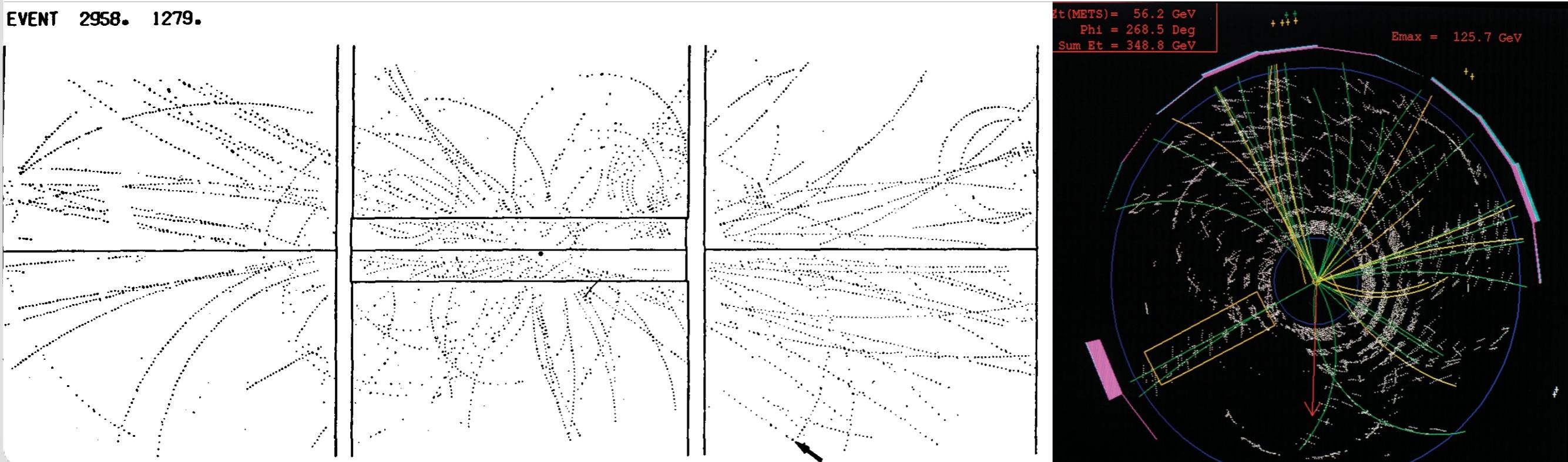


KSETA-Course: Accelerator-Based Particle Physics

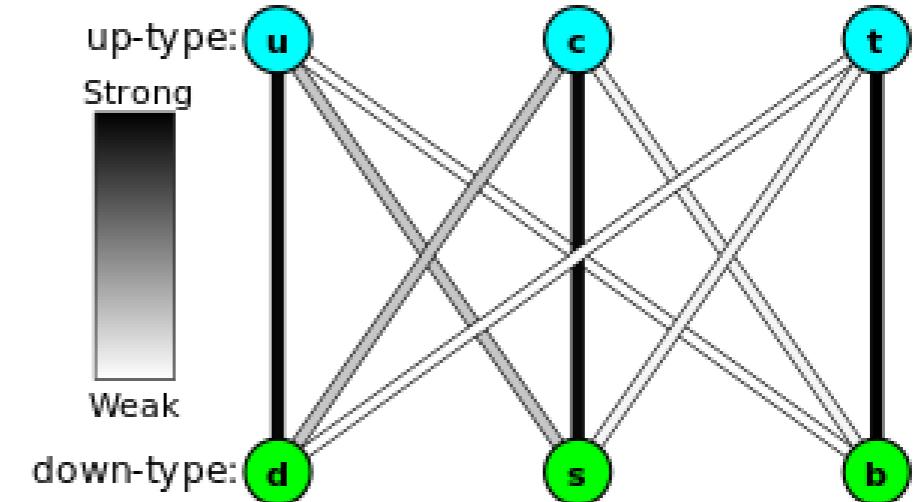
Flavor- and Top physics

Matthias Mozer, Roger Wolf
Institut für Experimentelle Kernphysik, Karlsruher Institut für Technologie



Reminder: what is flavor?

- Quarks and quantum numbers
 - six different flavors
→ six different quantum numbers
 - conserved in strong and EM interaction
 - can change in weak interaction
 - three up-type (charge 2/3)
three down-type (charge -1/3)
- Why flavor physics?
 - classic flavor physics:
hadrons with s,c,b quarks
 - top quark too unstable to form hadrons
→ mostly considered its own field



Reminder: History

1953: Gell-Mann and Nishijima:

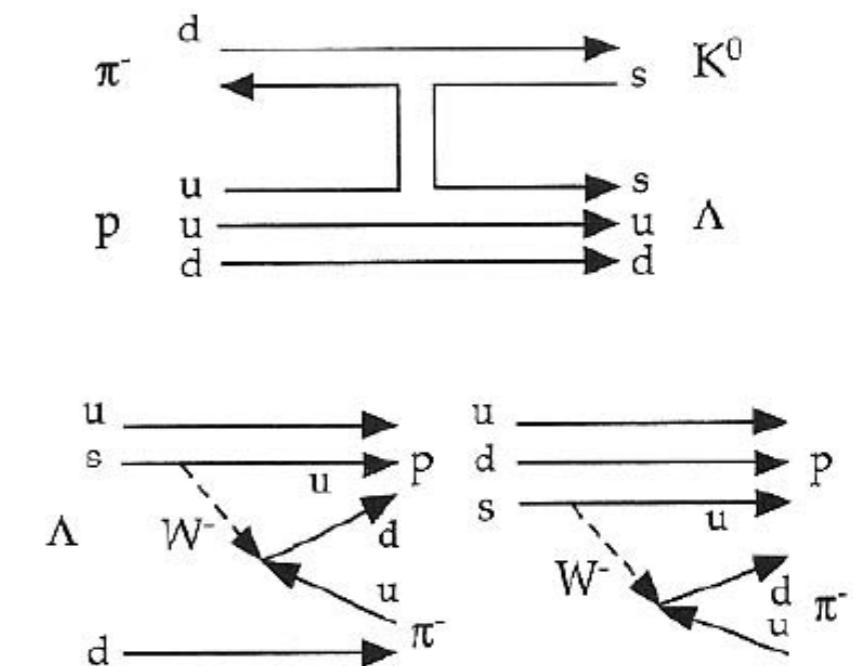
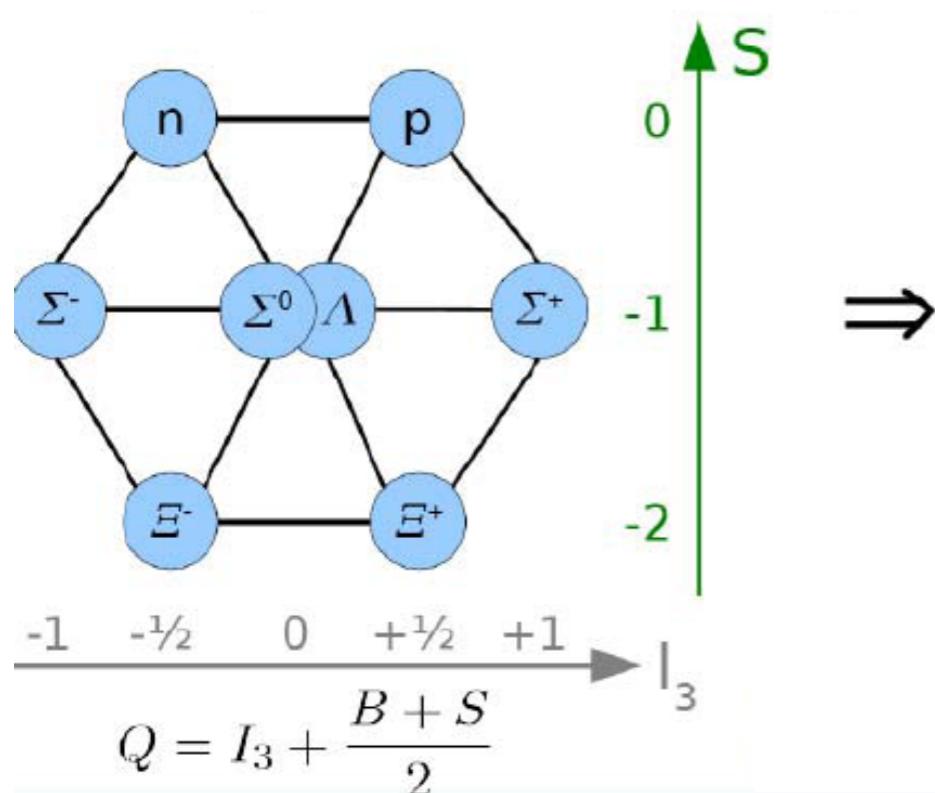
- Explain “strange particles” with new flavor quantum number **strangeness (S)**
- strangeness conserved in strong and EM interaction changes in weak interaction



Murray Gell-Mann
Nobel price
1969

1964: Gell-Mann

- particle zoo (hadrons) explained in the quark model (using u,d,s quarks)

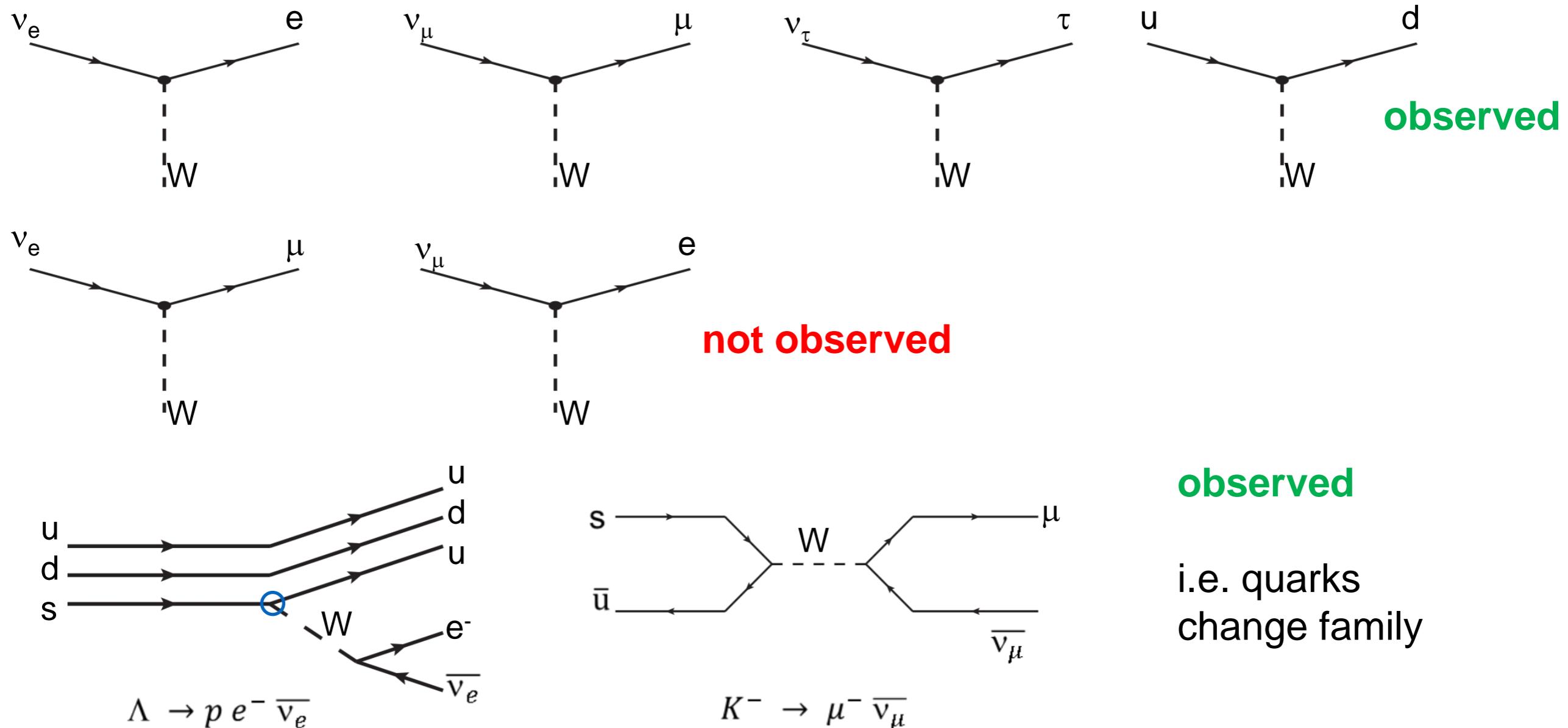


Weak interaction of quarks

Nucl. β -decays, meson- decays, νN -scattering:

→ universal coupling of weak interaction to leptons and quarks

observations:



