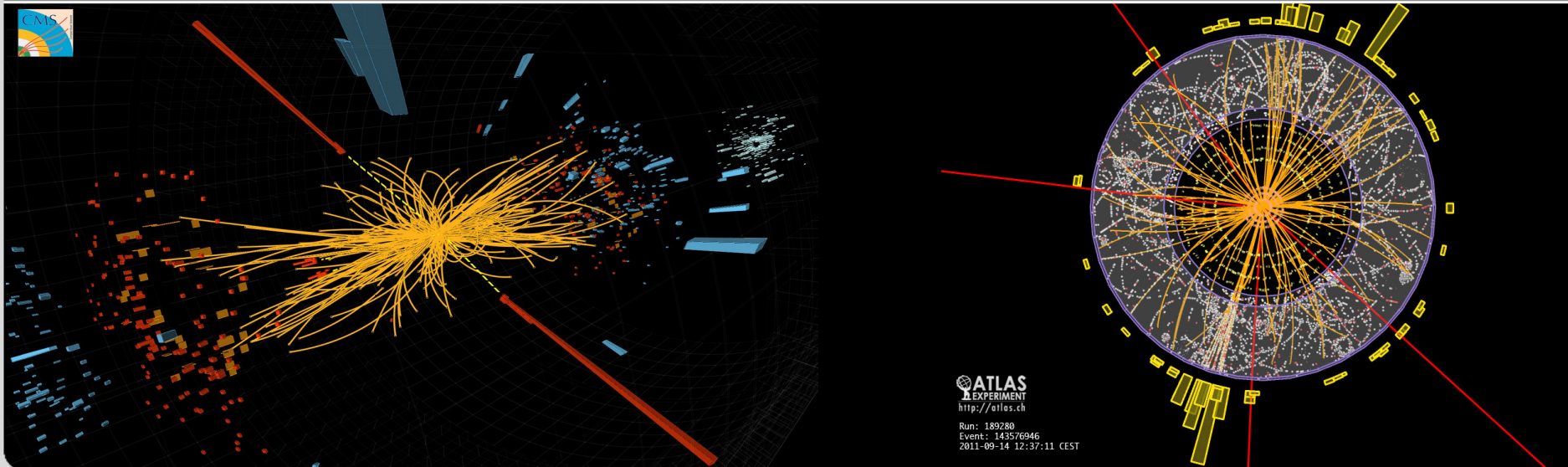


From Observable to Measurement

Günter Quast, Roger Wolf

Master-Kurs
SS 2016

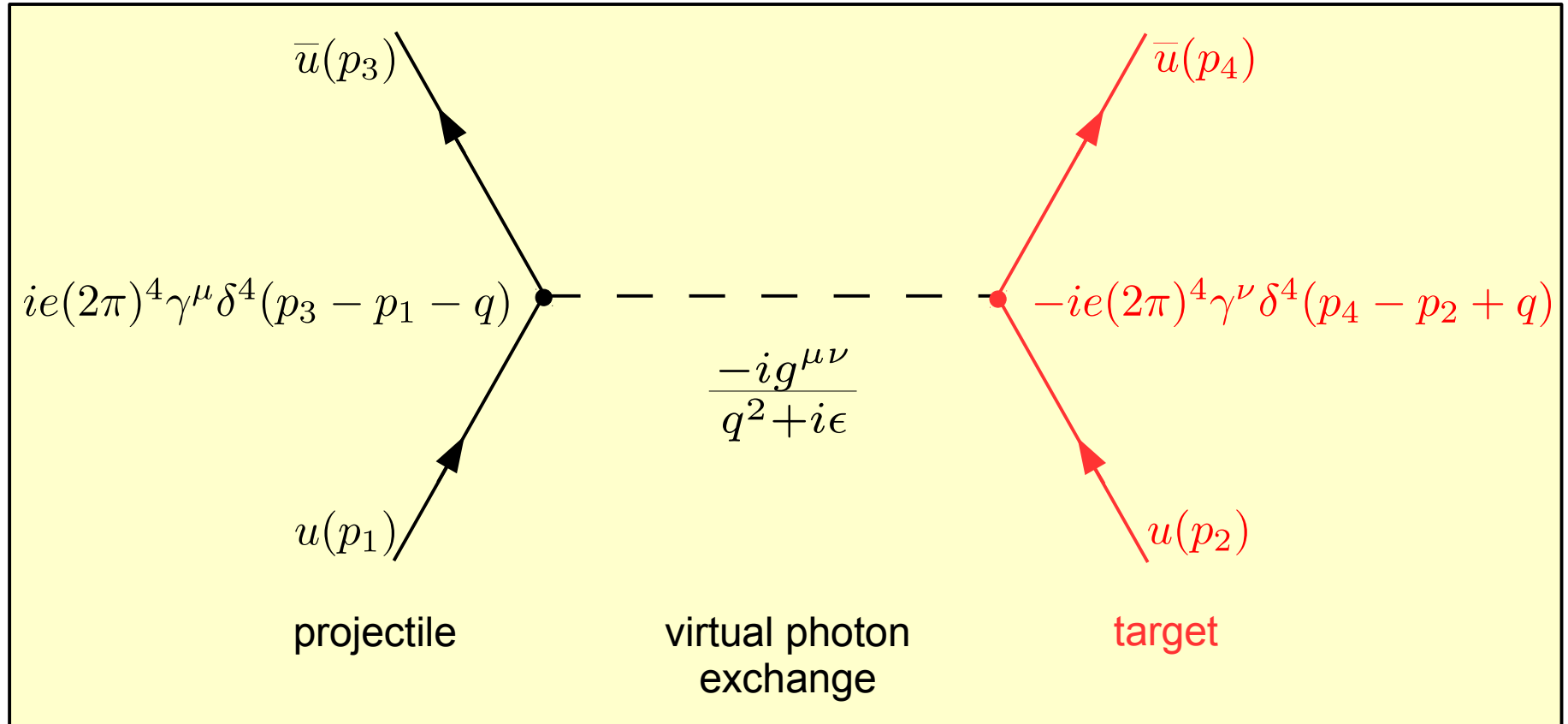
Institut für Experimentelle Kernphysik



Recap last lecture

Matrix element S_{fi} from Feynman Diagram:

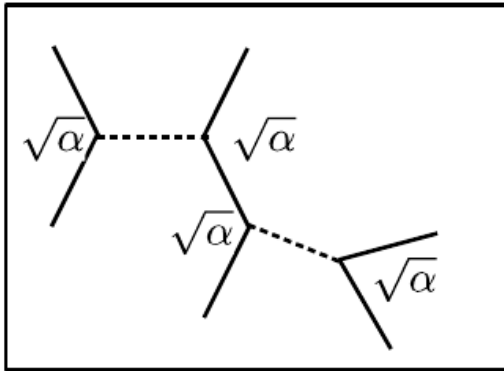
$$i(4\pi^2 e)^2 \int d^4 q \delta^4(p_3 - p_1 - q) \bar{u}(p_3) \gamma_\mu u(p_1) \frac{-g^{\mu\nu}}{q^2 + i\epsilon} \delta^4(p_4 - p_2 + q) (2\pi)^4 \bar{u}(p_4) \gamma_\nu u(p_2)$$



Higher Orders contribute ...

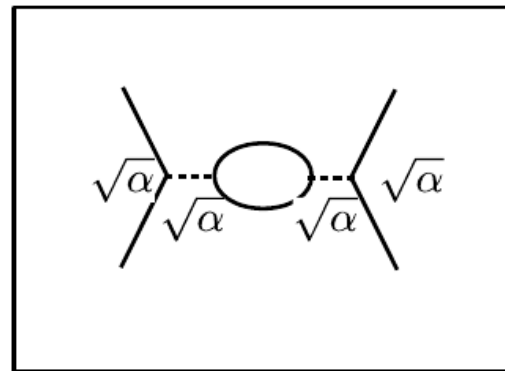
- We have only discussed contributions to \mathcal{S}_{fi} , which are of order α^1 in QED. (e.g. LO $ee \rightarrow ee$ scattering).
- Diagrams which **contribute to order α^2** would look like this:

Additional legs:



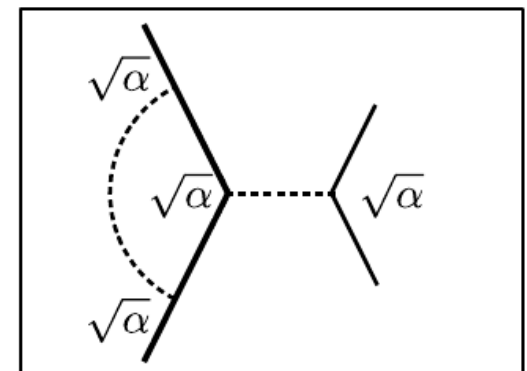
- LO term for a $2 \rightarrow 4$ process.
- NLO contrib. for the $2 \rightarrow 2$ process.
- **Opens phasespace.**

Loops:



(in propagators or legs)

- Modifies (effective) masses of particles (**“running masses”**).

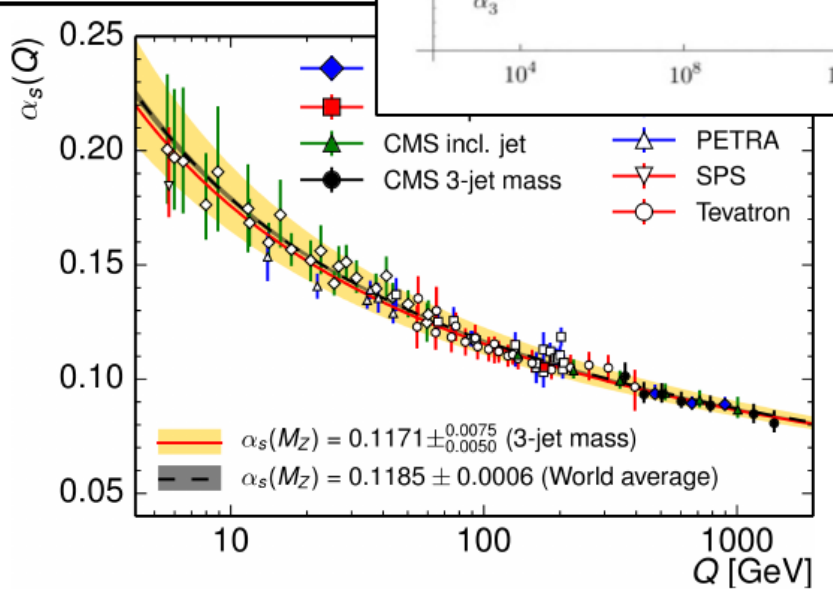
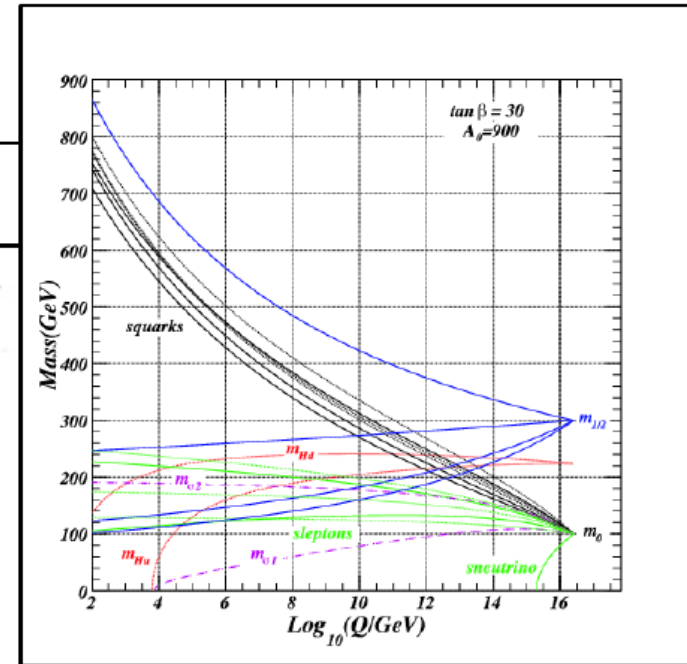
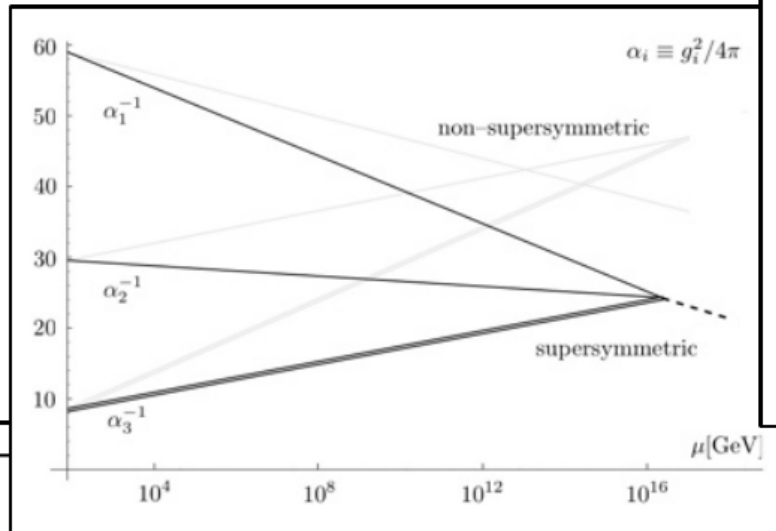


(in vertices)

- Modifies (effective) couplings of particles (**“running couplings”**).

Running Couplings

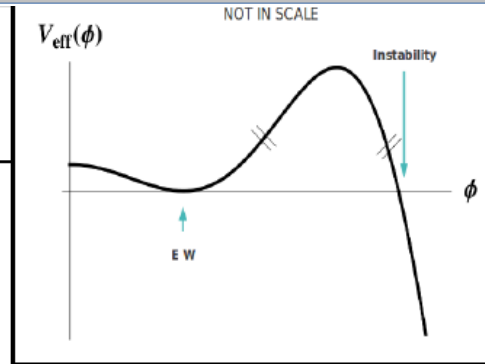
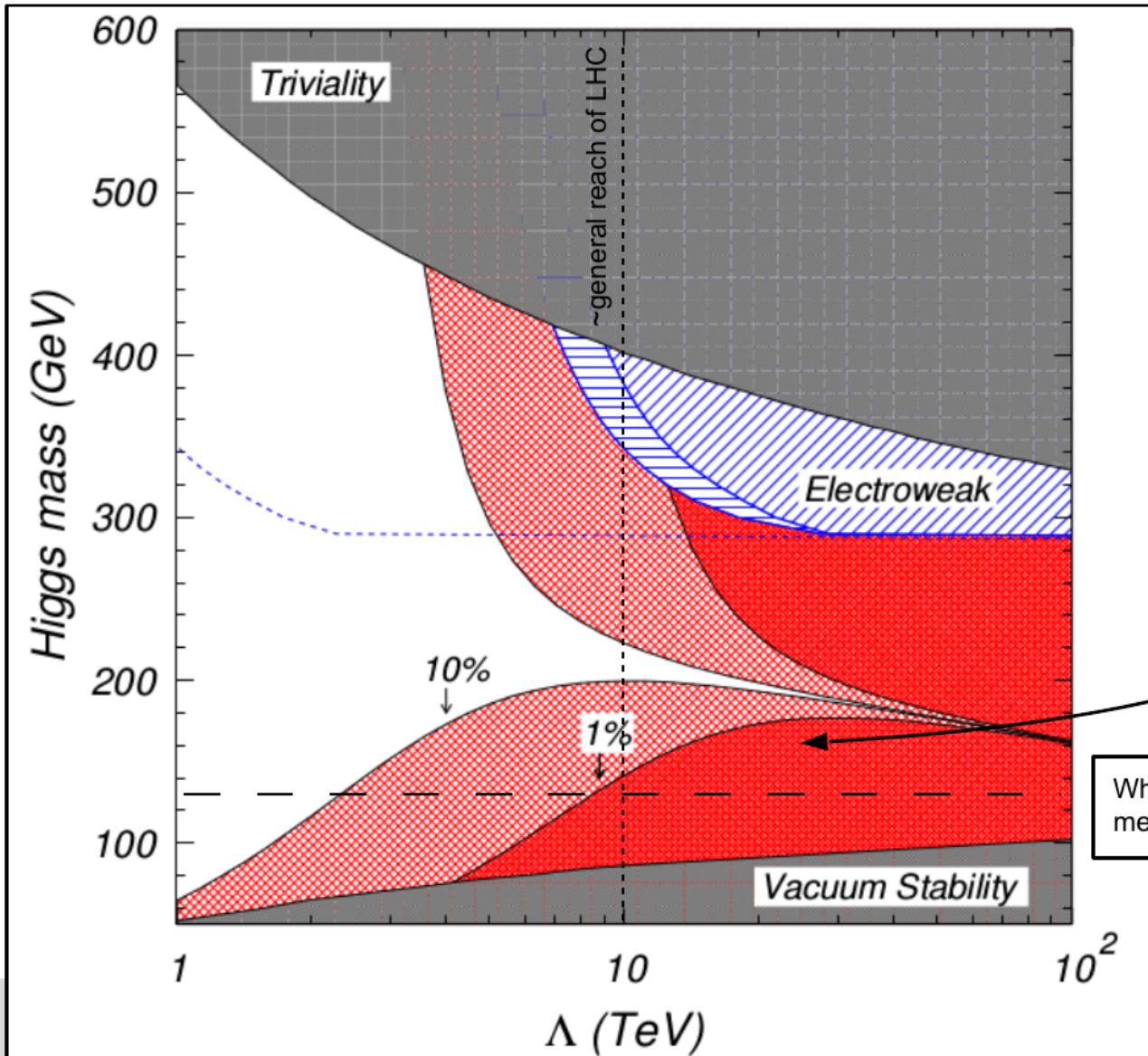
Examples for “running constants”



- Running of the constants can be predicted and are indeed observed.
- Coupling needs to be measured at least in one point.
- One usually gives the value at a reference scale (e.g. m_Z).

Bounds on Higgs Mass

Intrinsic bounds on m_H



Higgs potential w/ running λ .

Different levels of fine tuning in the SM.

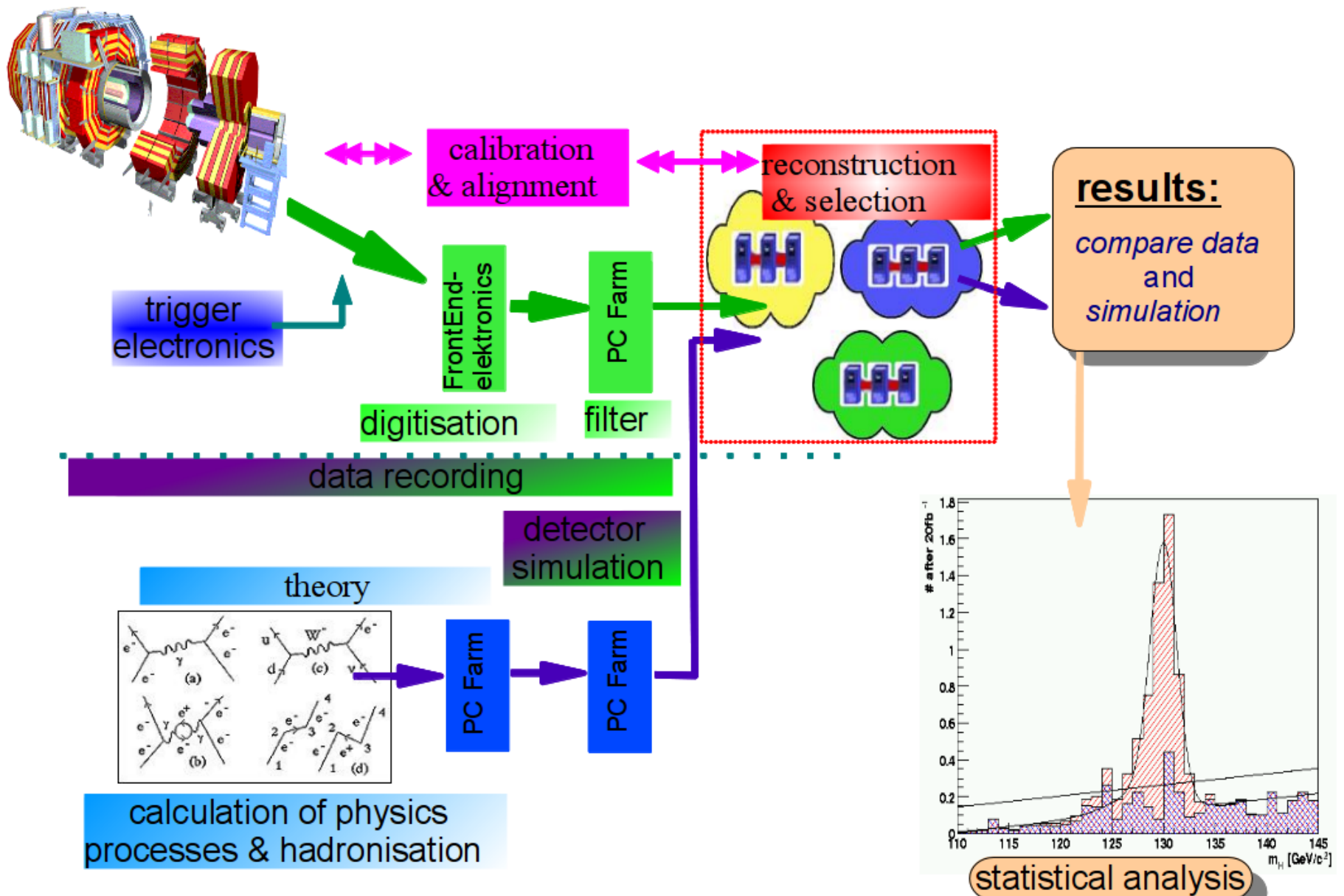
What we have found and measured for m_H .

Lecture 5

The simulation chain

from S_{fi} to a representation of real data

Overview: Components of Analysis Chain



Components of Analysis Chain

- **Digitizers** record data from detector cells
 - remove empty cells („zero-suppression“ and „noise reduction“)
- **Trigger** and **Filter** select „interesting“ events „on-line“ to be stored for „off-line“ analysis
 - (events not stored at this point are lost forever !)*
- **Reconstruction** process constructs physical objects (electrons, muons, jets, ...)
 - (this and subsequent steps can be repeated many times)*
- **Pre-selection** identifies interesting events and objects in events for further processing and analysis
- **Analysis** compares measured distributions with theoretical expectations

Theory

Experiment

- **theoretical calculation** of production cross sections
- **hadronisation** of quarks and gluons into jets

• **Detector simulation**

same reconstruction, selection and analysis steps
for **simulated events** as for **real events**

The Observable:

the differential cross section

cross section:

transition rate initial \rightarrow final state

in theory

Fermi's golden rule

$$\lambda_{i \rightarrow f} = 2\pi |M_{fi}|^2 \rho$$

amplitude or
"matrix element"
of underlying process

phase space

Cross Section

$$\sigma = \frac{|\mathcal{M}|^2 \cdot [\text{Phase space}]}{[\text{Colliding particle flux}]}$$

experimentally

$$\sigma = \frac{N_{cand} - N_{bkg}}{\epsilon \cdot f} \frac{1}{T}$$

N_{cand} : number of observed events

N_{bkd} : number of expected background events

ϵ : acceptance \cdot efficiency

f : flux

T : measurement time

want to understand

\mathcal{L}_{int} → final states

and predict measurable quantities

$\frac{\partial \sigma}{\partial O_i}$ = differential cross section

O_i : type,
direction of flight (e. g. azimuthal angle and rapidity)
energy or momentum,
invariant mass of (groups of)

...

final state particles

Rapidity and invariant cross section

In particle reactions, use rapidity, y , w.r.t the line of collision of the incident particles: (instead of polar angle θ):

$$y = \tanh^{-1} \beta_z = \frac{1}{2} \ln \left(\frac{1 + \beta_z}{1 - \beta_z} \right) = \frac{1}{2} \ln \left(\frac{E + p_z}{E - p_z} \right)$$

$$\beta_z = \frac{v_z}{c} \quad \text{is the component parallel to z axis}$$

relation $y \leftrightarrow \beta$ is similar as

α (the angle between a straight line and the x axis) \leftrightarrow s (the slope, with $\alpha = \tan^{-1}(s)$):

- angles **or rapidities** are additive, slopes **or (relativistic) velocities** are not (two subsequent rotations **or Lorentz** boosts)
- upon a global rotation **or Lorentz boost** of the coordinate system, difference in angles **or rapidities** remain constant

At hadron colliders,

where the centre-of-mass system of a collision is not at rest,

y is the proper variable

pseudo-rapidity for massless particles: $\eta = -\ln \left[\tan \left(\frac{\theta}{2} \right) \right]$

note: η is easy to measure, y is not !

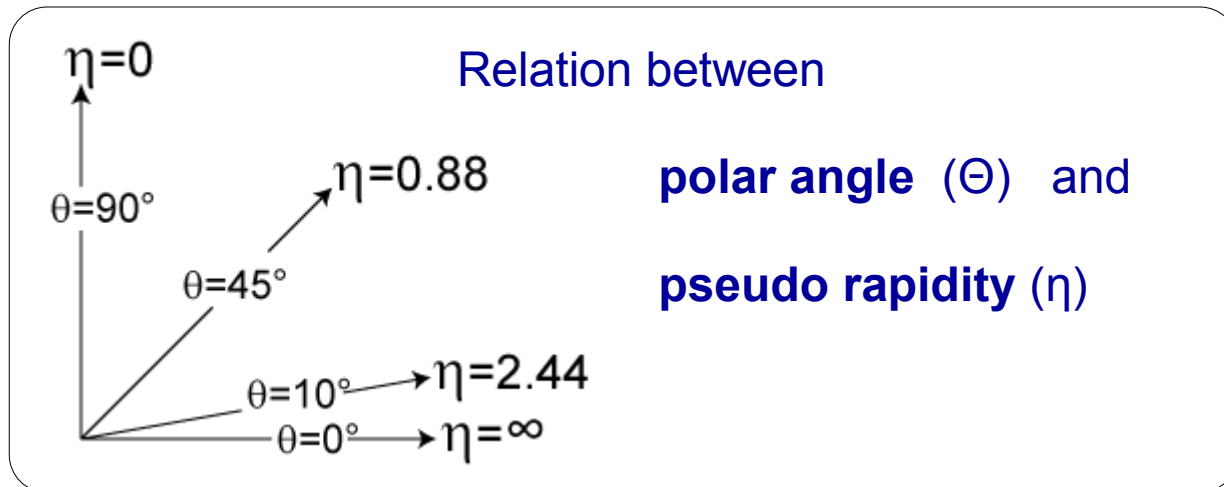
Rapidity and invariant cross section (2)

As a consequence of the above (dy being Lorentz-invariant w.r.t. boosts in z),

$$\frac{d\sigma}{dy}, \quad \frac{d\sigma}{d\phi} \quad \text{and hence the double-differential cross section} \quad \frac{d^2\sigma}{dy d\phi}$$

are Lorentz-invariant w.r.t. boosts in z

$\frac{d\sigma}{d\eta}$ is invariant for high-energetic particles with negligible rest mass