Cabibbo theory

Observation from n, μ decays $GF(n)/GF(\mu) = 0.98 \neq 1$

Nicola Cabibbo: quarks mix \rightarrow mass-eigenstates \neq flavor-eigenstates

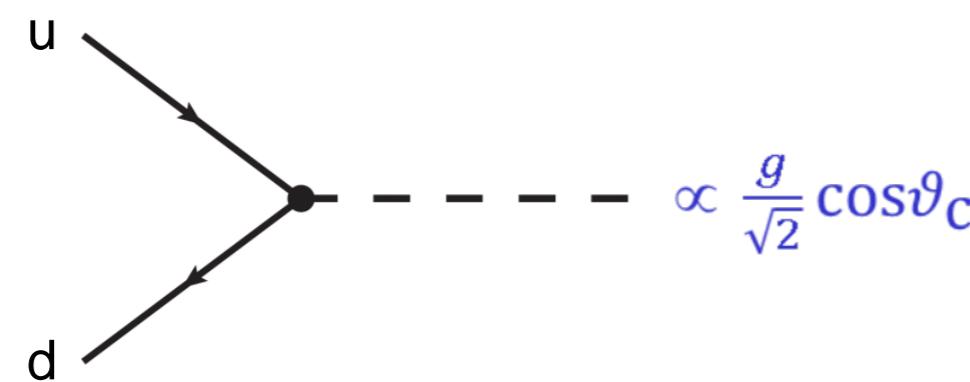
$$\begin{pmatrix} u \\ d' \end{pmatrix} = \begin{pmatrix} u \\ d \cdot \cos\vartheta_C + s \cdot \sin\vartheta_C \end{pmatrix}$$

convention

weak isospin doublet

mass eigenstates d, s, b u, c, t

\Rightarrow



$$\rightarrow G_F(n)/G_F(\mu) = \cos\vartheta_C = 0.98$$

flavor-eigenstates d', s', b' u, c, t

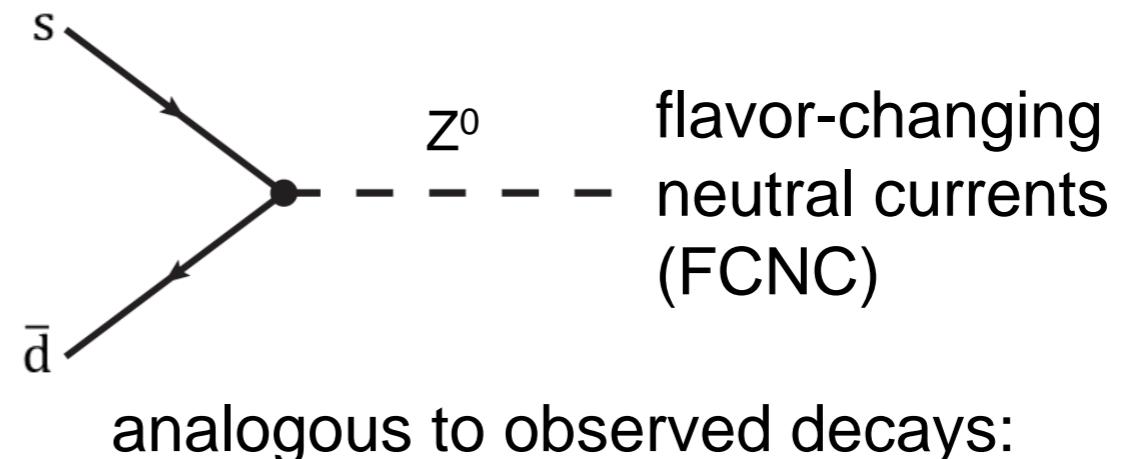


ϑ_C : Cabibbo-angle

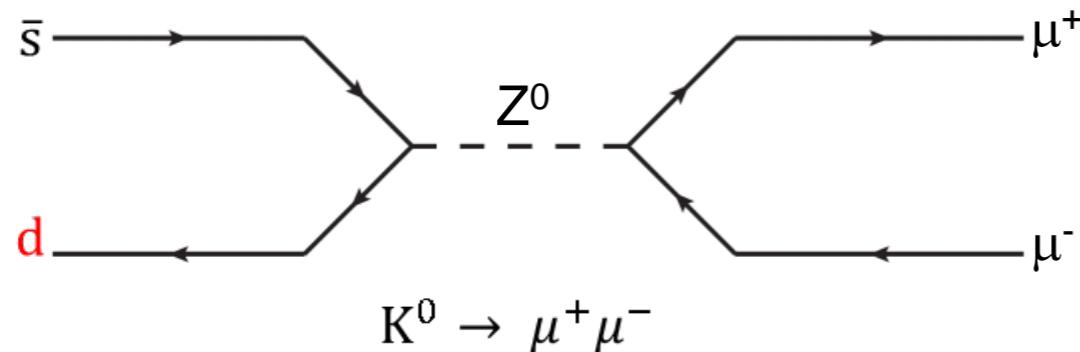
$$\vartheta_C = 12.9^\circ$$

GIM Mechanism

Expected transitions:



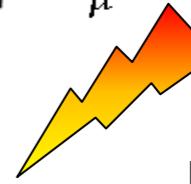
i.e. decays like:



Observation: $\text{BR}(K^0 \rightarrow \mu^+ \mu^-) = 7 \cdot 10^{-9}$

$$\begin{pmatrix} c \\ s' \end{pmatrix} = \begin{pmatrix} c \\ s \cdot \cos\vartheta_C - d \cdot \sin\vartheta_C \end{pmatrix}$$

$$\text{BR}(K^+ \rightarrow \mu^+ \nu_\mu) = 64\%$$



Sheldon L.
Glashow

Nobel price 1979



GIM Mechanism

Mixing matrix: $\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \vartheta_c & \sin \vartheta_c \\ -\sin \vartheta_c & \cos \vartheta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$

electroweak eigenstates mass eigenstates

Interference cancels mixed terms ($d \rightarrow s$) in the Lagrangian.

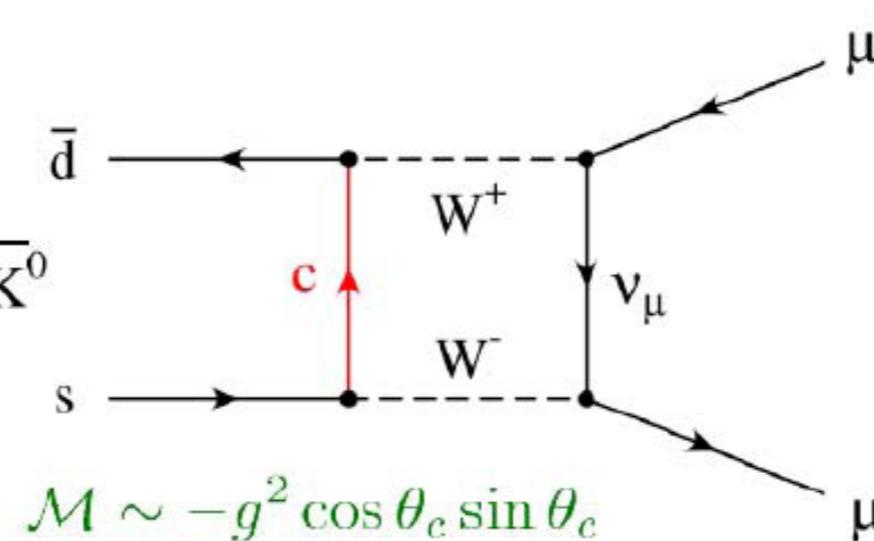
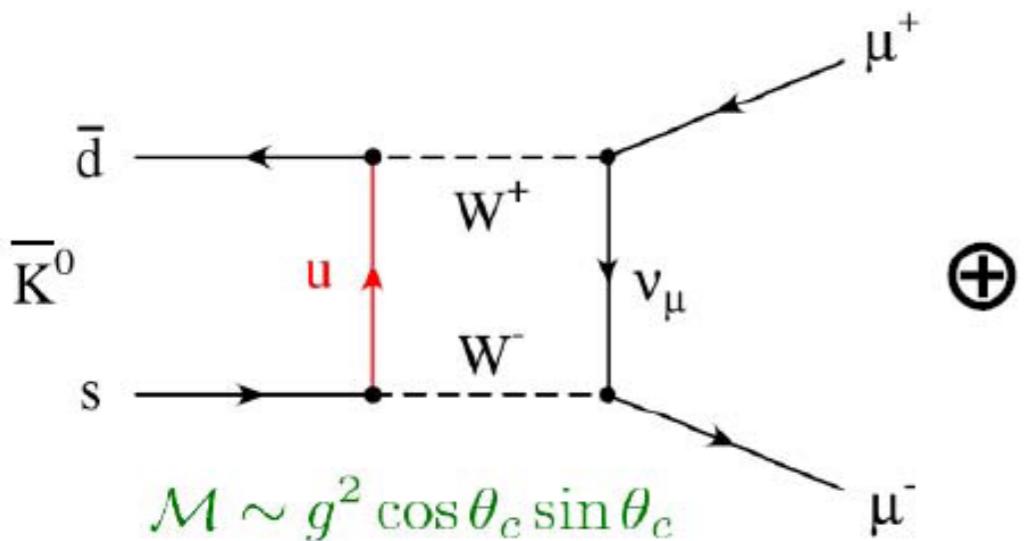
Only flavor-conserving neutral currents remain:

$$\bar{d}'d' + \bar{s}'s' + \bar{u}u + \bar{c}c = \dots = \bar{d}d + \bar{s}s + \bar{u}u + \bar{c}c$$

no mixed terms $\bar{d}s$
 \rightarrow no FCNC

short for $\bar{u}\gamma_\mu(c_v - c_A\gamma^5)u$

higher order processes also suppressed



$\Sigma=0$ if $m_u=m_c$
 \Rightarrow amplitude $\neq 0$
 due to different quark masses

3-Doublet Extension

Today: 3 flavor-families with CKM-matrix
 (Cabibbo-Kobayashi-Maskawa)

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = M_{\text{CKM}} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

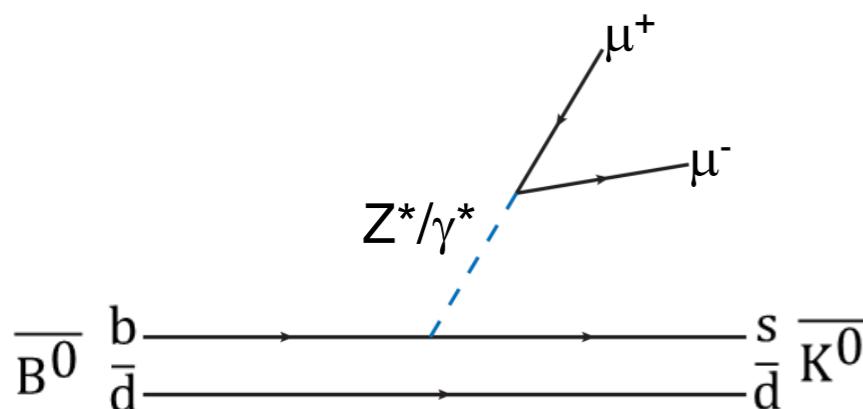
M_{CKM} :
 unitary 3×3
 matrix

$$M_{\text{CKM}} = \begin{pmatrix} c_1 & \approx 1 & c_3 s_1 & \approx 1 \\ -c_2 s_1 & c_1 c_2 c_3 - s_2 s_3 e^{i\delta} & c_1 c_2 s_3 + c_3 s_3 e^{i\delta} & \\ -s_1 s_2 & c_1 c_3 s_2 + c_2 s_3 e^{i\delta} & c_1 s_2 s_3 - c_2 c_3 e^{i\delta} & \approx 1 \end{pmatrix}$$

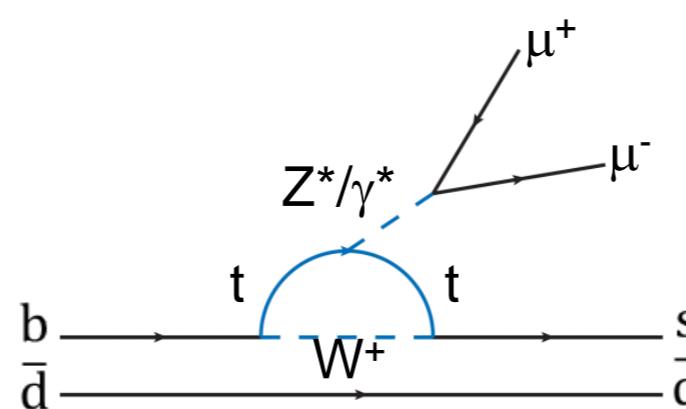
with:
 $c_i = \cos\theta_i$ $s_i = \sin\theta_i$
 $e^{i\delta}$: phase
 \rightarrow CP-violation

Test the SM: search for FCNC

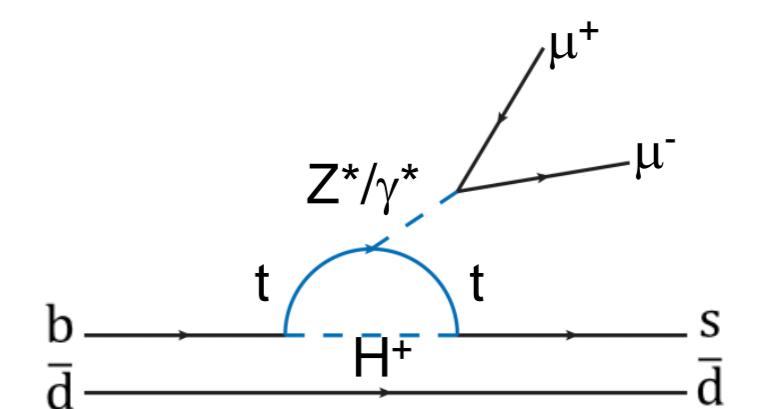
example: $B^0 \rightarrow \mu^+ \mu^- K^0$ (SM: $\text{BR} = 5 \cdot 10^{-7}$), $B^0 \rightarrow \mu^+ \mu^- K^{0*}$ (SM: $\text{BR} = 5 \cdot 10^{-6}$)



Not allowed in SM
 (FCNC)



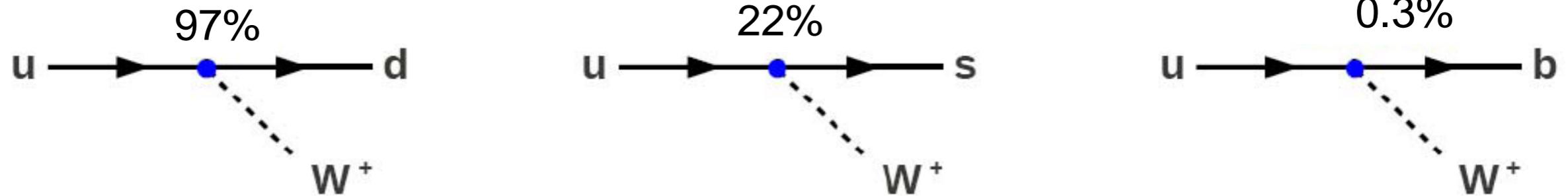
allowed in SM
 ("penguin")



test the SM:
 possible new particles (i.e SUSY)

CKM Matrix

- change of quark flavor only via W-boson exchange
- W-boson couples to mixture of quark generations



$$M_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

- complex elements
→ 18 parameters
- Unitarity: ($MM^\dagger=1$)
+ quark phases

→ 4 free parameters
3 angles +
1 phase (Θ_P)

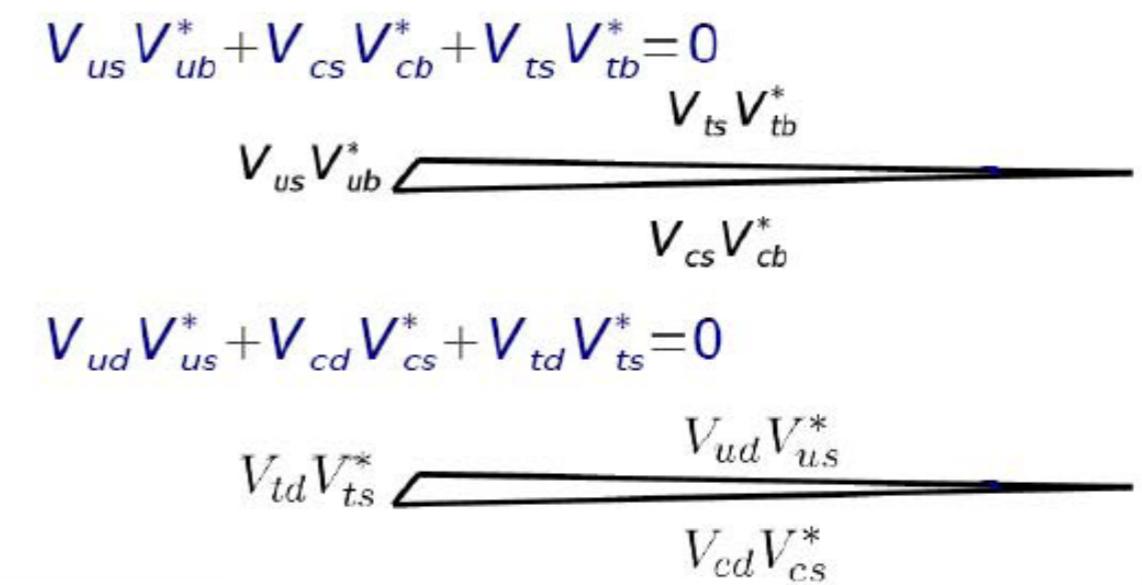
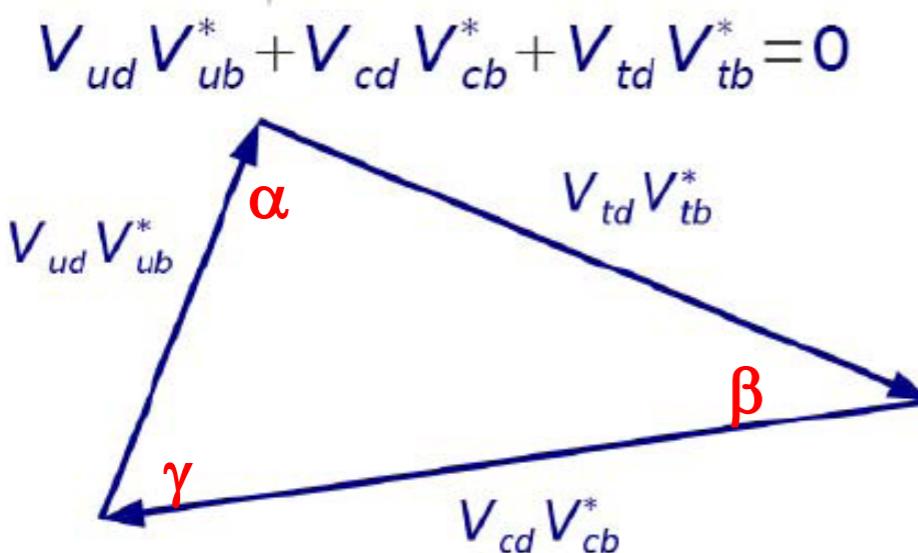
Unitarity Triangle

- $N > 4$ observables for 4 parameters
⇒ overconstrained system
⇒ test the SM
- Graphical representation in „unitarity triangle“
⇒ unitarity condition $\sum_i V_{ij} V_{jk}^* = \delta_{ik}$

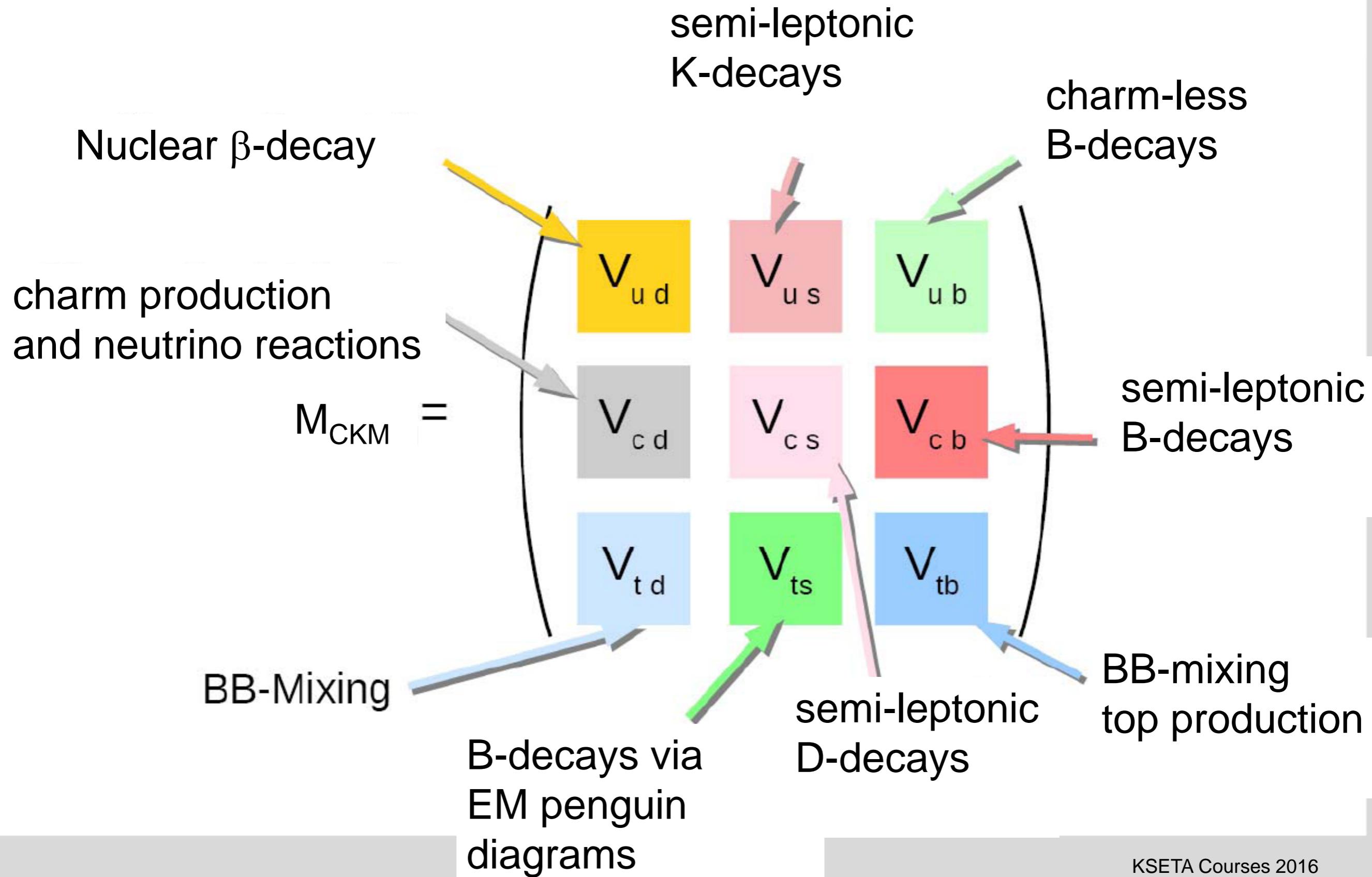
$$(V^\dagger V)_{21} : V_{us}^* V_{ud} + V_{cs}^* V_{cd} + V_{ts}^* V_{td} = 0$$

$$(V^\dagger V)_{31} : V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$

$$(V^\dagger V)_{32} : V_{ub}^* V_{us} + V_{cb}^* V_{cs} + V_{tb}^* V_{ts} = 0.$$

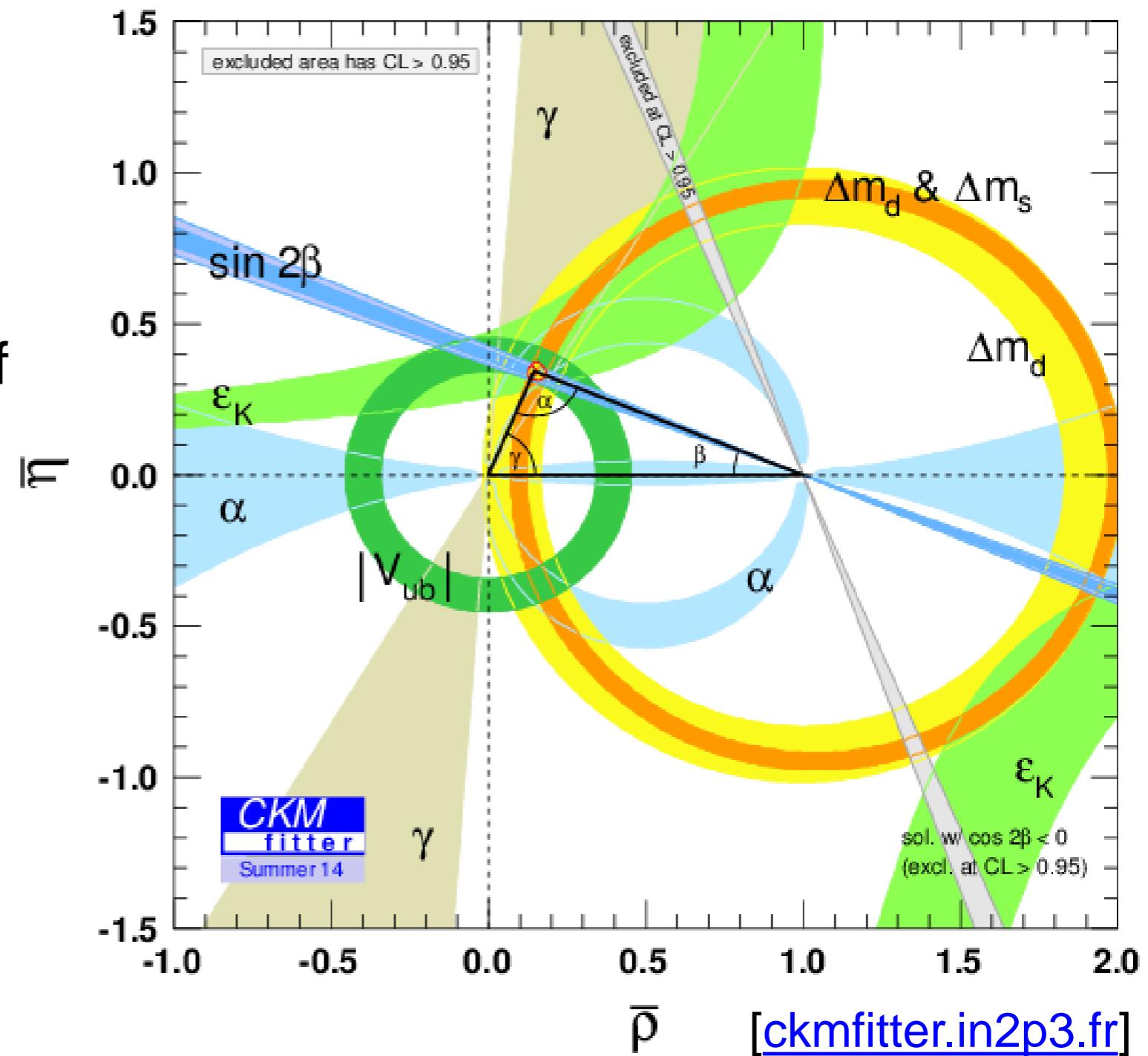


Unitarity Triangle



Unitarity Triangle

- Idea: overconstrain with many independent measurements
→ consistency check
- Could see non-unitarity if
→ quarks mix with additional generations
→ quarks couple to additional bosons
→ ...
- so far consistent



Flavor Oscillations

Quantum numbers of hadrons

- hadrons produced in strong interactions
→ eigenstates of the **strong interaction**
- Not necessarily eigenstates of the **weak interaction**
- Flavor-changing process in neutral mesons:
transition between particles and anti-particles
→ **flavor oscillations** (also called: flavor mixing)

$$|P\rangle \leftrightarrow |\bar{P}\rangle$$

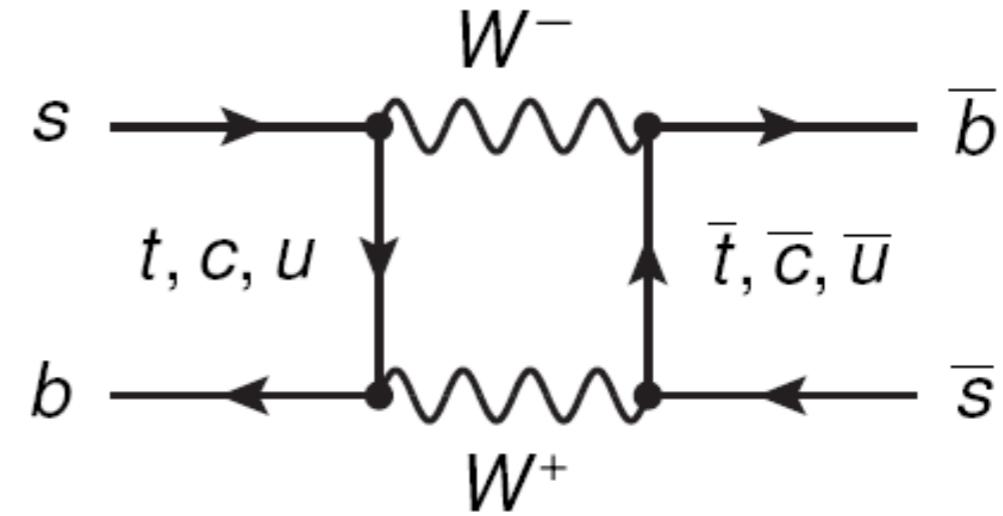
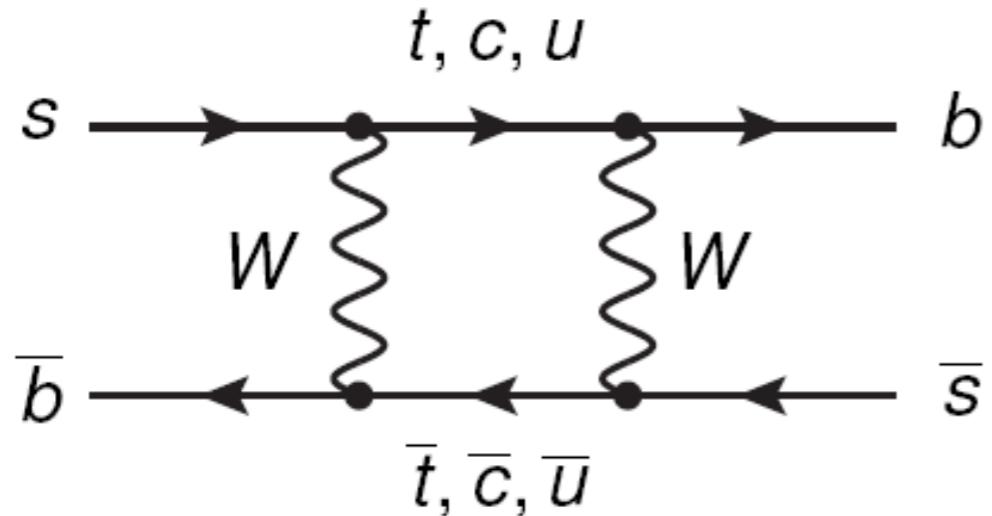
widely studied particle-anti-particle systems with oscillations

neutral Kaons: $|K^0\rangle = |\bar{s}d\rangle \leftrightarrow |\bar{K}^0\rangle = |s\bar{d}\rangle$

neutral B-mesons: $|B_d^0\rangle = |\bar{b}d\rangle \leftrightarrow |\bar{B}_d^0\rangle = |b\bar{d}\rangle$
 $|B_s^0\rangle = |\bar{b}s\rangle \leftrightarrow |\bar{B}_s^0\rangle = |b\bar{s}\rangle$