Source: Wikipedia

Monte-Carlo Generators

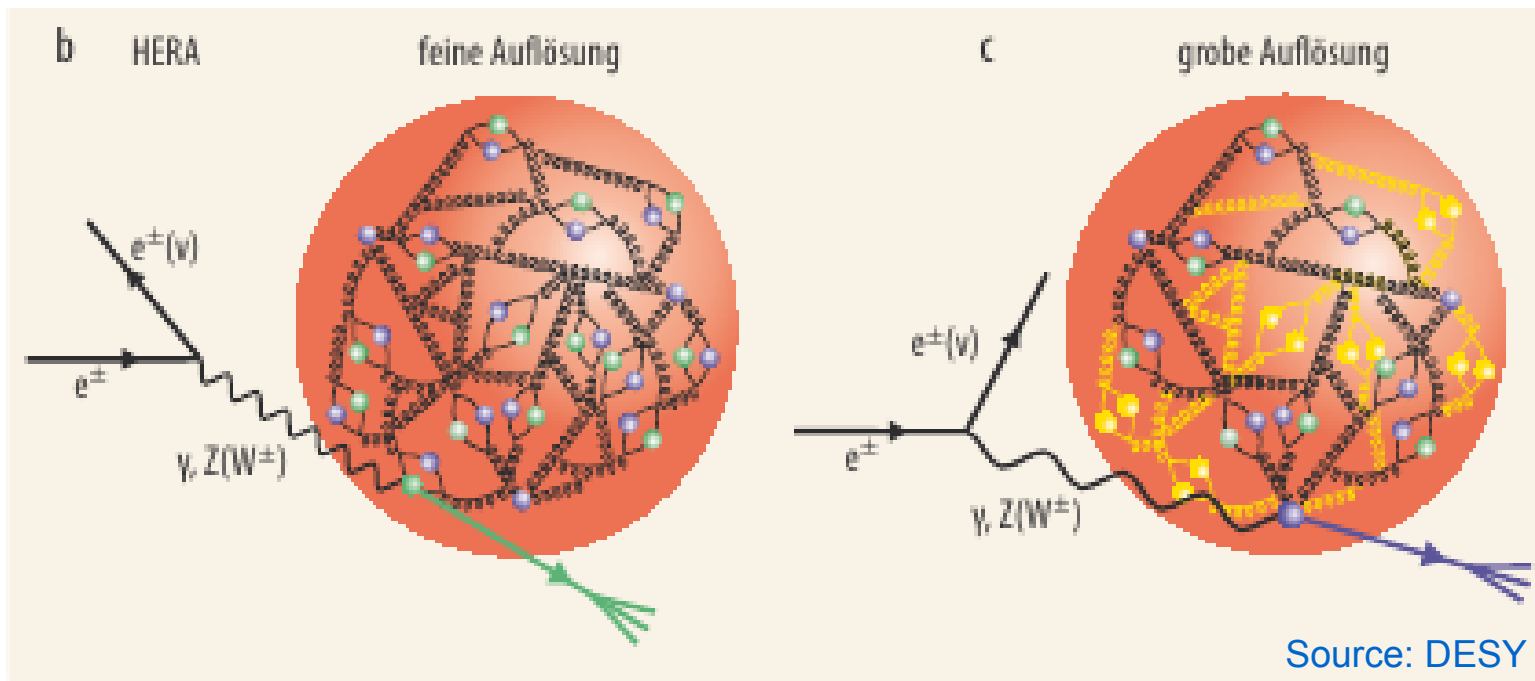
Reprise: the Proton

in fact, the proton is complicated:

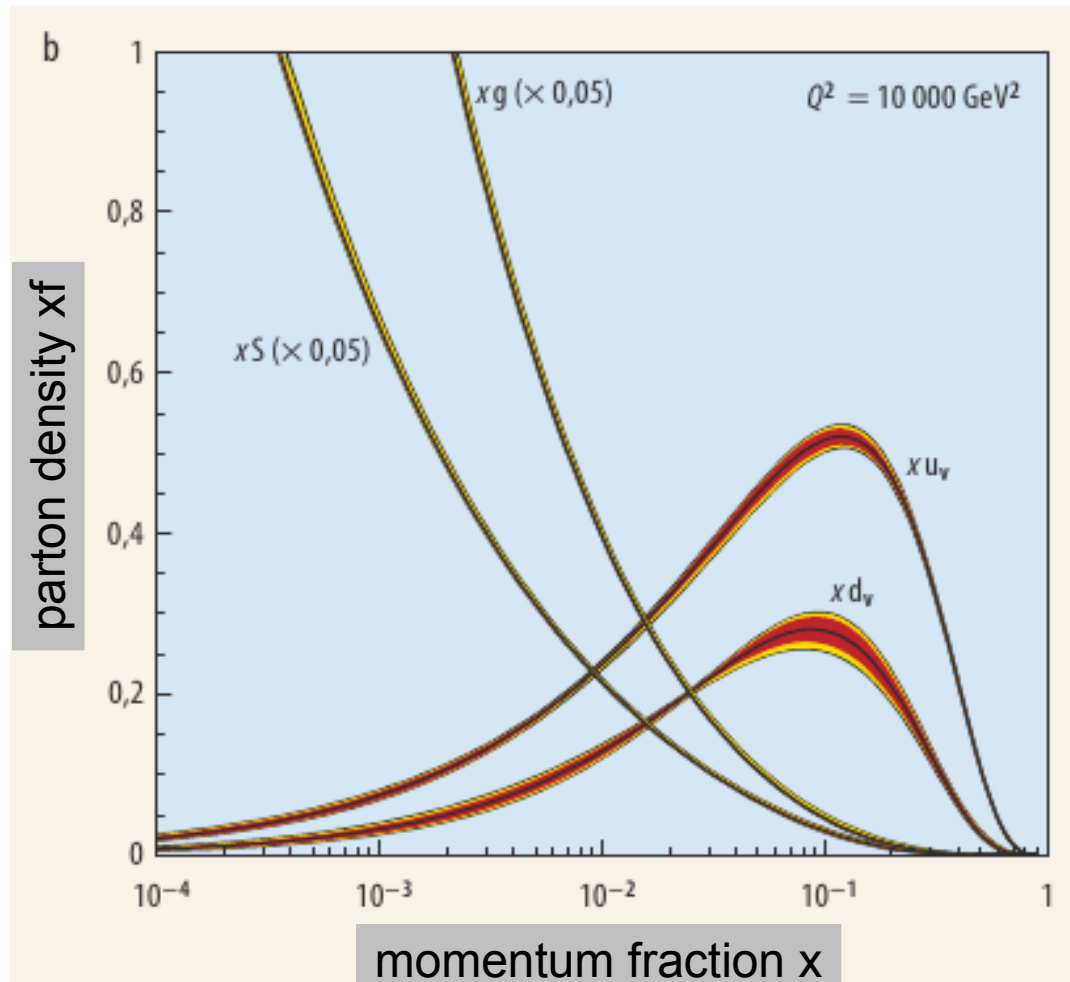
composed of

- **valence quarks**
- **sea quarks**
- **gluons** (carry 50% of momentum)

Precision study of proton composition in electron-proton scattering
HERA at DESY in Hamburg



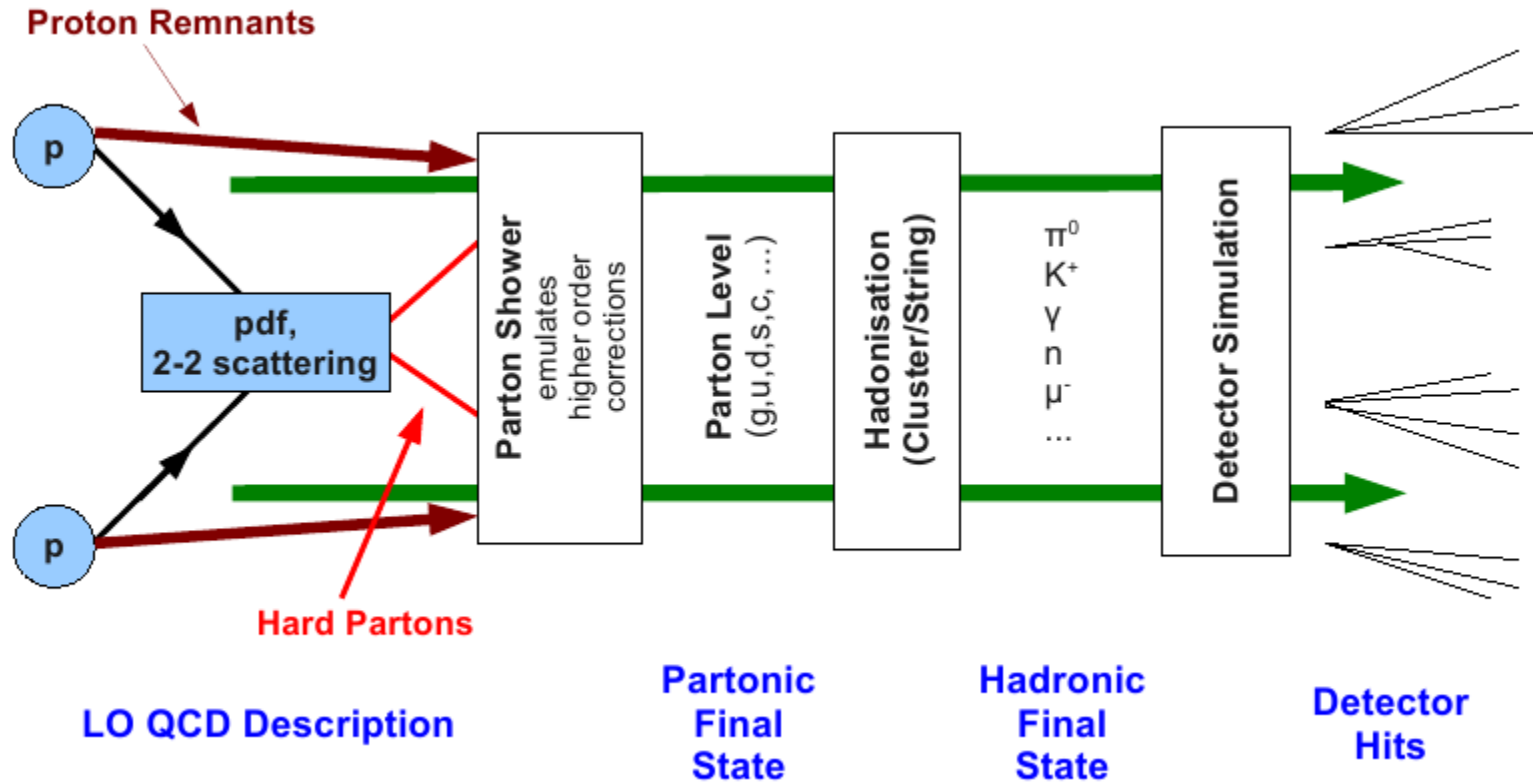
Reprise: Structure Functions



Parton Density Functions (PDFs) have to be taken into account when calculating cross sections at hadron colliders.

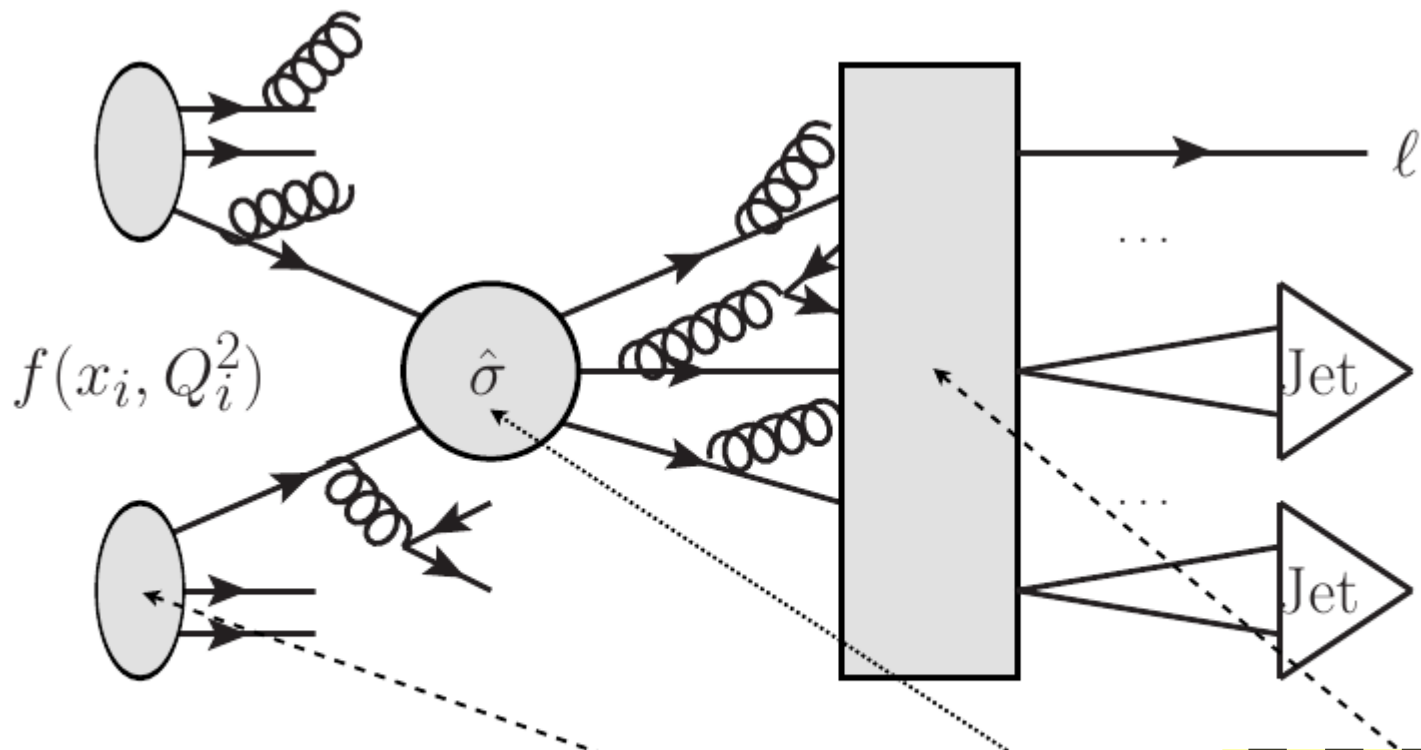
see, e.g., Courses Particle Physics II – Jet Physics