Example: B-Oscillations

Dominant standard model contribution: box diagrams



Time evolution

- start with a **pure** state $|P\rangle$ or $|\bar{P}\rangle$
- After a time-interval Δt : **mixture** of $|P\rangle$ and $|\bar{P}\rangle$, or **decay**
- **phenomenologic** description of time-evolution:
Schrödinger-equation with „**effective Hamilton operator**“ Σ

Time Evolution

Formalism for time evolution: Schrödinger equation:

$$i \frac{d}{dt} \begin{pmatrix} |P(t)\rangle \\ |\bar{P}(t)\rangle \end{pmatrix} = \Sigma \begin{pmatrix} |P(t)\rangle \\ |\bar{P}(t)\rangle \end{pmatrix} = \left(M - i \frac{\Gamma}{2} \right) \begin{pmatrix} |P(t)\rangle \\ |\bar{P}(t)\rangle \end{pmatrix} \quad \text{with } M^\dagger = M, \Gamma^\dagger = \Gamma$$

mass matrix decay width matrix

Effective Hamilton operator:

$$\Sigma = \begin{pmatrix} M_{11} - i\Gamma_{11}/2 & M_{12} - i\Gamma_{12}/2 \\ M_{12} - i\Gamma_{12}^*/2 & M_{22} - i\Gamma_{22}/2 \end{pmatrix}$$

- M_{11}, M_{22} : quark masses and binding energies (strong interaction) \rightarrow no oscillation
- $\Gamma_{11}, \Gamma_{22}, M_{12}, \Gamma_{12}$: oscillations and decay by weak interaction
- CPT-symmetry: particles and anti-particles have the **same mass and decay width** $\rightarrow M_{12} = M_{22} = m, \Gamma_{11} = \Gamma_{22} = \Gamma$

Time Evolution

Diagonalize $\Sigma \rightarrow$ masses and widths of physical particles

- Ansatz: linear combinations of $|P\rangle$ and $|\bar{P}\rangle$

$$|P_L\rangle = p|P\rangle + q|\bar{P}\rangle, \quad |P_H\rangle = p|P\rangle - q|\bar{P}\rangle$$

with $|P_L\rangle$ “light” and $|P_H\rangle$ “heavy” mass eigenstate

p,q complex coefficients with norm $|p|^2 + |q|^2 = 1$

- Time evolution of the physical particles $|P_L\rangle$ and $|P_H\rangle$

$$|P_{L,H}(t)\rangle = \exp\left[-iM_{L,H}t - \frac{\Gamma_{L,H}}{2}t\right] |P_{L,H}\rangle$$

- Time evolution of the flavor eigenstates $|P\rangle$ and $|\bar{P}\rangle$
transform with matrix of eigenvectors (p,q) and (p.-q)

$$\begin{pmatrix} |P(t)\rangle \\ |\bar{P}(t)\rangle \end{pmatrix} = \begin{pmatrix} p & p \\ q & -q \end{pmatrix} \begin{pmatrix} \exp\left[-iM_L t - \frac{\Gamma_L}{2}t\right] & 0 \\ 0 & \exp\left[-iM_H t - \frac{\Gamma_H}{2}t\right] \end{pmatrix} \begin{pmatrix} p & p \\ q & -q \end{pmatrix}^{-1} \begin{pmatrix} |P\rangle \\ |\bar{P}\rangle \end{pmatrix}$$

Time Evolution

Multiply matrices:

$$\begin{pmatrix} |P(t)\rangle \\ |\bar{P}(t)\rangle \end{pmatrix} = \begin{pmatrix} g_+(t) & \frac{p}{q}g_-(t) \\ \frac{q}{p}g_-(t) & g_+(t) \end{pmatrix} \begin{pmatrix} |P\rangle \\ |\bar{P}\rangle \end{pmatrix}$$

with: $g_{\pm}(t) = \frac{1}{2} \left(\exp \left[-iM_L t - \frac{\Gamma_L}{2} t \right] \pm \exp \left[-iM_H t - \frac{\Gamma_H}{2} t \right] \right)$

Interpretation as transition amplitudes:

- $|g_+(t)|^2$: probability that $|P\rangle$ ($|\bar{P}\rangle$) remains in this state
- $|q/p|^2|g_-(t)|^2$: probability for $|P\rangle$ to oscillate to $|\bar{P}\rangle$
- $|p/q|^2|g_-(t)|^2$: probability for $|\bar{P}\rangle$ to oscillate to $|P\rangle$

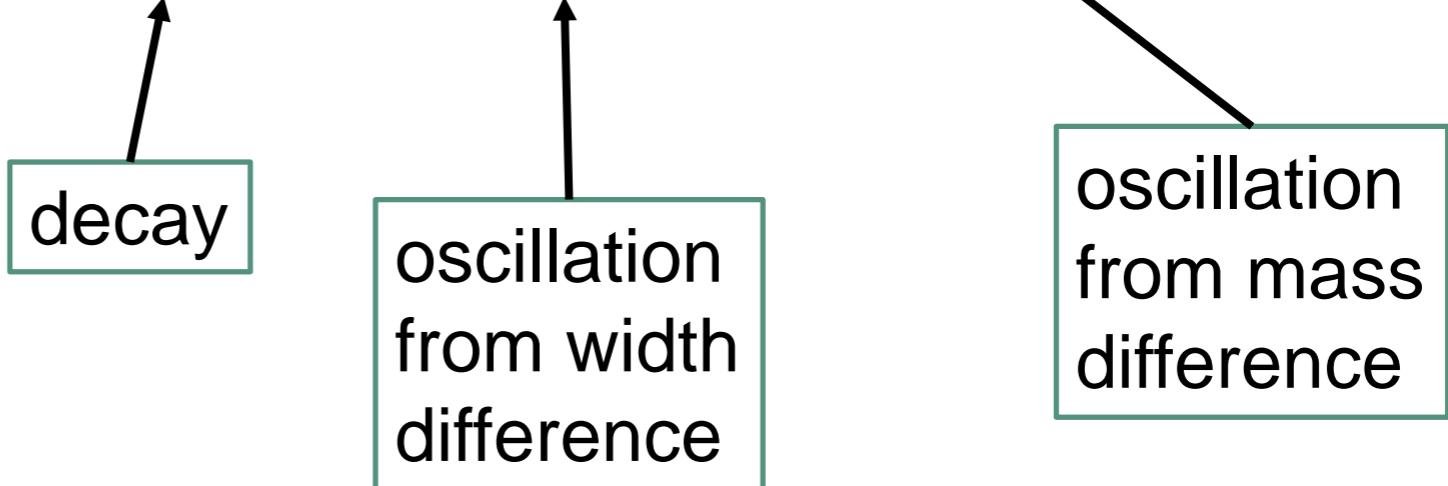
Observe direct CP violation if $p \neq q$

Time Evolution

Convention: replace mass and width of light/heavy particle by average and difference

$$m = M_{11} = M_{22} = \frac{1}{2}(M_H + M_L) \quad \Gamma = \Gamma_{11} = \Gamma_{22} = \frac{1}{2}(\Gamma_L + \Gamma_H)$$
$$\Delta m = M_H - M_L \quad \Delta\Gamma = \Gamma_L - \Gamma_H$$

transition probabilities:

$$|g_{\pm}(t)|^2 = \frac{\exp(-\Gamma t)}{2} \left[\cosh\left(\frac{\Delta\Gamma t}{2}\right) \pm \cos(\Delta m t) \right]$$


decay

oscillation from width difference

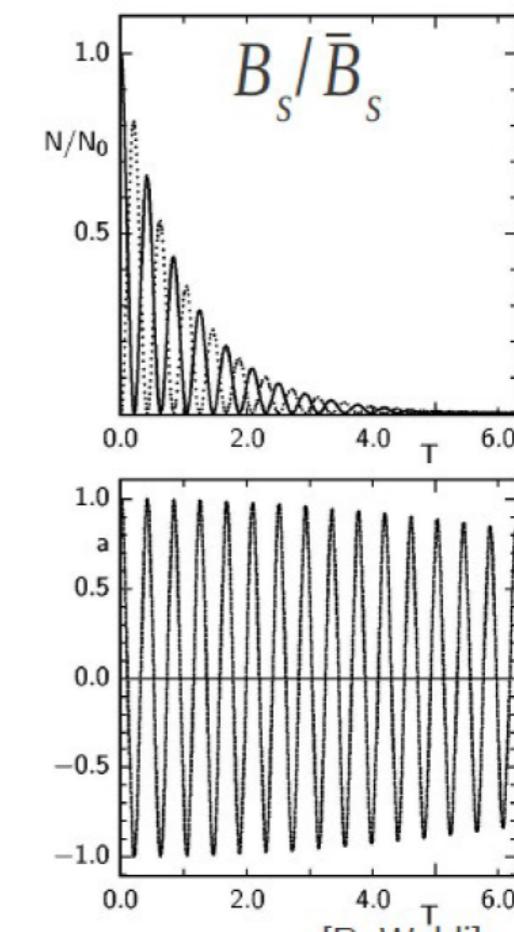
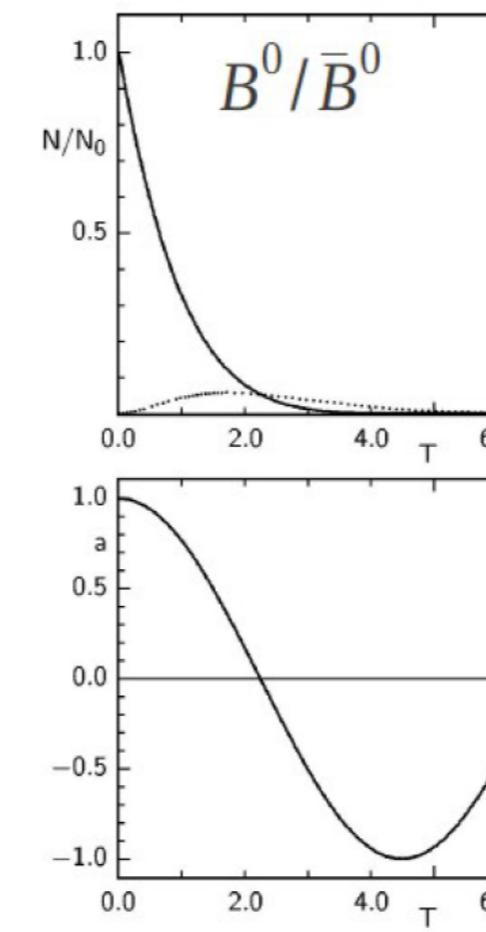
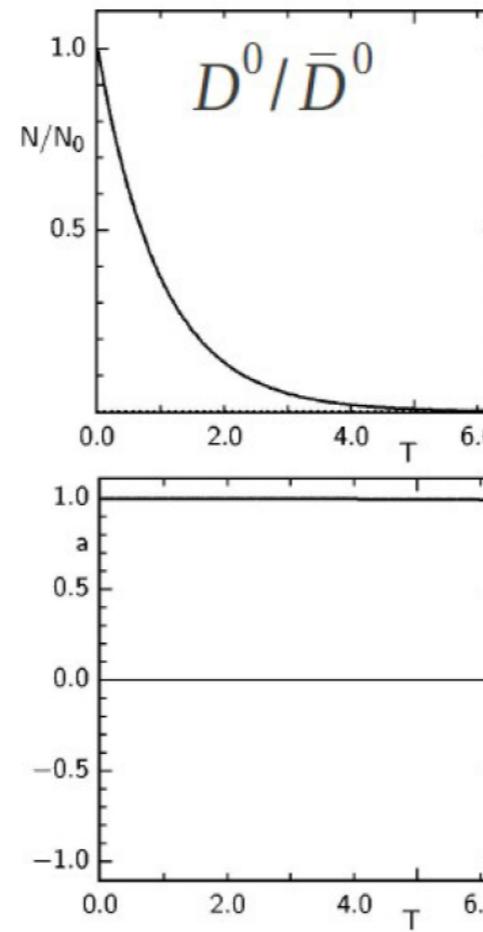
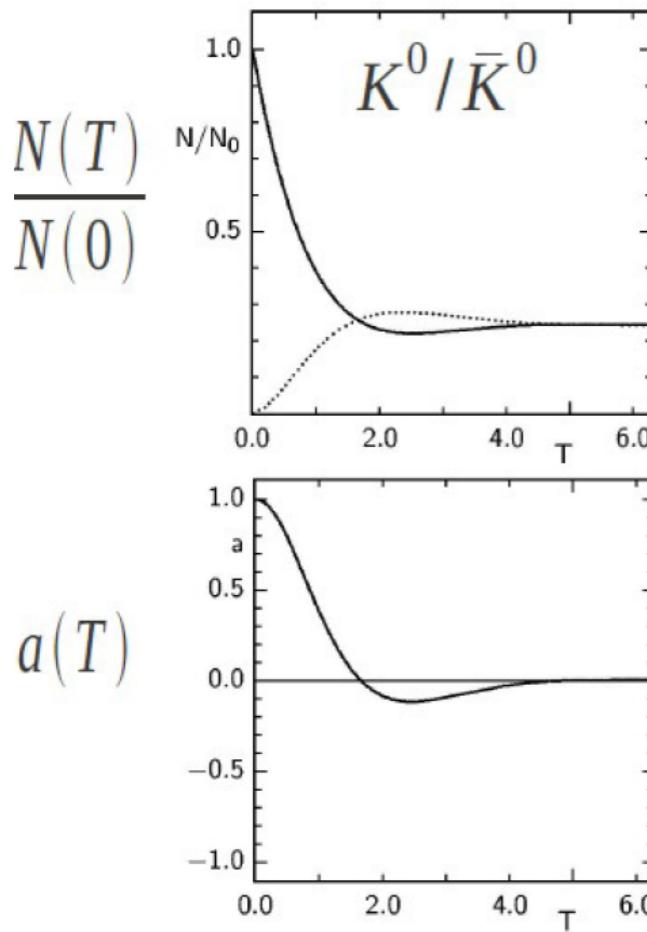
oscillation from mass difference