pp → final state is a multi-step process



Calculation of Cross sections

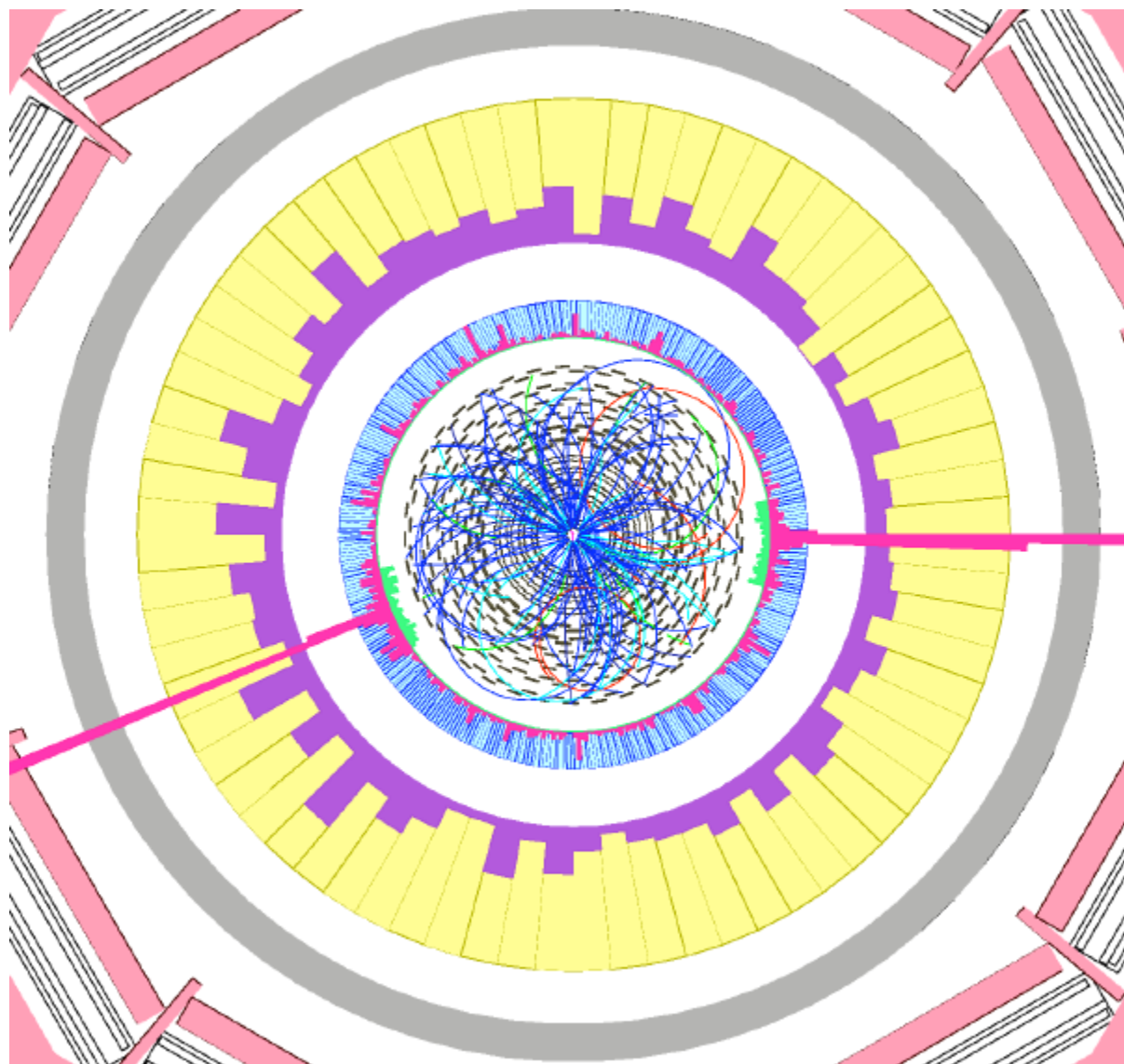
$$\sigma = \text{PDFs} \otimes 2 \rightarrow n \text{ process} \otimes \text{hadronization}$$



$$\sigma_{\text{QCD}} = \sum_{jk} \int dx_j dx_k f_j(x_j, \mu_F^2) f_k(x_k, \mu_F^2) \cdot \hat{\sigma}(x_j x_k S, \mu_F^2, \mu_R^2) \otimes \text{hadronization}$$

Complicated process – use MC techniques to calculate cross sections, phenomenological modes to describe hadronization process (quarks → jets)

Example: simulated Higgs Decay in CMS



Can you see the Higgs?

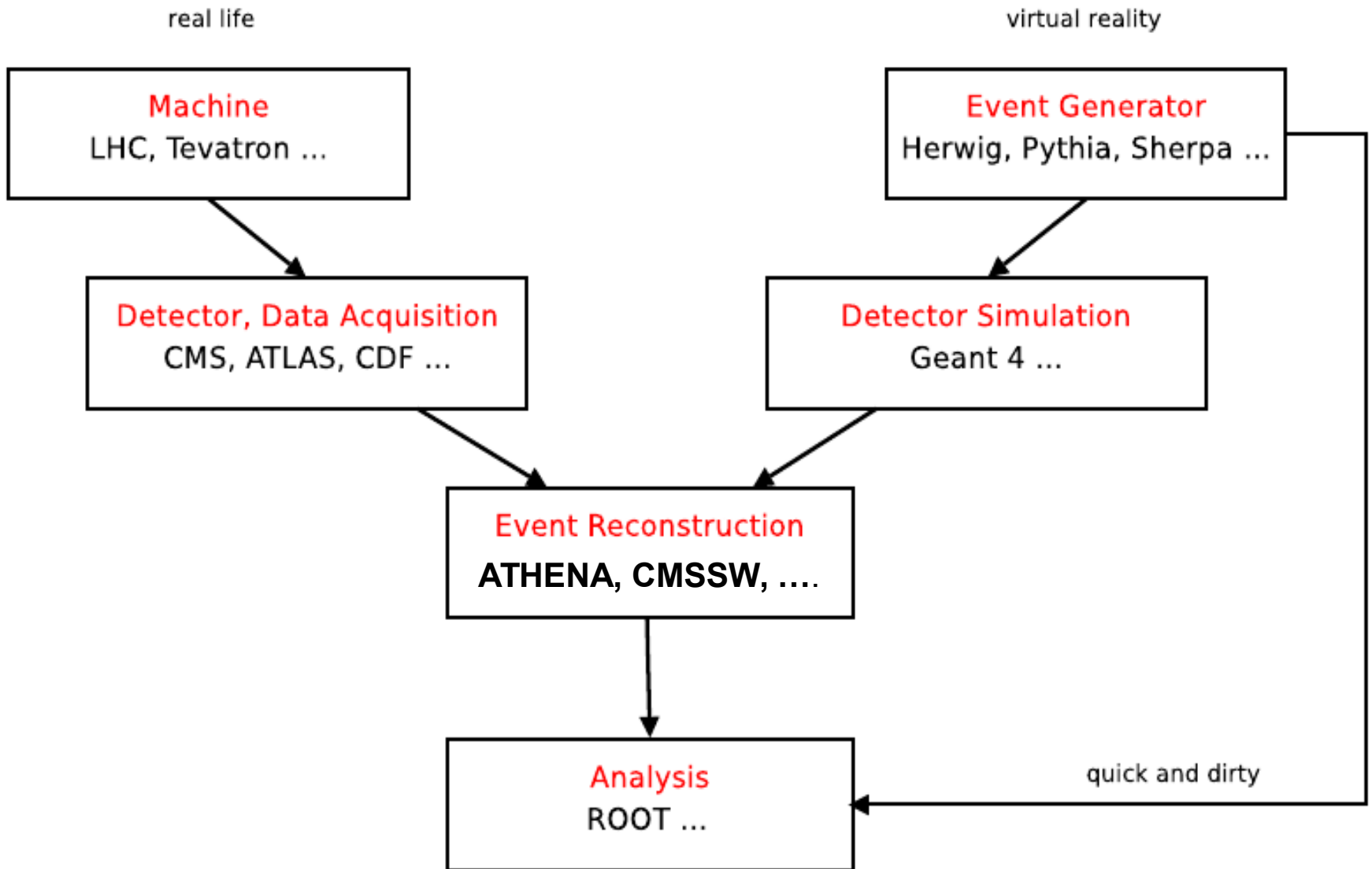
nice lecture, much more detailed than what can be shown here:

Monte Carlo School 2012, Helmholtz Alliance „Physcis at the Terascale“
lecture by Stefan Giesecke, KIT

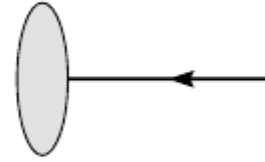
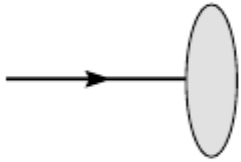
Technique in particle physics:

- Generate artificial events
reflecting all processes in the Lagrangian
using the Monte Carlo Technique
- obtain arbitrary distributions from simulated final state particles
- and compare with measurements

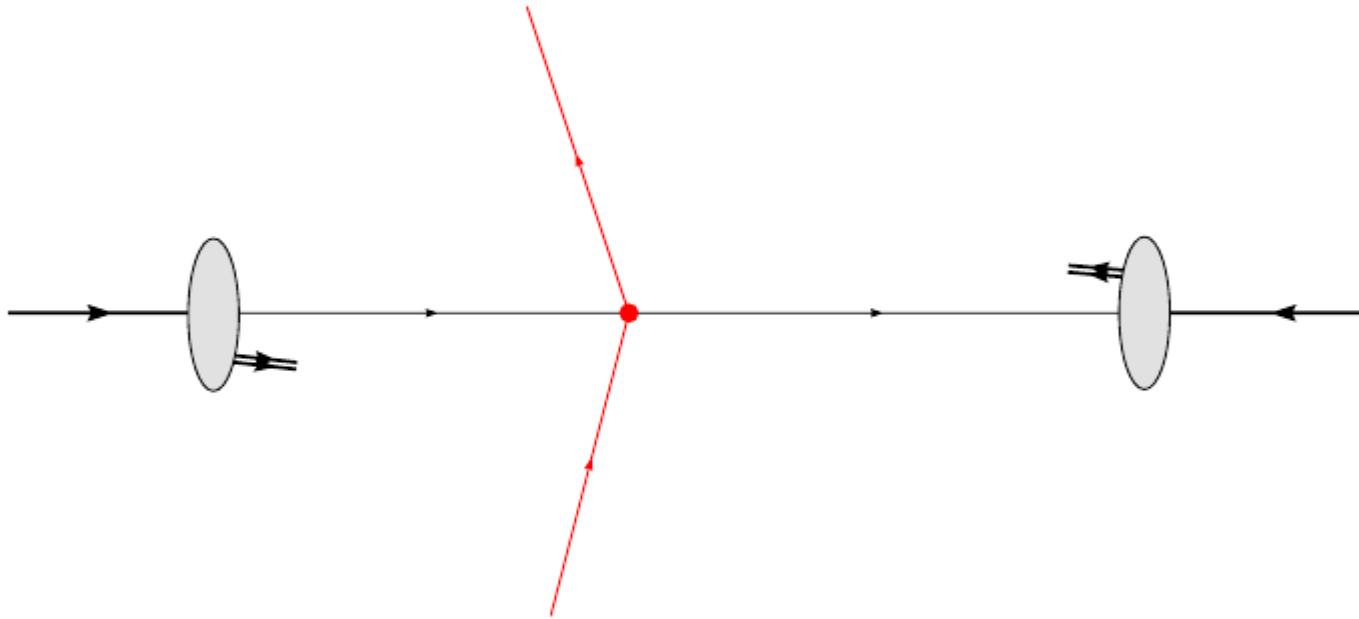
Steps of MC simulation



Example: pp collision



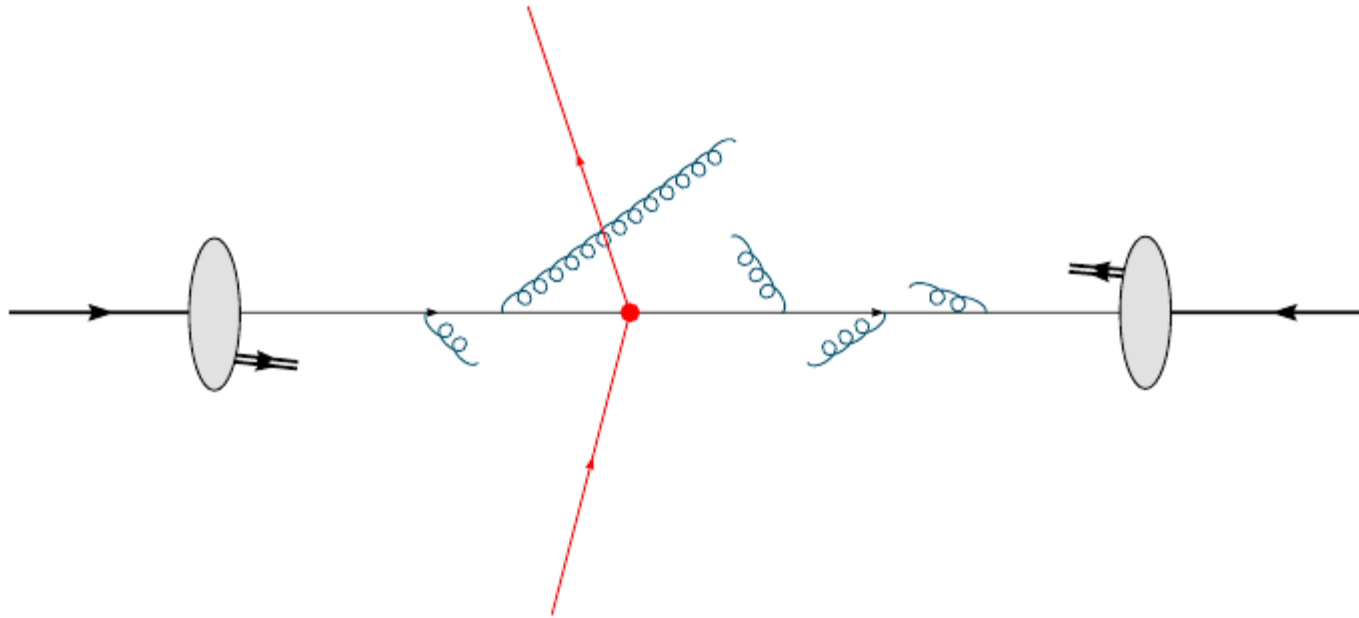
Example: pp collision



Stefan Gieseke · DESY MC school 2012

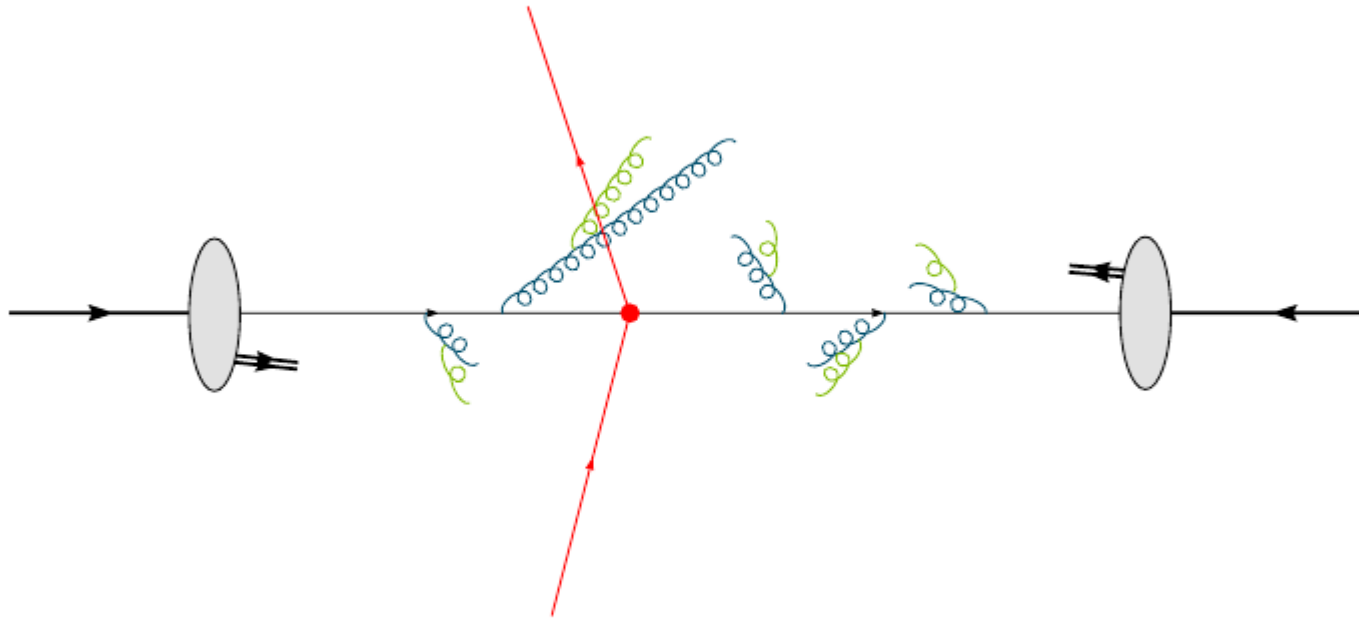
matrix element of hard process

Example: pp collision



parton shower

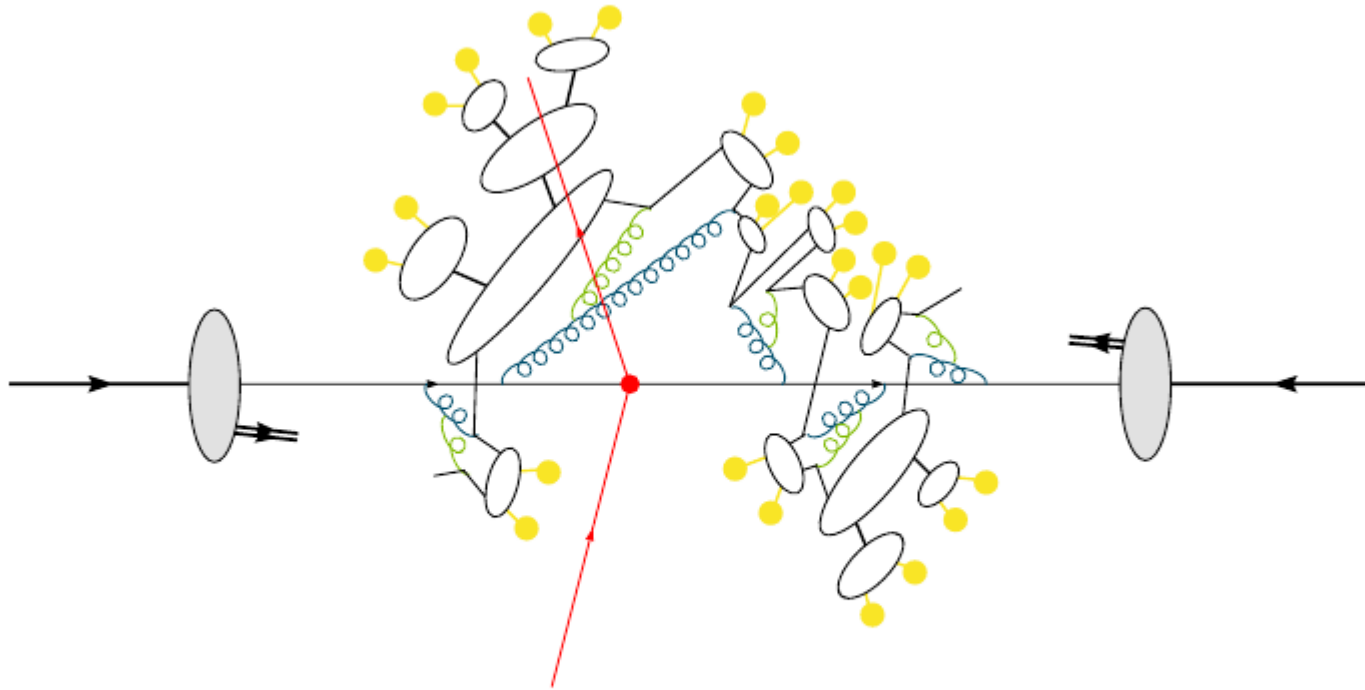
Example: pp collision



Stefan Gieseke · DESY MC school 2012

parton shower

Example: pp collision

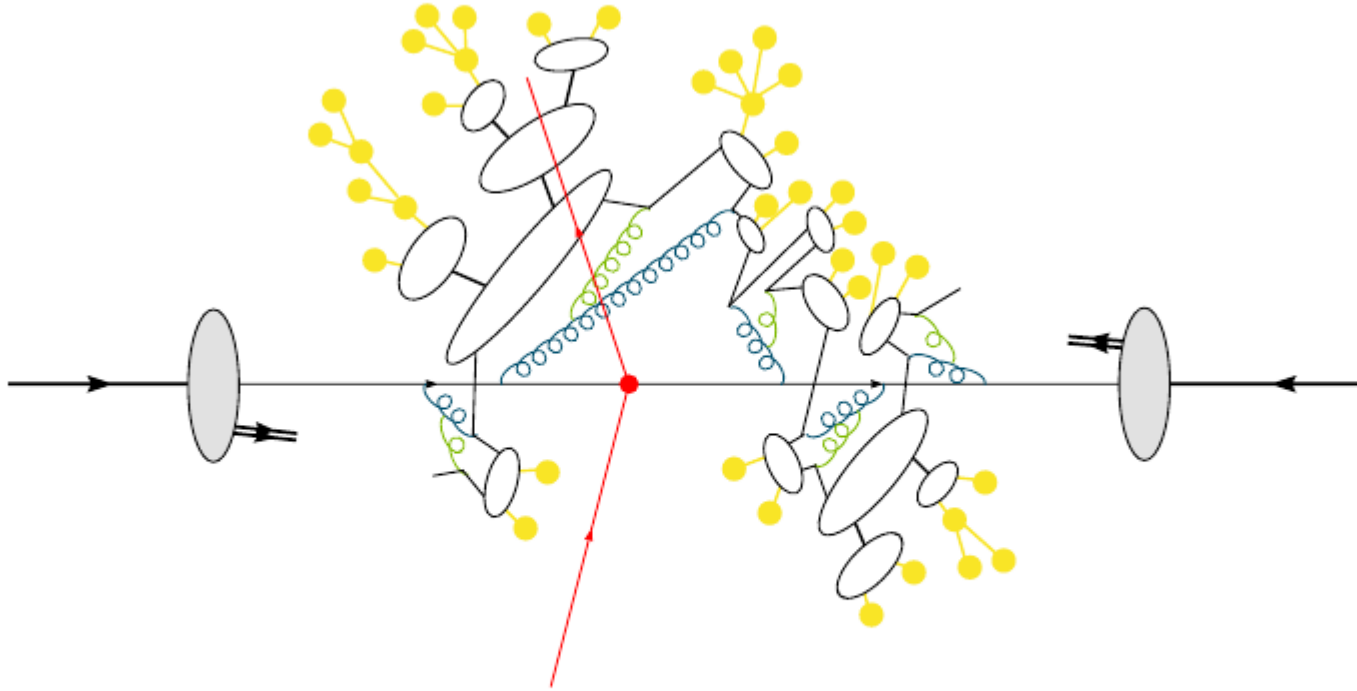


Stefan Gieseke · DESY MC school 2012

hadronization

phenomenological:
Lund string model
(Pythia)
or
cluster hadronisation
(Herwid(++))