Different Oscillating Systems

Mass difference and decay widths

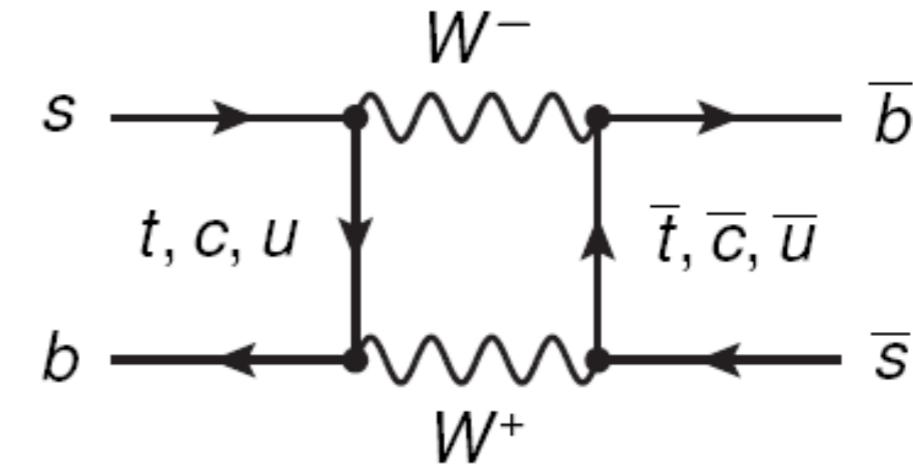
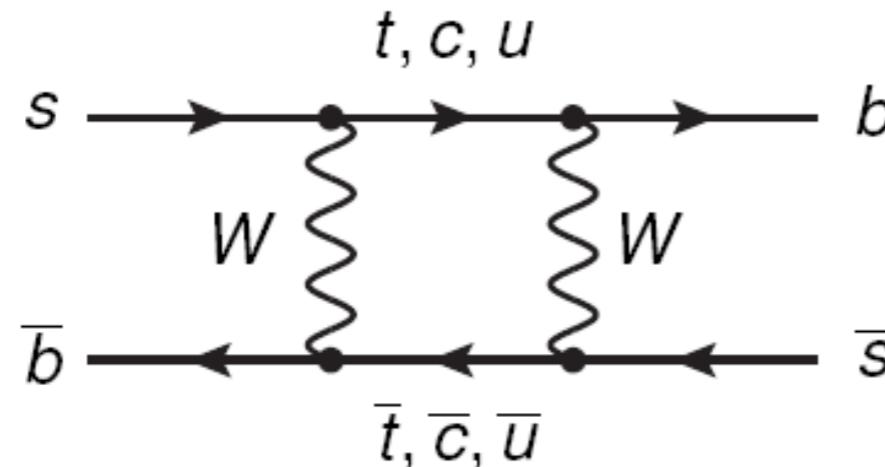
| | K^0/\bar{K}^0 | D^0/\bar{D}^0 | B^0/\bar{B}^0 | B_s/\bar{B}_s |
|------------------------------------|----------------------|----------------------|-----------------|-----------------|
| τ [ps]* | 89 | 0.4 | 1.6 | 1.5 |
| Γ [ps $^{-1}$] | 51700 | 5.6×10^{-3} | 2.4 | 0.64 |
| $y = \frac{\Delta\Gamma}{2\Gamma}$ | -0.997 | 0.01 | $ y < 0.01$ | 0.03 ± 0.03 |
| Δm [ps $^{-1}$] | 5.3×10^{-3} | 0.02 | 0.5 | 17.8 |
| $x = \frac{\Delta m}{\Gamma}$ | 0.95 | 0.01 | 0.8 | 26 |

*) at LHCb energies lifetime in ps \sim decay length in cm



Learning from Oscillations

Compute mass differences from **box diagrams**

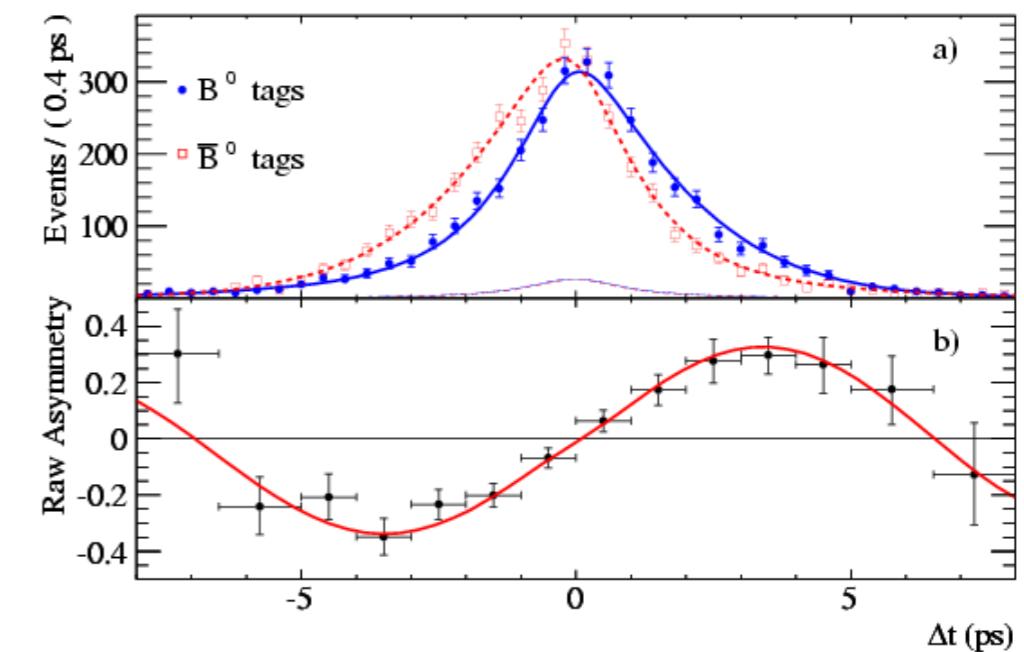
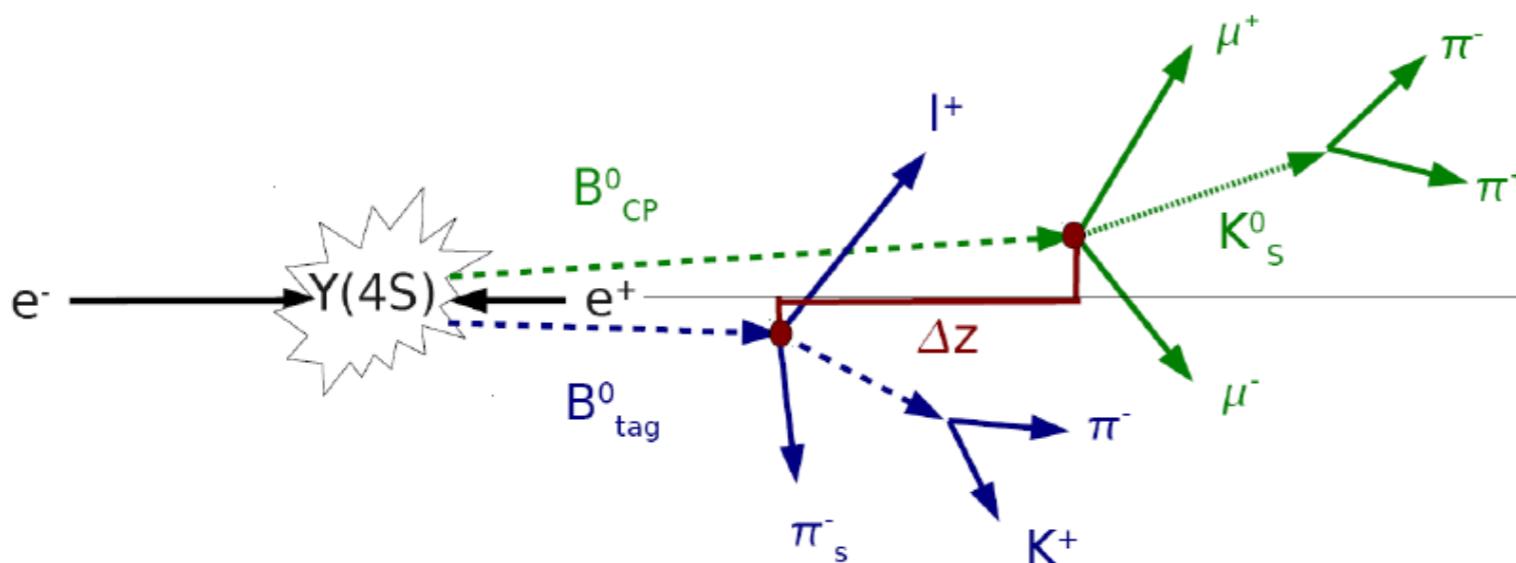


- approximations: m_t only relevant quark mass, $V_{tb} \approx 1$
- Result: $\Delta m_{d,s} \approx 2|M_{12}| \sim G_F^2 m_W^2 S \left(\frac{m_t^2}{m_W^2} \right) (V_{td,ts}^* V_{tb})^2$
- Measurement of $|V_{td}|$ and $|V_{ts}|$ from **oscillation frequency**
- First results in B_d at ARGUS (DESY) and UA1 (CERN) 1987
→ large Δm_d hints at high top quark mass

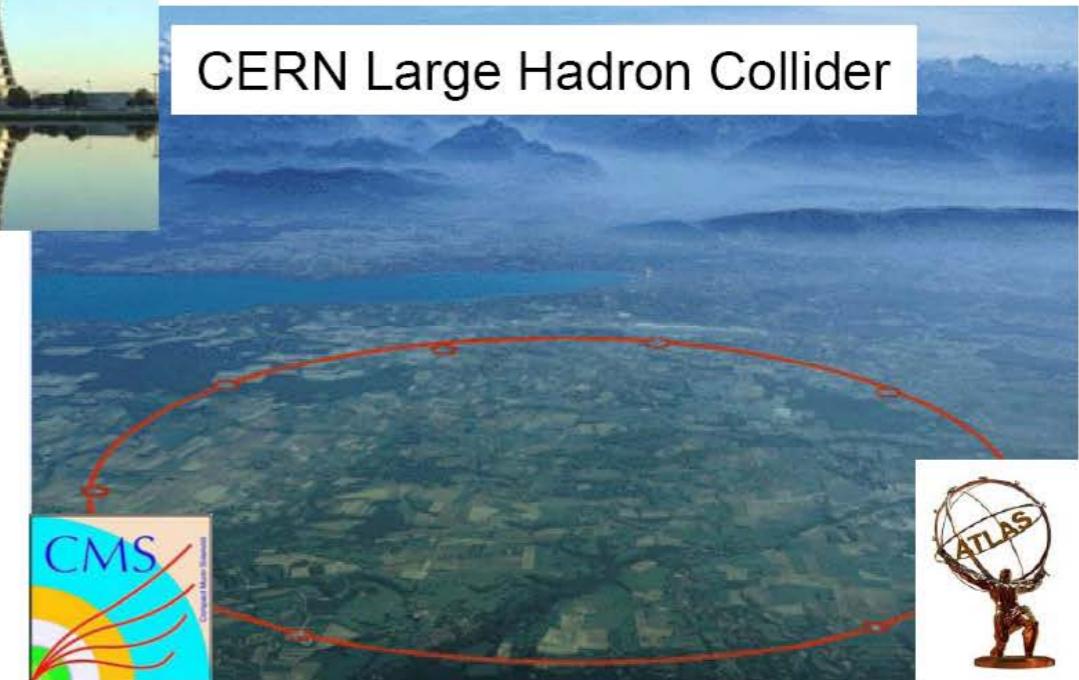
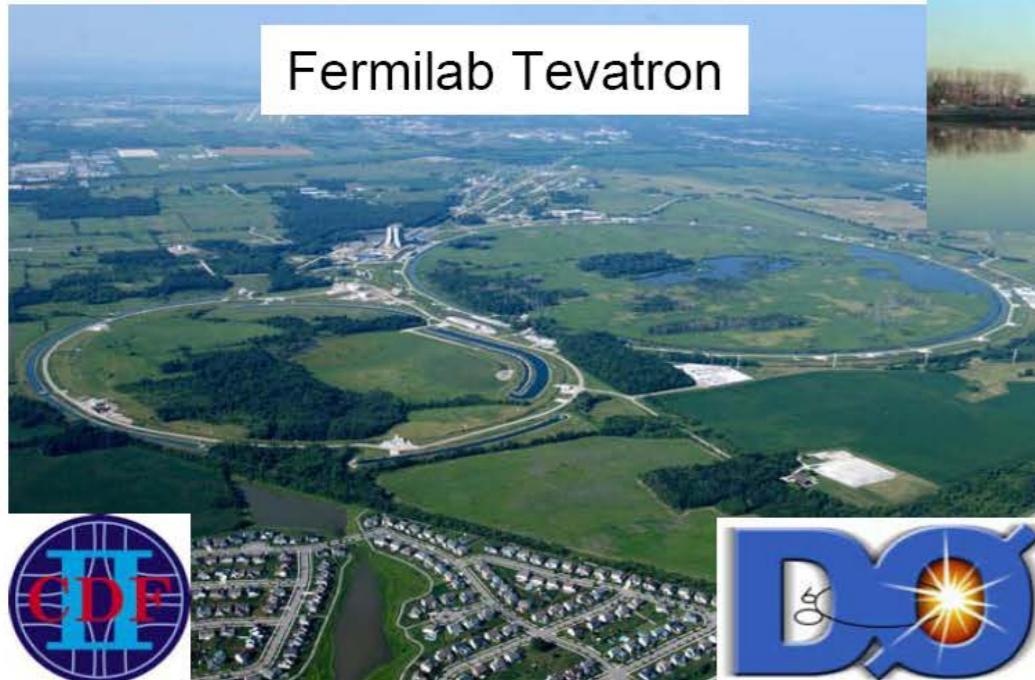
Oscillations Measurements

B-factories: electron positron colliders with asymmetric beam energy

- tuned to $\Upsilon(4S)$ resonance: $B\bar{B}$ pairs \sim at rest in e^+e^- system
- $B\bar{B}$ system moving relative to laboratory frame
 \rightarrow better measurement of decay length
- $B\bar{B}$ system is an entangled quantum system
 \rightarrow first decay as B or \bar{B} determines second decay
- Measure flavor as function in difference of decay length