Example: pp collision

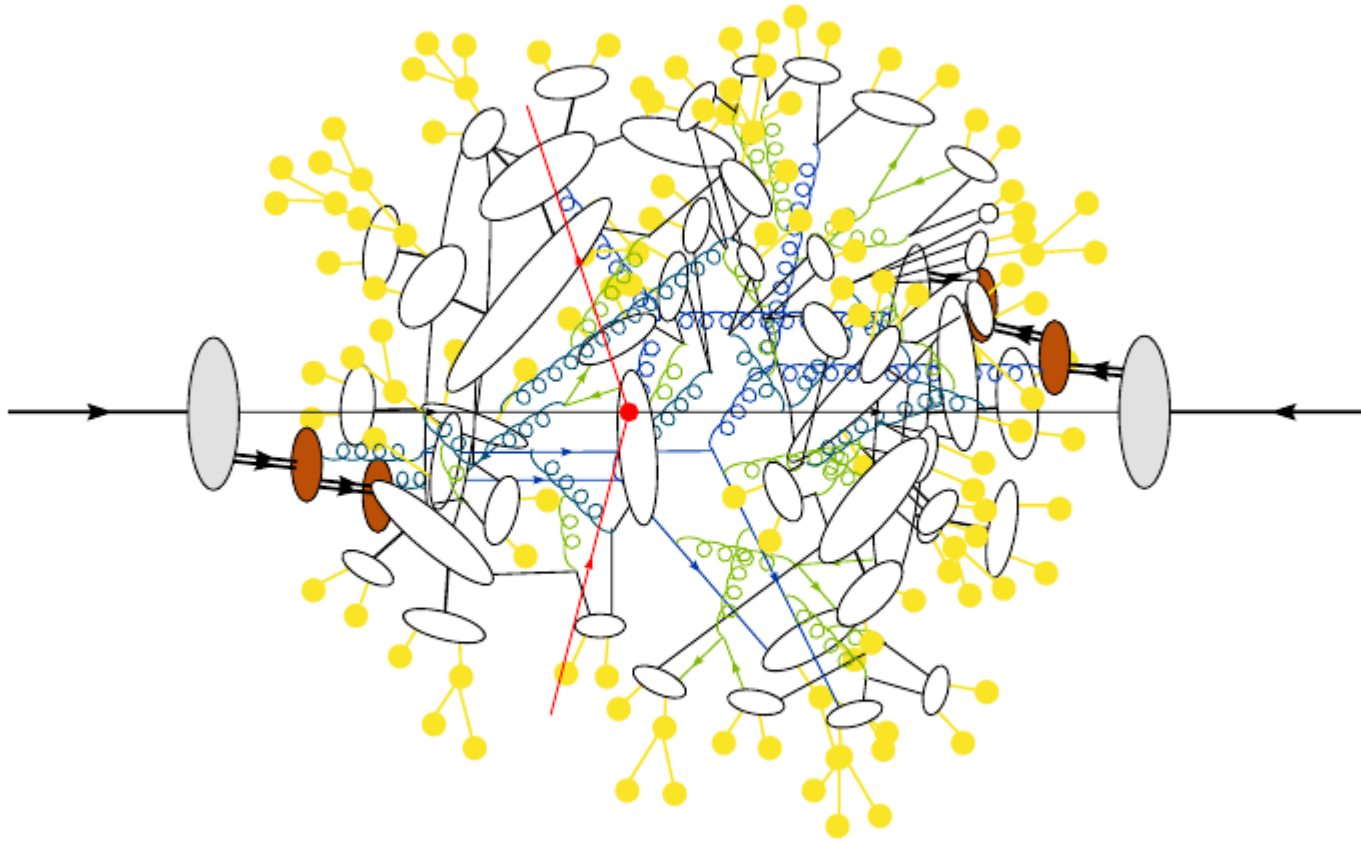


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hadron decays

tedious -
relies on
measurements

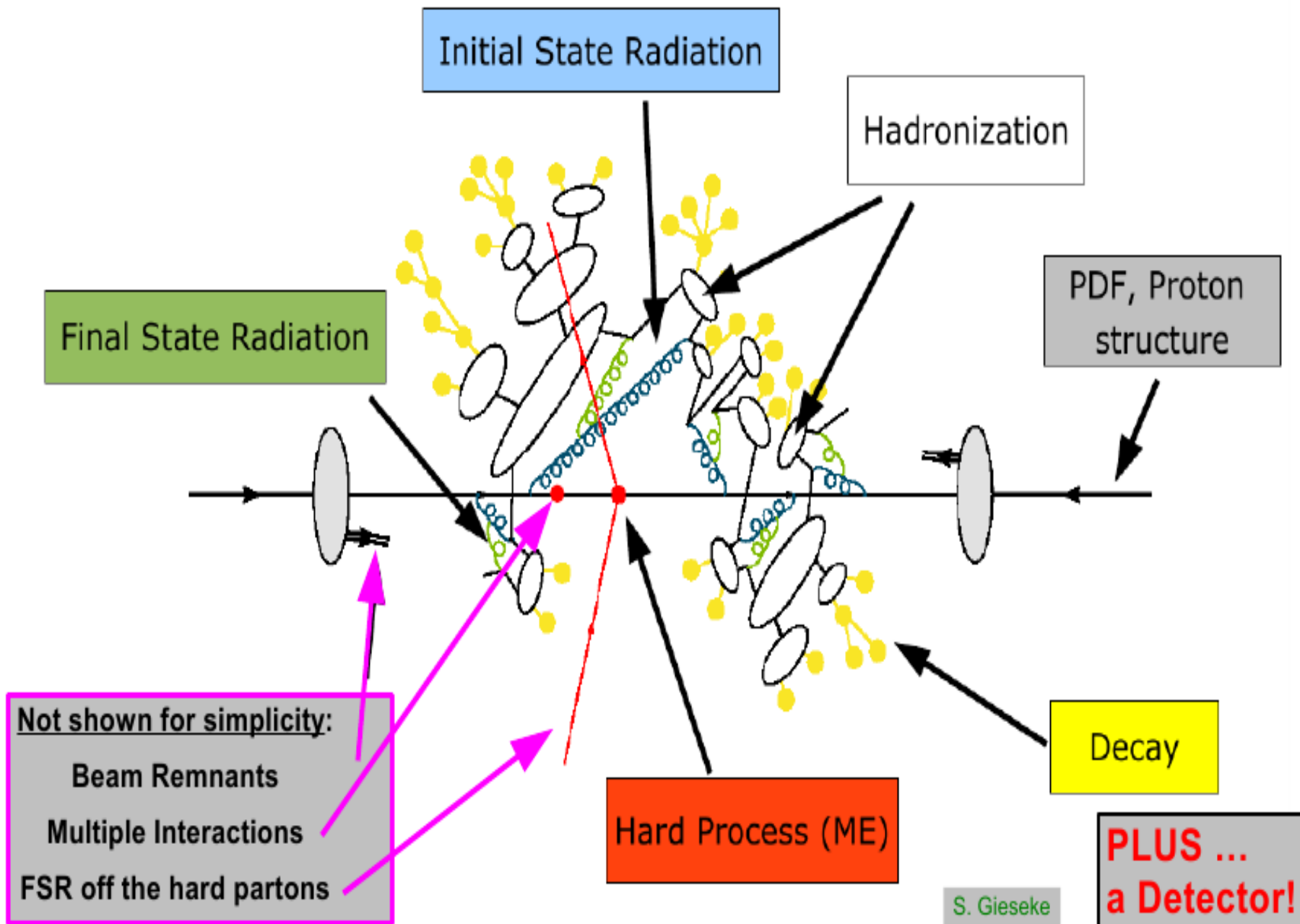
Example: pp collision



relies on models
& measurements
→ needs „tuning“

Multi-parton interactions and
underlying event

Summary: pp collision



Example: pp collision

last step:

- process stable particles through detector simulation to obtain „hits“ in detector cells;
- run reconstruction software to obtain „reconstructed objects“
- run selection procedures („Analysis“)
to obtain „identified reconstructed objects“

in total:

true properties of objects from hard process at parton level
are **folded** with

- parton distribution functions,
- hadronization effects,
- detector acceptance and efficiency,
- reconstruction efficiency and resolution,
- identification efficiency and purity

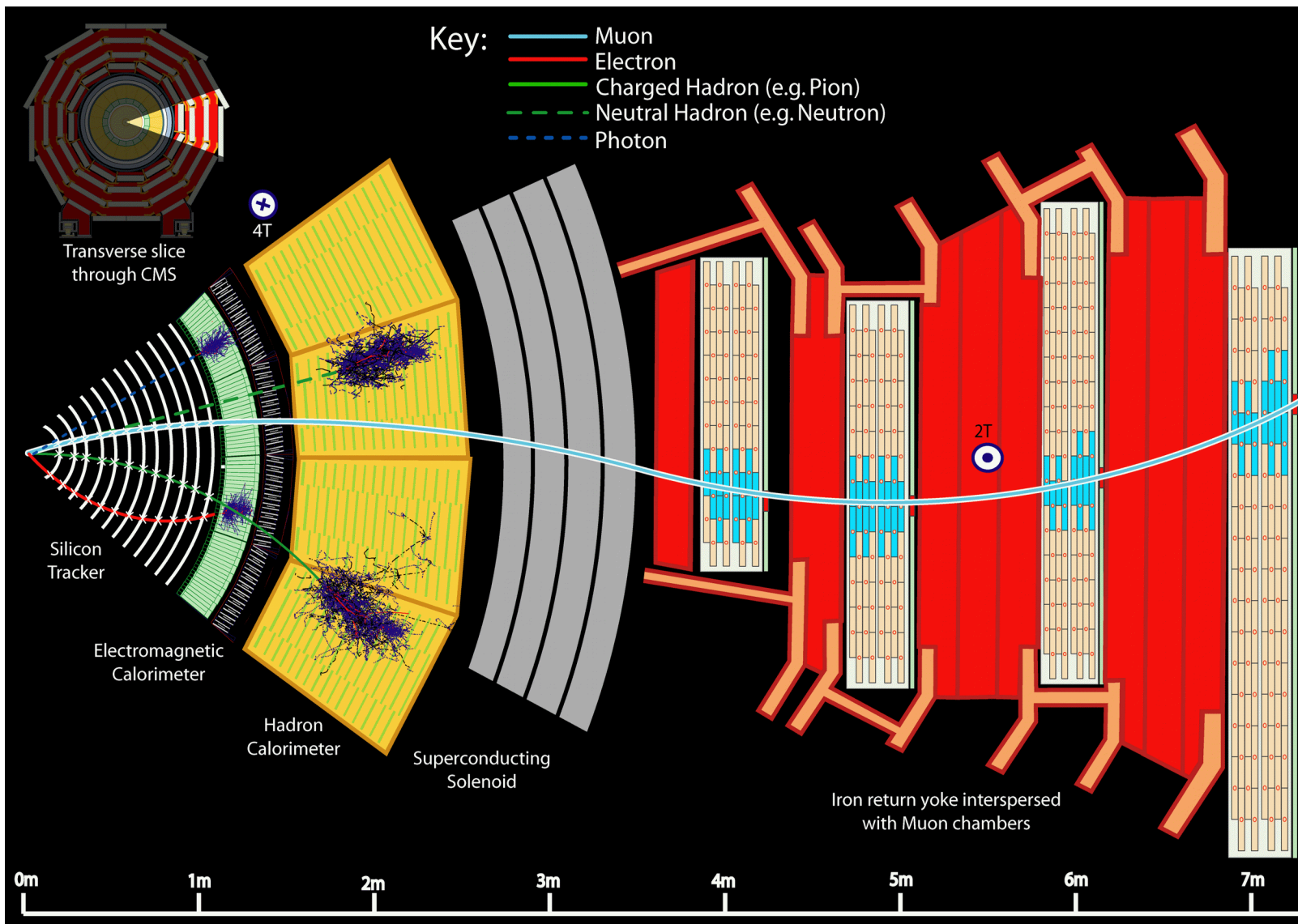
to obtain **reconstructed properties**

all steps involve multi-dimensional integrations;

Monte Carlo is the only choice !

Detector Simulation

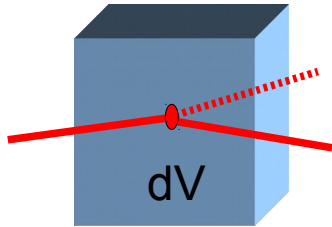
Stable Particles in a Detector



Detector registers only „stable particles“,
i.e. with life times long enough to traverse the detector

7 stable particles:
 γ , e , μ , p , n , π^\pm , K^\pm

Basics: Detector simulation



Tracking of individual interactions of particles

Starting point:

ONE interaction of a SINGLE particle
in a volume element $dV = A dL$

Interaction probability w depends on

- cross section σ of a process and
- number N of particles in volume element

$$dN = A dL \rho N_A / m_{Mol} = \rho_n A dL$$

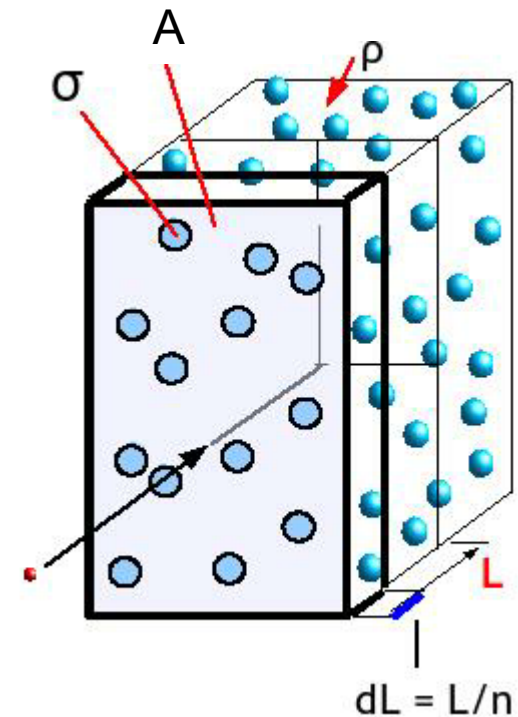
$$\rightarrow dw = \rho_n \sigma dL$$

Probability, to pass fraction of length L/n
without interaction:

$$1 - dw = 1 - \rho_n \sigma L / n$$

Probability to pass length L without interaction:

$$P_{o-ww} = (1 - \rho_n \sigma L / n)^n \rightarrow \exp(-\rho_n \sigma L)$$



$P_{o-ww}(L)$ describes the free path length in material

Basics: detector simulation (2)

By differentiation one obtains from P_{o-ww} the probability density of the path in matter to the first interaction:

$$w(L) = \rho_n \sigma \exp(-\rho_n \sigma L) = \frac{1}{\lambda} \exp(-L/\lambda)$$

$$\lambda = (\rho_n \sigma)^{-1} : \text{interaction length}$$

The **interaction length** in materials with multiple components is given by the inverse sum over the individual densities and interaction lengths

$$\lambda = \left(\sum_j [\rho_{n_j} \sigma(Z_j, E)] \right)^{-1} = \left(\sum_j \frac{1}{\lambda_j} \right)^{-1}$$

λ is an important property of materials

Clearly, λ depends on the kind of processes considered !

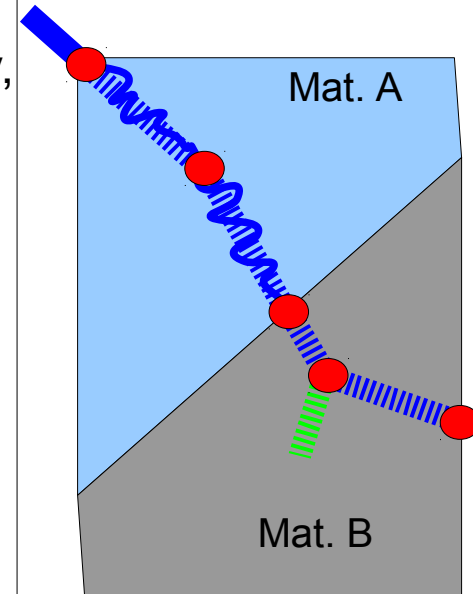
Basics: detector simulation (3)

a simple algorithm for tracking of particle reactions:

1. choose particle from list of particles
2. set **initial parameres** of particle (*type, position, four-moment*)
3. **calculate** λ from ρ_n and σ for given material
4. generate **random paht lenth** L according to density $w(L)$
5. **propagate** particle by length L or to the next material boundary, taking into account deflections from multiple scattering and electrical or magnetic fields
6. if still inside the same material:
 - let **process** take place at calculated position **and**
 - add newly generated particles to list
 - if **original particle still exists**
 - is its energy > given “cut off”
 - ? **yes**: go to 2
 - ? **no**: done with this object; add energy as energy deposit to material element and remove particle from list

eventually, additional random numbers are needed:

- energy loss of particle along path,
- new parameters of particle at the end of the step
- initial parameters of new particles



Basics: detectors imulation (4)

What if there are **many Processes** $1, \dots, p$?

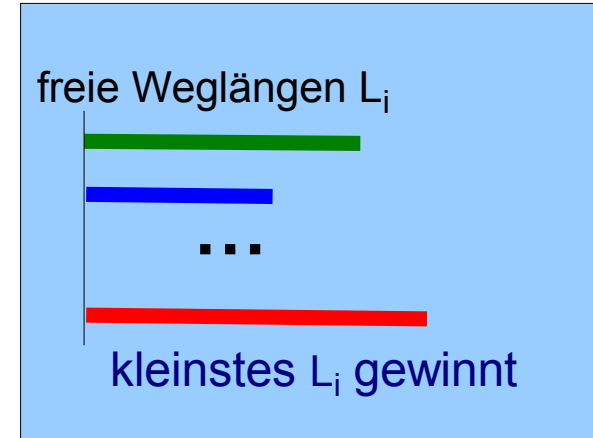
1., 2. as above

3.' determine all interaction lengths $\lambda_1, \dots, \lambda_p$

4.' draw p random numbers and calculate L_p ,
determine $L_i = \min(L_p)$, $1 \leq i \leq p$

5.' propogeta particle by length L_i

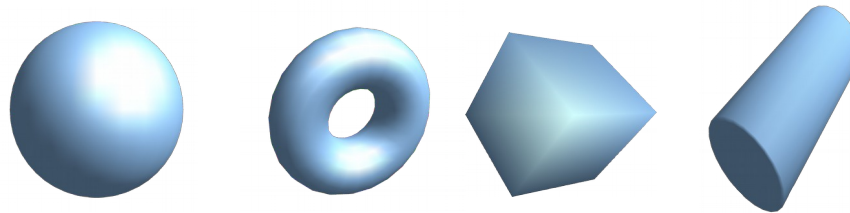
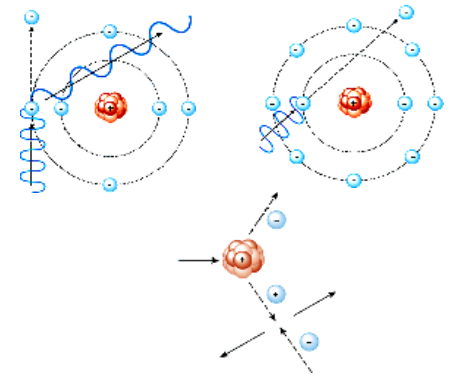
6.' *let process i take place*



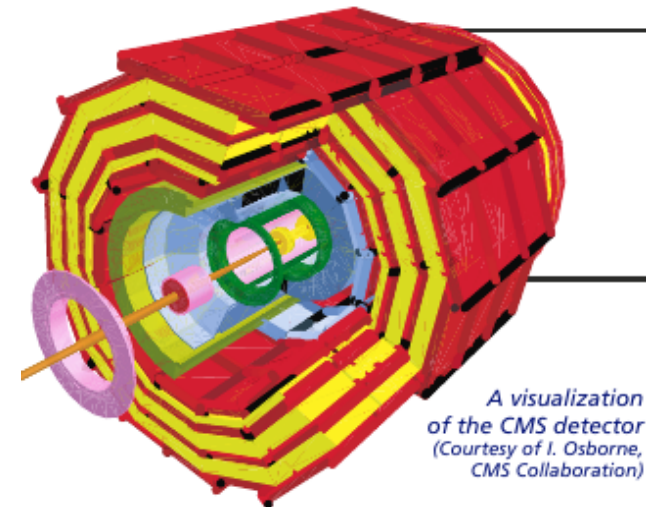
Detector simulation – wrap up

what's needed:

- a list of relevant processes for each particle type
(for short-lived particles, their lifetime and decay topologies also is such a „process“)
- properties of materials
- cross section for each process depending on parameters of particle and material properties
- propagation rules for particles in materials and fields
- treatment of boundaries:
 - geometrie of detektor volumes and description of complex detectors



- recording of energy deposited in volume elements and simulation of the amount of generated charge or light
- for short-lived particles:
 - list of life times and branching fractions



*A visualization of the CMS detector
(Courtesy of I. Osborne, CMS Collaboration)*

This, and a lot more, is provided by the simulations framework GEANT

Geant 4

- a world-wide Collaboration

- open-source Tool-Kit from particle physics
- definition of geometries and materials
- Tracking of particles in material taking into account a large number of physics processes
- visualisation
- Open interfaces for input/output, storage of generated data („persistence“)

Began 1994 as a development project, first release 1998
predecessor: Geant 3 (FORTRAN package), applications in
nuclear, particle and astro particle physics, medicine and many others

see <http://geant4.cern.ch/>
documentation, tutorials, code ...

at EKP, we packed Geant4 in a virtual machine

Geant4 is a very powerful and hence complex tool

→ familiarization takes much time

Geant4 is used by all experiments in particle physics for

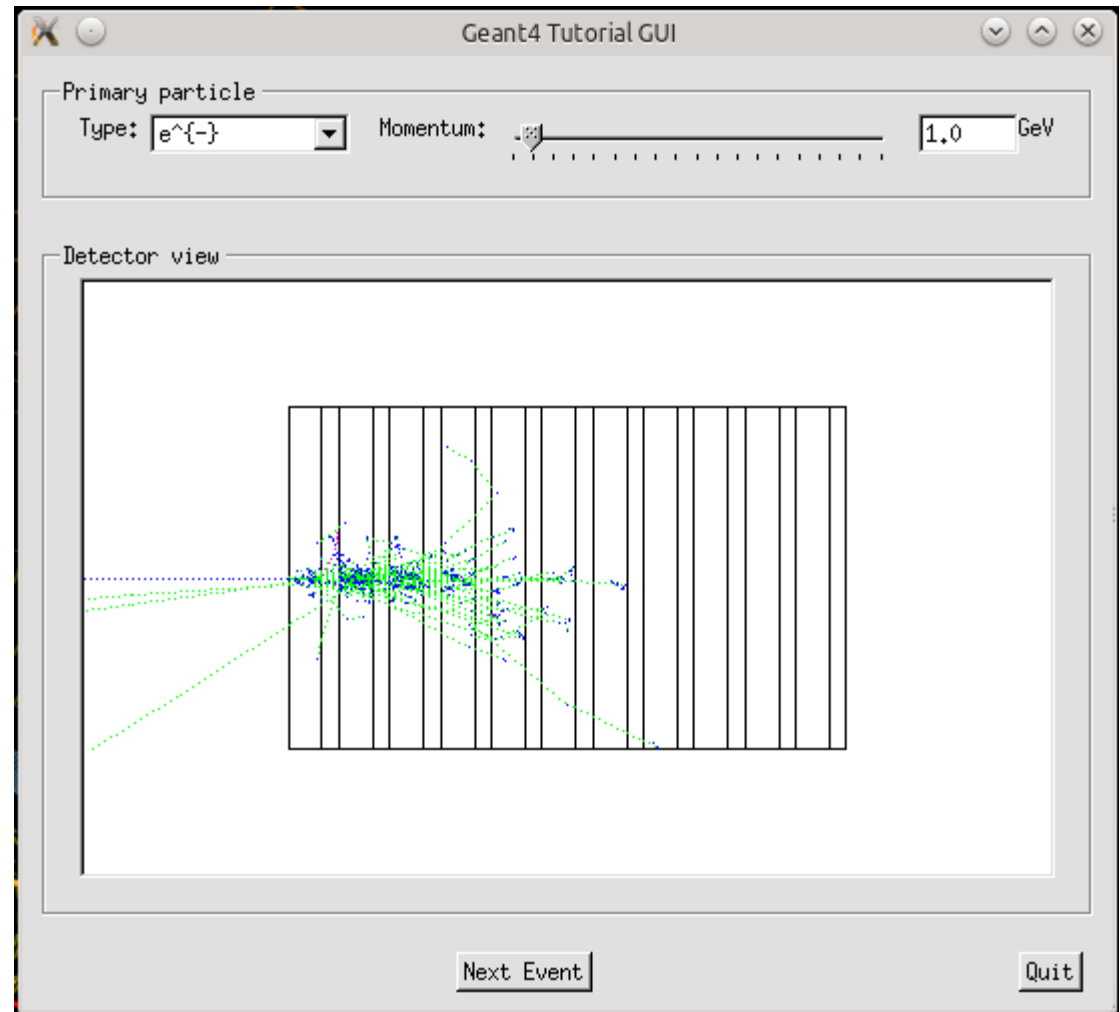
- design of detectors prior to construction
- generation of „simulated data“ for the development of reconstruction algorithms and analysis strategies
- determination of detector response to assumed scenarios of “new physics”
- securing proper understanding of „known“ physics when analysing experimental data

Simulated Data

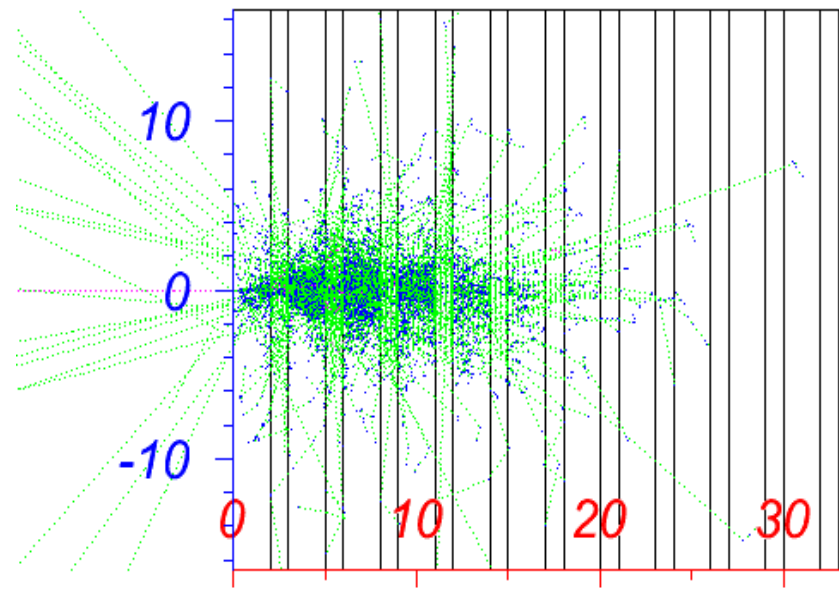
are an important component in any phase of an experiments.

Eigene Übungen

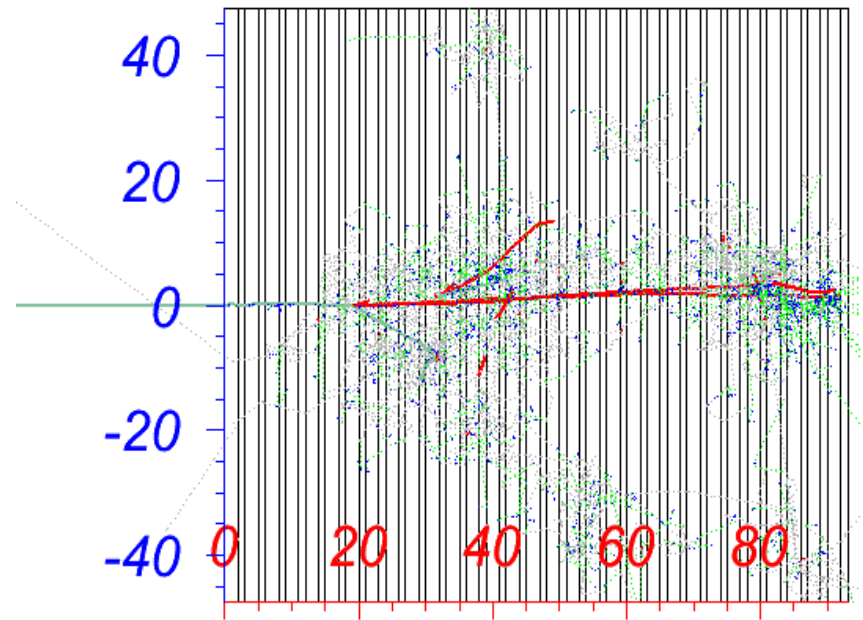
graphical interface with
shower of an electrons
of 1 GeV energy



Simulation mit Geant4



Shower of an electron of $E=10$ GeV
in a lead -scintillator sandwich
calorimeter, simulated with geant4



Shower of a pions of $E=10$ GeV
in a lead scintillator sandwich
calorimeter, simulated with geant4

Detector Simulation – the last step

- follow each particle through the material of each detector component
- simulate energy deposit in each sensor
- convert energy deposit to detectable signal
 - free charges
 - photons (=visible light) from excitations,
 - eventually light from other processes
(Cherenkov-light, transition radiation ...)