Where to find top quarks



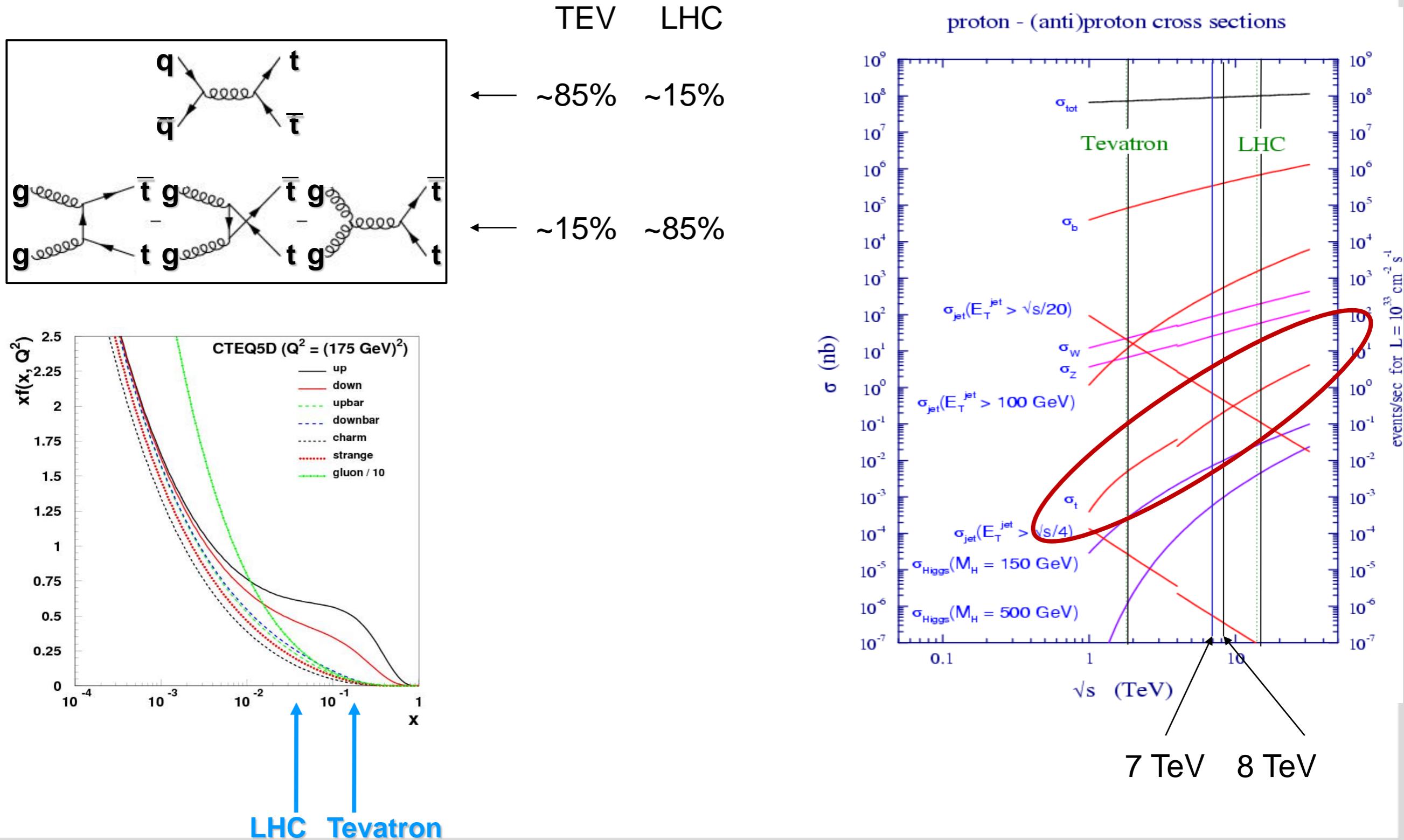
Tevatron:

- Run 1: $\sqrt{s} = 1.8 \text{ TeV}$ (1992-1996)
 65 pb^{-1} : top quark discovered
(~20 events per experiment)
- Run 2: $\sqrt{s}=1.96 \text{ TeV}$ (2001-2011)
 12 fb^{-1} first precision top physics

LHC:

- $\sqrt{s} = 7 \text{ TeV}$ (2010-2011)
 5 fb^{-1} : 1M top pairs produced ~60k reco
re-establish top quark
- $\sqrt{s}=8 \text{ TeV}$ (2012)
 20 fb^{-1} precision top physics
statistical uncertainties become irrelevant
- $\sqrt{s}=13 \text{ TeV}$ (2015-...)
 $>20 \text{ fb}^{-1}$ more precision studies
very rare processes

Producing top quarks



History: Top discovery

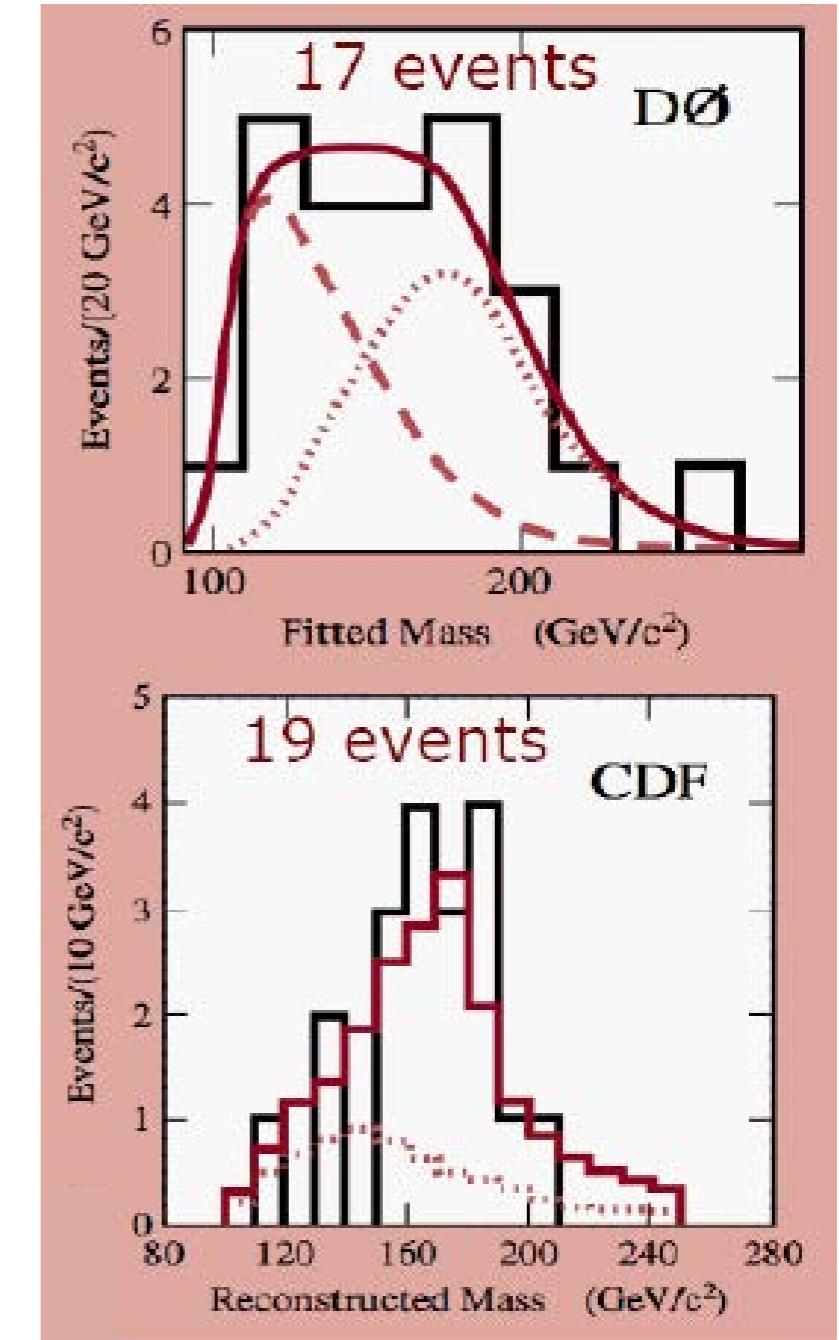
- 24.02.1995:
Two simultaneous publications
by CDF and DØ

- DØ: 50 pb⁻¹
signifikance 4,6σ
 $m_t = 199 \pm 30$ GeV

$$\sigma_{t\bar{t}} = 6.4 \pm 2.2 \text{ pb}$$

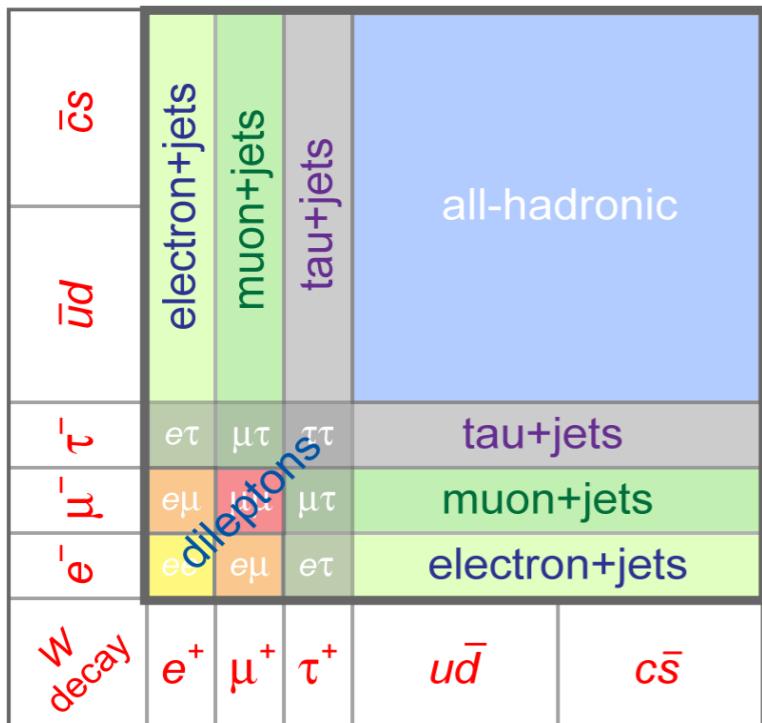
- CDF: 67 pb⁻¹
signifikance 4,8σ
 $m_t = 176 \pm 13$ GeV

$$\sigma_{t\bar{t}} = 6.8^{+3.6}_{-2.4} \text{ pb}$$

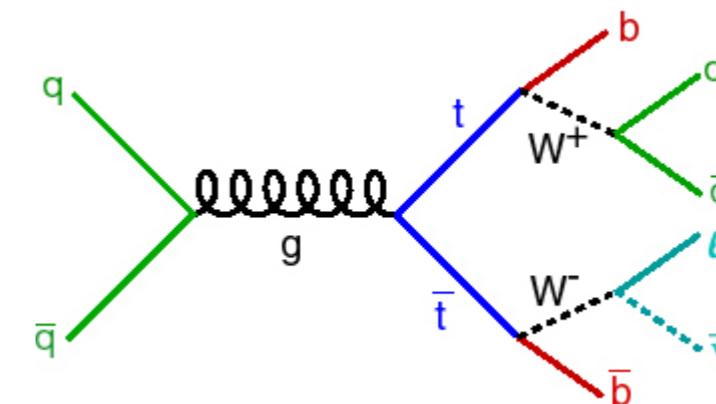
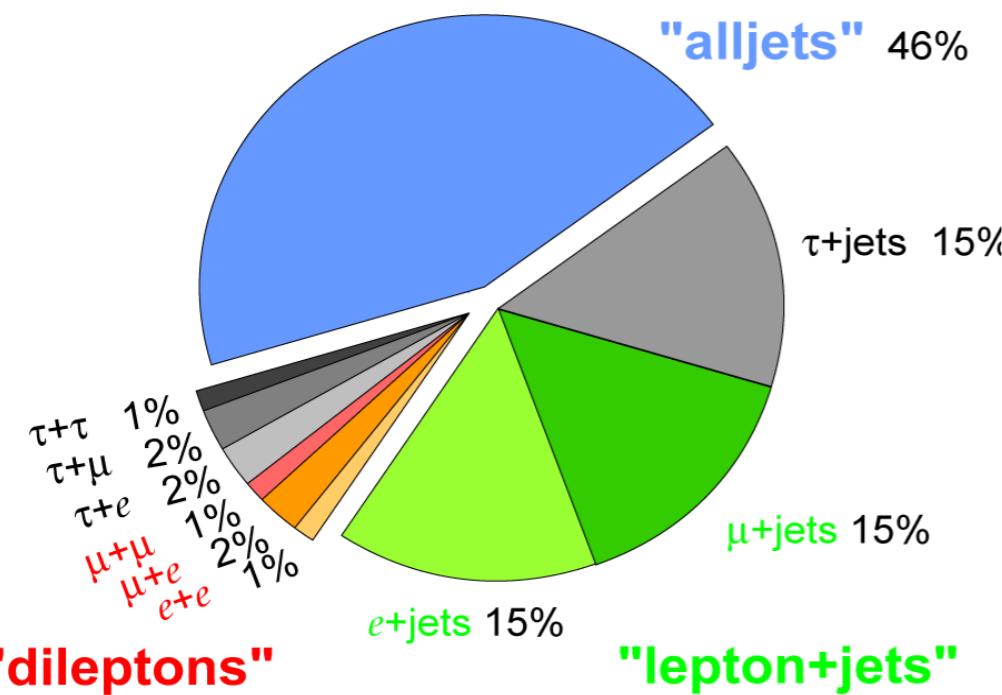


Top quark decays

Top Pair Decay Channels



Top Pair Branching Fractions



$t \rightarrow Wb \sim 100\%$

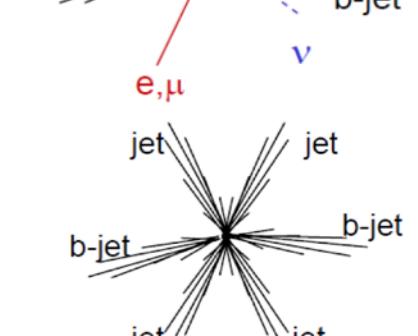
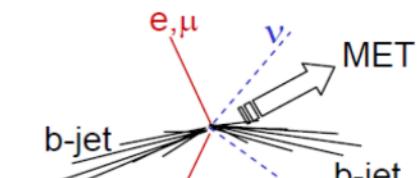
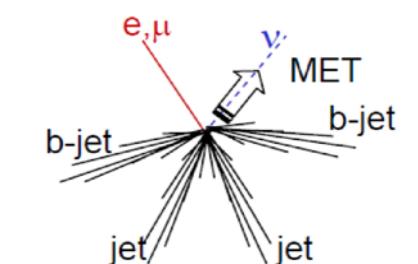
classify by W decay

- “Lepton [e, μ] + jets” (34%)
 $tt \rightarrow b\ell\nu b\ell\nu$

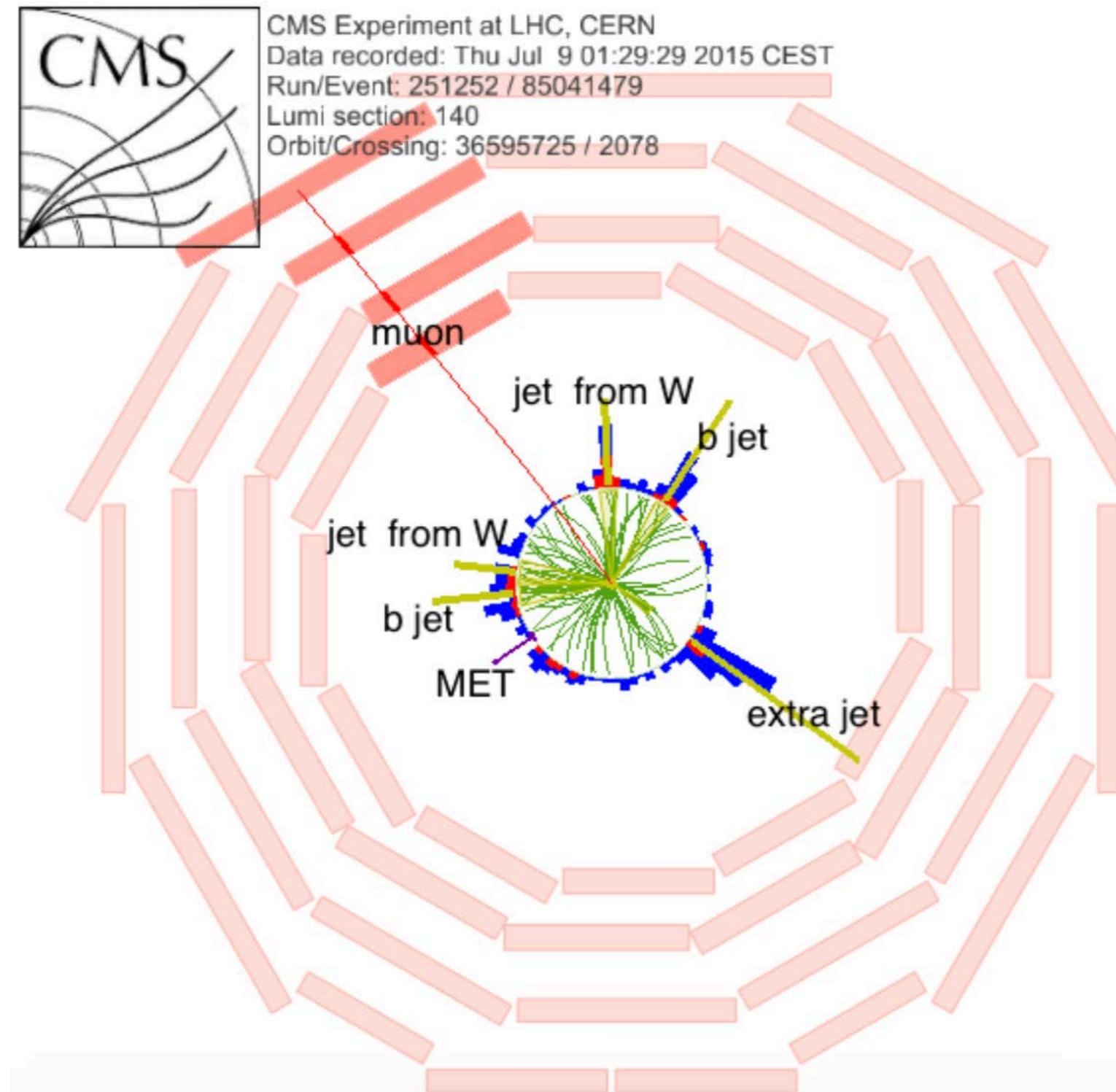
- “Dilepton [e, μ]” (6%)
 $tt \rightarrow b\ell\nu b\ell\nu$

- “All jets” (46%)
 $tt \rightarrow bqq'bqq'$

- “Tau + jets” (15%)
 $tt \rightarrow b\tau\nu bqq'$



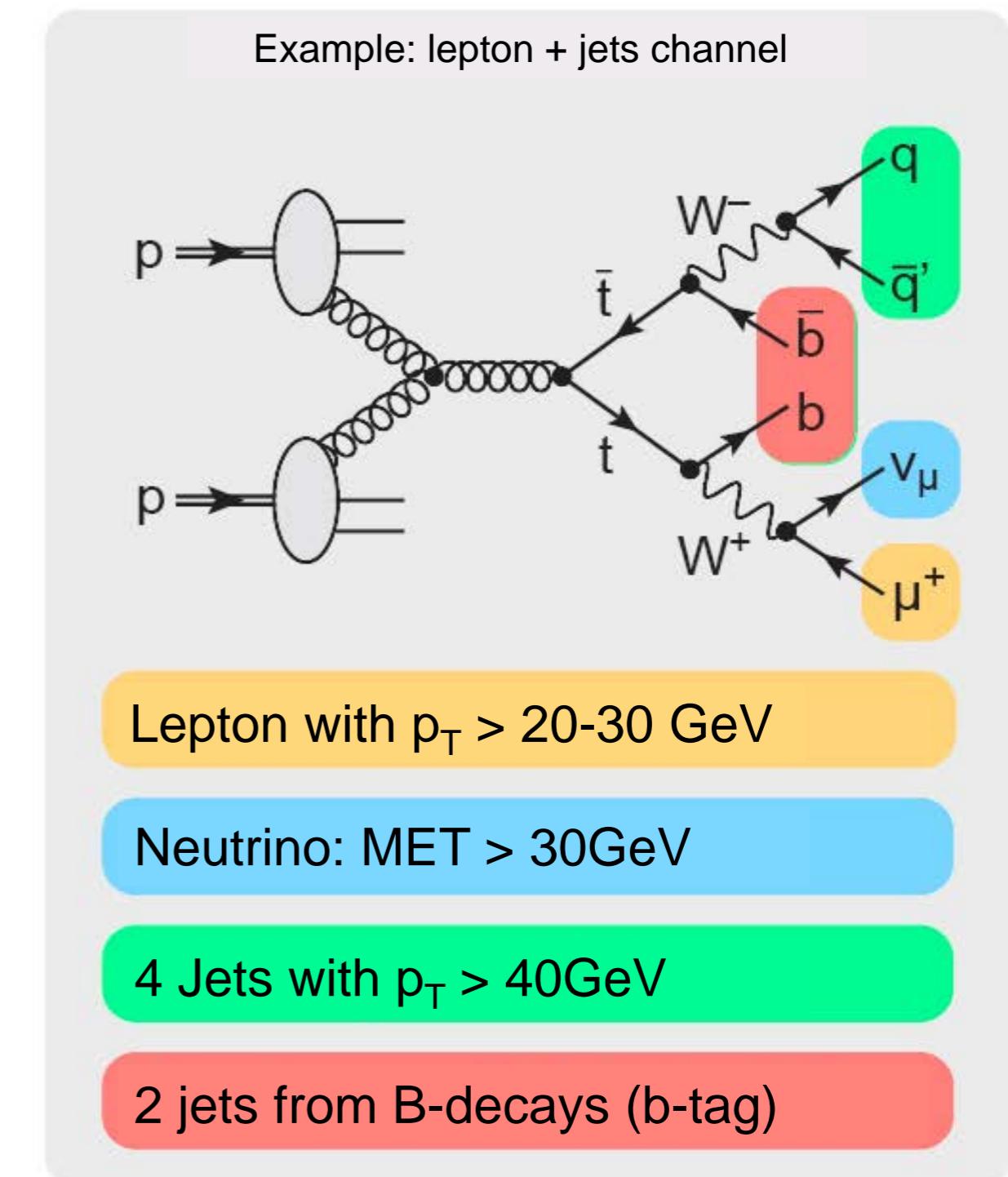
Detector View



Selecting Top events

- Event selection:
 - enrich signal over backgrounds
 - simplest method: „cuts“

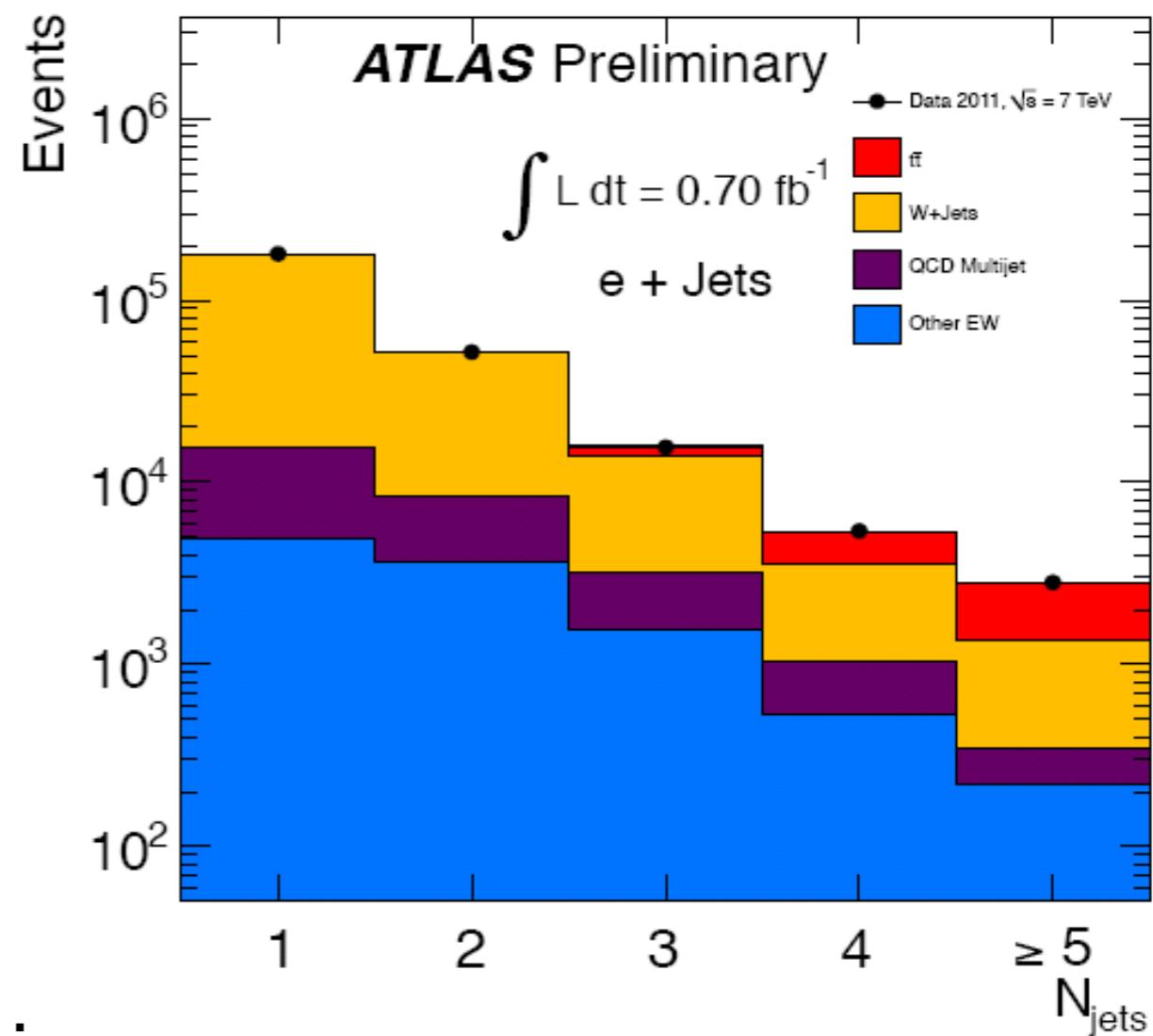
- Optimize selection :
 - Signal to background N^{sig}/N^{bkg}
 - signal significance $N^{sig}/\sqrt{N^{sig} + N^{bkg}}$
 - optimized on simulation to avoid bias



Backgrounds

- Which backgrounds are distinguishable from signal
→ **reducible backgrounds**
- **Instrumental background**
 - detector noise
 - misidentifications („fakes“)
e.g. jet fakes an electron
- Important backgrounds for top
 - lepton + jets: W-boson production in association with jets (W+ jets)
 - Di-lepton: Z+ jets
 - also: multijets, single-top, ...