- final result of simulation:

**signal (in mV)
per detector cell**

“The Event”
(here an example
from the BaBar
experimen@SLAC)

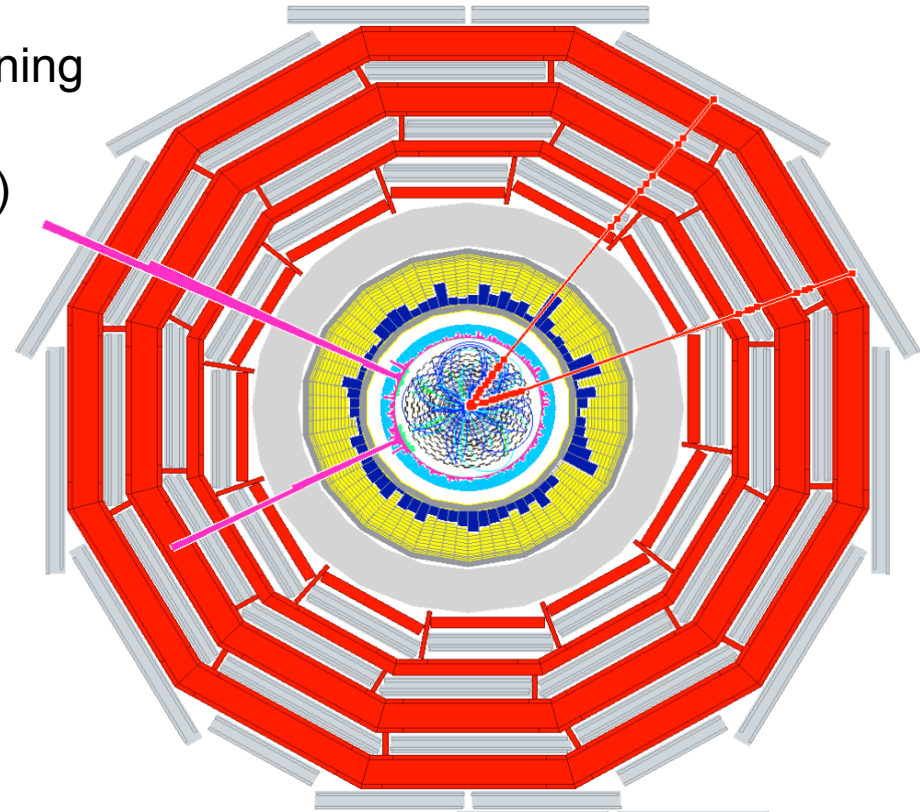
```
0x01e84c10: 0x01e8 0x8848 0x01e8 0x83d8 0x6c73 0x6f72 0x7400 0x0000
0x01e84c20: 0x0000 0x0019 0x0000 0x0000 0x01e8 0x4d08 0x01e8 0x5b7c
0x01e84c30: 0x01e8 0x87e8 0x01e8 0x8458 0x7061 0x636b 0x6167 0x6500
0x01e84c40: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84c50: 0x01e8 0x8788 0x01e8 0x8498 0x7072 0x6f63 0x0000 0x0000
0x01e84c60: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84c70: 0x01e8 0x8824 0x01e8 0x84d8 0x7265 0x6765 0x7870 0x0000
0x01e84c80: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84c90: 0x01e8 0x8838 0x01e8 0x8518 0x7265 0x6773 0x7562 0x0000
0x01e84ca0: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84cb0: 0x01e8 0x8818 0x01e8 0x8558 0x7265 0x6e61 0x6d65 0x0000
0x01e84cc0: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84cd0: 0x01e8 0x8798 0x01e8 0x8598 0x7265 0x7475 0x726e 0x0000
0x01e84ce0: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84cf0: 0x01e8 0x87ec 0x01e8 0x85d8 0x7363 0x616e 0x0000 0x0000
0x01e84d00: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84d10: 0x01e8 0x87e8 0x01e8 0x8618 0x7365 0x7400 0x0000 0x0000
0x01e84d20: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84d30: 0x01e8 0x87a8 0x01e8 0x8658 0x7370 0x6c69 0x7400 0x0000
0x01e84d40: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84d50: 0x01e8 0x8854 0x01e8 0x8698 0x7374 0x7269 0x6e67 0x0000
0x01e84d60: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84d70: 0x01e8 0x875c 0x01e8 0x86d8 0x7375 0x6273 0x7400 0x0000
0x01e84d80: 0x0000 0x0019 0x0000 0x0000 0x0000 0x0000 0x01e8 0x5b7c
0x01e84d90: 0x01e8 0x87c0 0x01e8 0x8718 0x7377 0x6974 0x6368 0x0000
```


Last step: Event reconstruction

- apply thresholds to suppress “noise” (i.e. “fake” hits)
- convert signal (mV) in each detector cell to energy deposit (using “calibration constants” of each cell)
- apply pattern recognition to hits above threshold, search for
 - “track segments” (circular arc) in tracking detectors
 - “clusters” in calorimeters
- attempt “particle identification” by combining information from sub-detectors
- cluster particles into jets (“jet algorithms”)
- store reconstructed objects and their properties, final result:

**reconstructed
event**

reconstructed objects only
approximately correspond
to true properties,
as in real life !



CMS: simulated Higgs \rightarrow $2e4\mu$ decay with
hits and reconstructed objects

Recap: what we have up to now

After

- precise (including next-to-leading order) cross sections of signal and background processes
- generation of a large number of representative single “events” in an “event generator”
- simulation of parton showers and hadronization
- simulation of detector response (“hits”)
- reconstruction of physics objects from the hits
application of (soft) selection criteria to roughly represent the acceptance (*see later*) of the detector

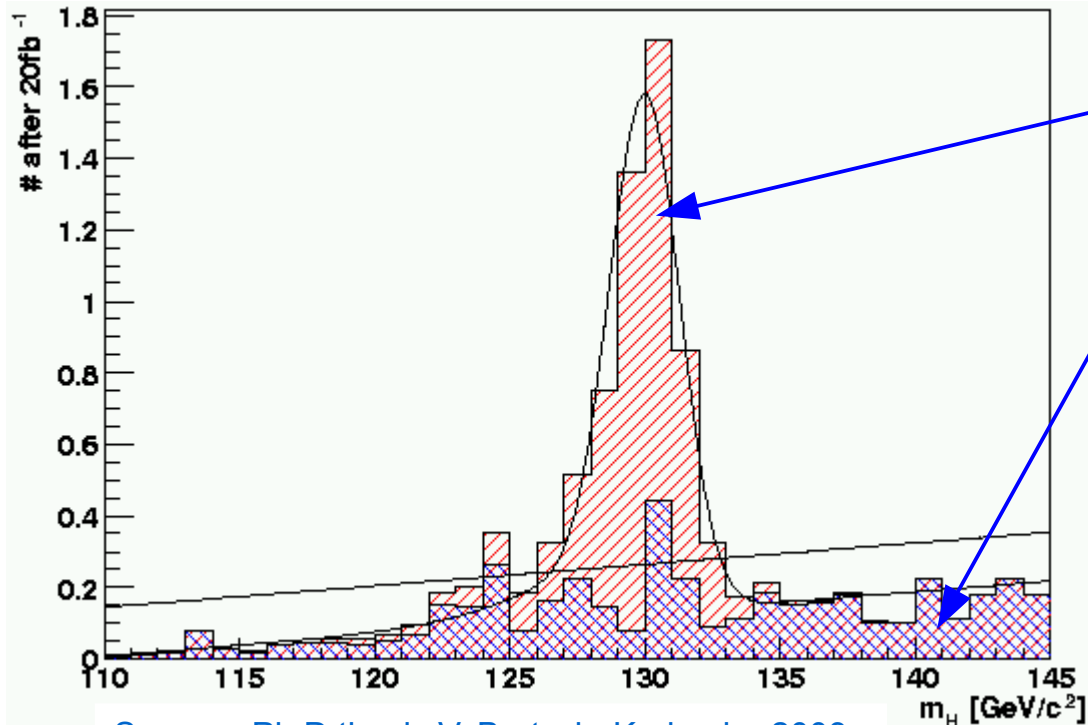
obtain samples of

simulated signal and background events

From these, obtain distributions of (reconstructable) variables to design an analysis and determine its selection and background rejection efficiencies (*see later*)

Example: Expected Distributions of Signal and Background

Early Study of $H \rightarrow ZZ$



Source: Ph.D thesis V. Bartsch, Karlsruhe 2003

Distribution(s) of

– signal events

– background events

from scaled MC

Used to formulate

„signal+background“ (S+B)

and

„background-only“ (B)

hypotheses for

– comparison with data and

– statistical inference

Hint: in the real experiment, only very small numbers are expected to be observed (see y-axis), and therefore statistical fluctuations will be large

– *the question will be:*

are they best described by the S+B or the B-only shape?

→ *need for sophisticated statistical treatment (see later)*

Next on this channel:

**The real experiment
and data analysis**

(Integrated) Luminosity

Luminosity, \mathcal{L} , connects event rate, r , and cross section, σ :

$$r = \mathcal{L} \cdot \sigma, \text{ unit of } [\mathcal{L}] = \text{cm}^{-2}/\text{s} \text{ oder } 1/\text{nb} / \text{s}$$

Integrated luminosity, $\int \mathcal{L} dt$, is a measure of the total number of events at given cross section, $N = \int \mathcal{L} dt \cdot \sigma$

\mathcal{L} is a property of the accelerator:

$$\mathcal{L} = \frac{f_{\text{rev}} n_b N_p^2}{4\pi A_{\text{bunch}}} = \frac{f_{\text{rev}} n_b N_p^2}{4\pi \epsilon \beta^*}$$

f_{rev} : revolution frequency of beams

n_b : number of bunches

N_p : number of particles in a bunch

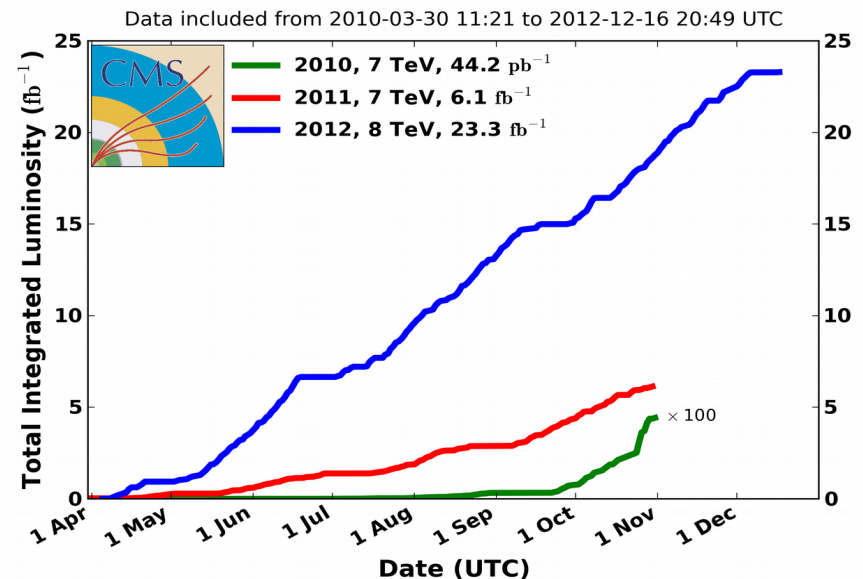
A_{bunch} : area of bunches

ϵ : emittance of beam

β^* : beta-function at collision point

LHC design Luminosity: $10^{-34} / \text{cm}^2/\text{s}$

$\int \mathcal{L}$ recorded by the CMS experiment



The total integrated Luminosity of 29.4 fb^{-1} corresponds to $1.8 \cdot 10^{15}$ pp collisions (assuming 60 mb inelastic pp cross section)

Determination of Luminosity

Luminosity is, however, not determined from machine parameters
(precision only ~10%)

but by simultaneous measurements of a **reference reaction** with well-known cross section:

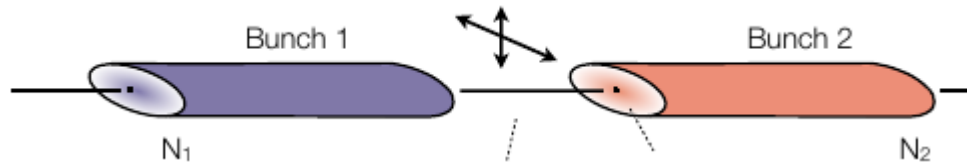
$$\int L = N_{ref} / \sigma_{ref}$$

absolute value from

- elastic proton-proton scattering at small angles
- production of W or Z bosons
- production of photon or muon pairs in $\gamma\gamma$ -reactions
- ...

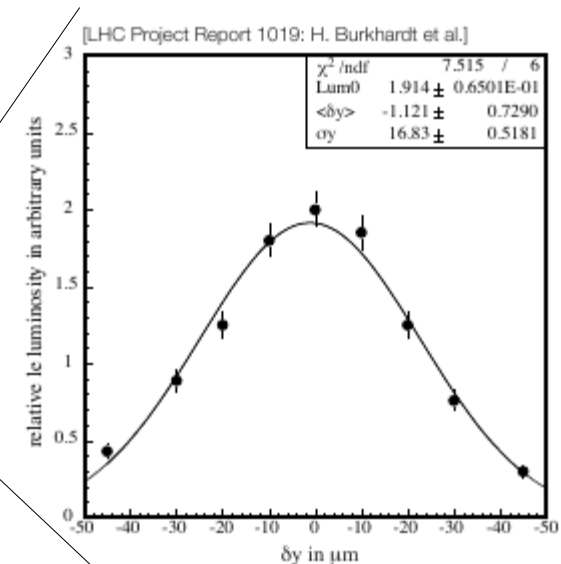
measurement of luminous beam profile:

- van-der-Meer scans by transverse displacement of beams, record \mathcal{L} vs. δx , δy



relative methods:

- particle counting or current measurements in detector components with high rates
(need calibration against one of the absolute methods)



accuracy on $\int \mathcal{L}$ (CMS experiment): 2.2% (7 TeV, 2011) and 2.6% (8TeV, 2012)