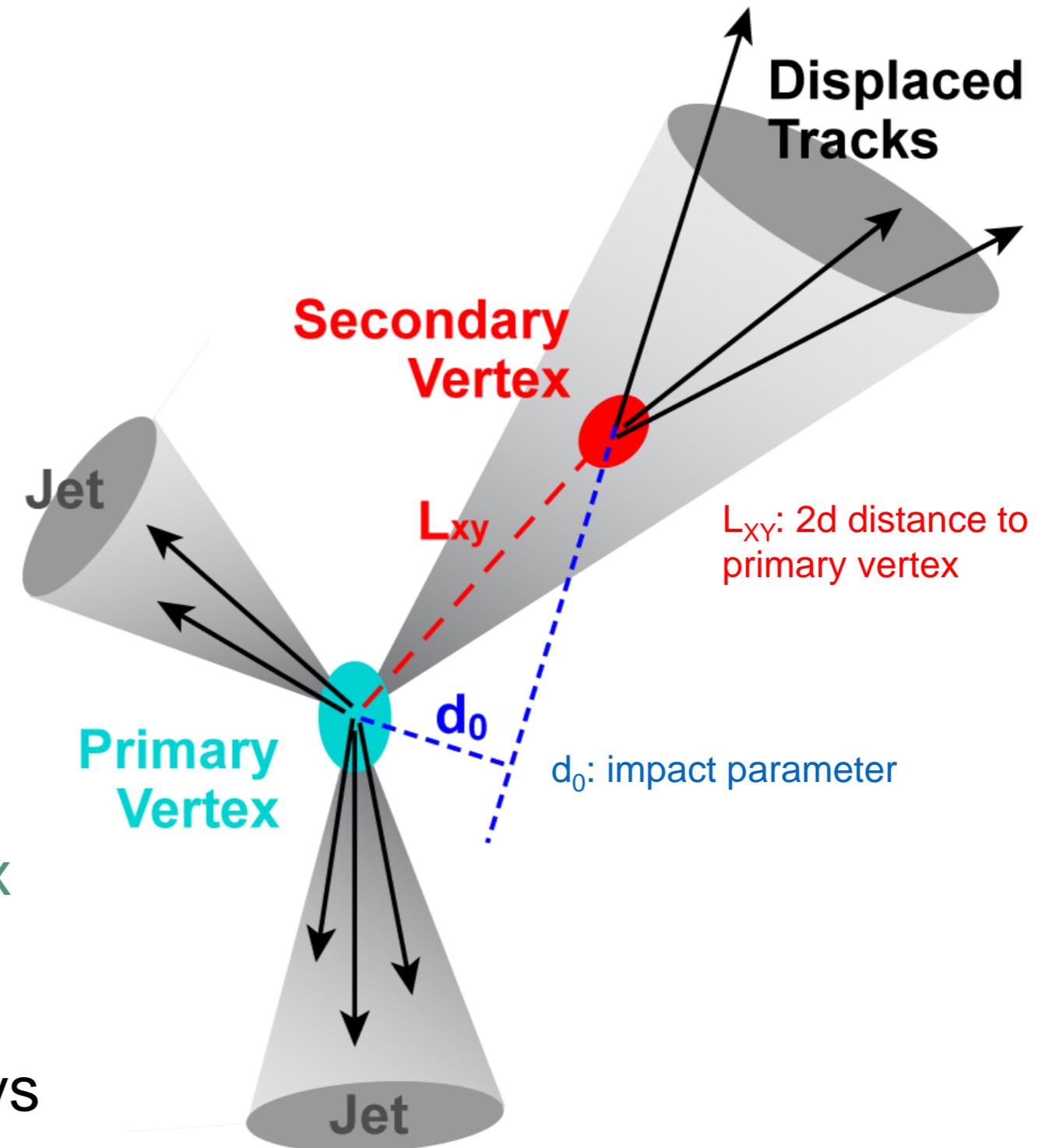
Jet multiplicity in e+jets events



[ATLAS-CONF-2011-121]

B-tagging

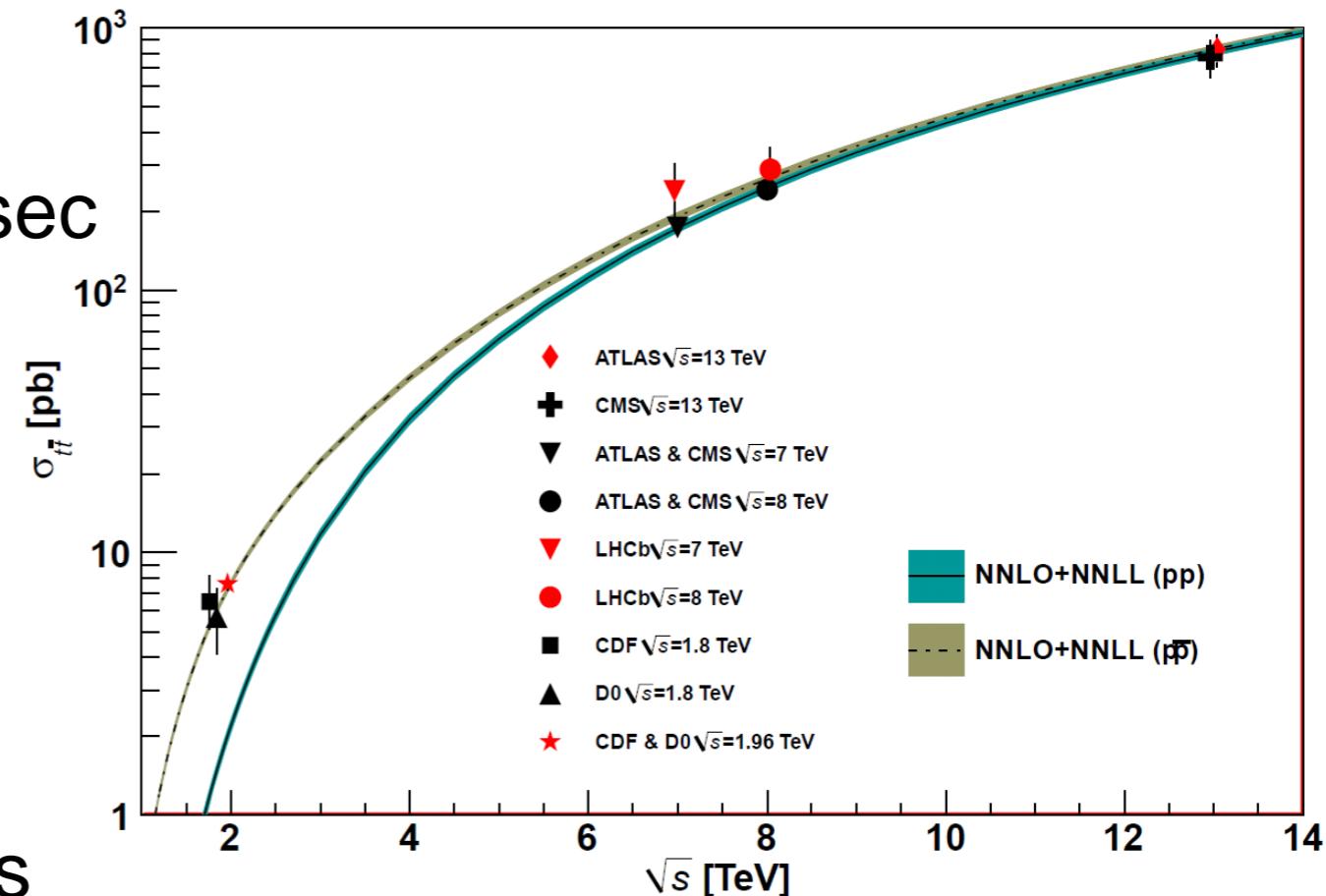
- Many interesting processes with b-quarks
 - ⇒ $H \rightarrow bb$, $t\bar{t} \rightarrow WbWb$
 - ⇒ identify jets with B-hadrons
- B-tag I (hadrons)
B-mesons are massive and long lived ($c\tau \sim 0.5\text{mm}$)
 - ⇒ B-mesons are massive large impact parameter tracks
 - ⇒ displaced massive vertex
- B-tag II (leptons)
look for semi-leptonic B decays
 - ⇒ soft leptons



Top Cross Section

- Theory for top-pairs (2015)
NNLO + NNLL
⇒ few % uncertainty

- Compare Tevatron ↔ LHC
 - ⇒ LHC: 20-100 x tevatron xsec
 - ⇒ Tevatron: large difference between pp and p-anti-p tops produced from valence-quarks
 - ⇒ LHC: small difference between pp and p-anti-p tops produced from gluons and sea-quarks
→ skip complicated antiproton generation



Top Quark Mass

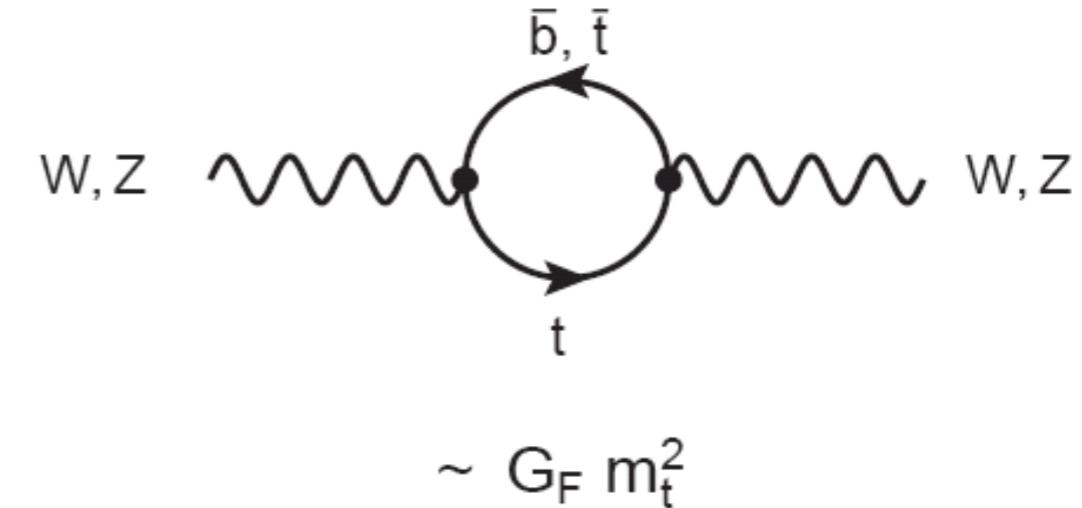
- Reminder: M_W , m_t , M_H connected via loop diagrams

- How to define the top mass?

→ usual defintion: pole-mass
= mass term in the propagator

$$\frac{1}{p^2 - m_t^2 - i\Gamma_t m_t}$$

- Problem: non-perturbative effects for color charged particles of $\mathcal{O}(\Lambda_{\text{QCD}})$
- Experimentally: use mass-parameter of Monte-Carlo-Simulation \Rightarrow roughly equal to pole mass (within unc.)
- Theoretically cleaner: scale-dependent „running mass“
 \Rightarrow well defined within a given calculation scheme (e.g MS-bar)



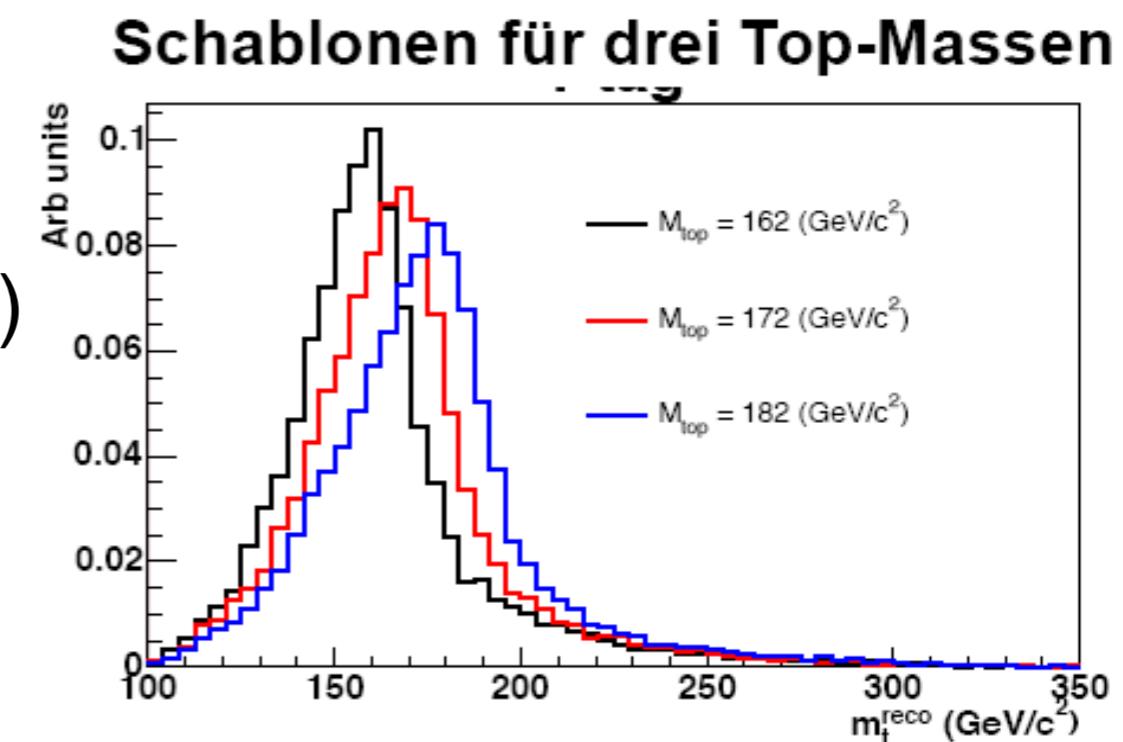
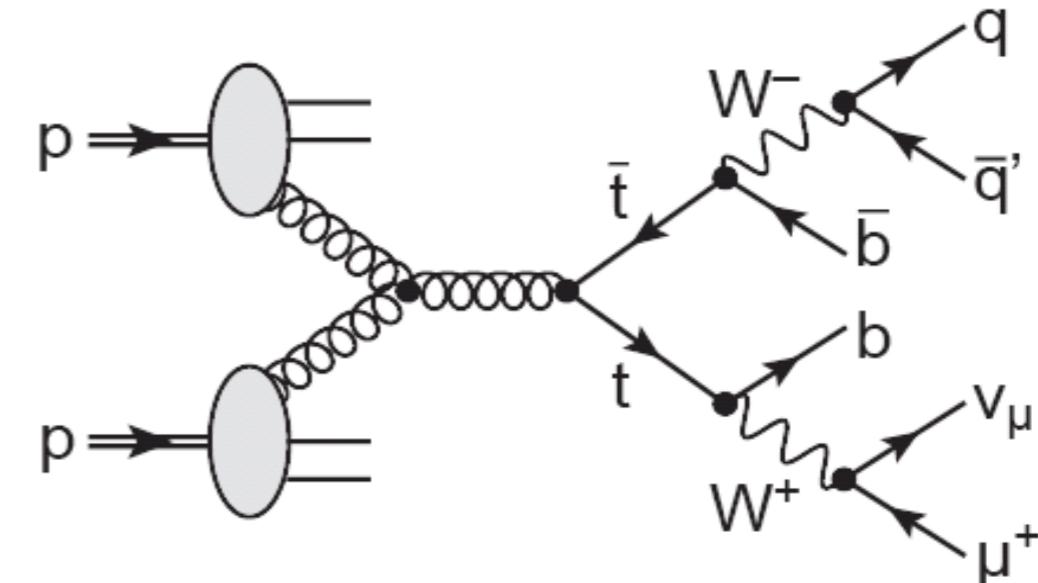
$$m_t^{\overline{\text{MS}}}(m_t) = \frac{m_t}{1 + 4\alpha_s(m_t)/3}$$

Measuring the Top Mass

- Direct measurement of top mass
use event **kinematics**

- Lepton + Jets: kinematics overconstrained
 - one unknown: neutrino p_z
 - possible **constraints**:
 W -mass, $m_t = m_{\text{anti-}t}$

- Combinatorics: associate jets to partons (4 jets \Rightarrow 24 combinations)
 - find „best“ combination
- Measurement method at Tevatron and LHC
 - template fit (like W -mass)
 - matrix-element methods

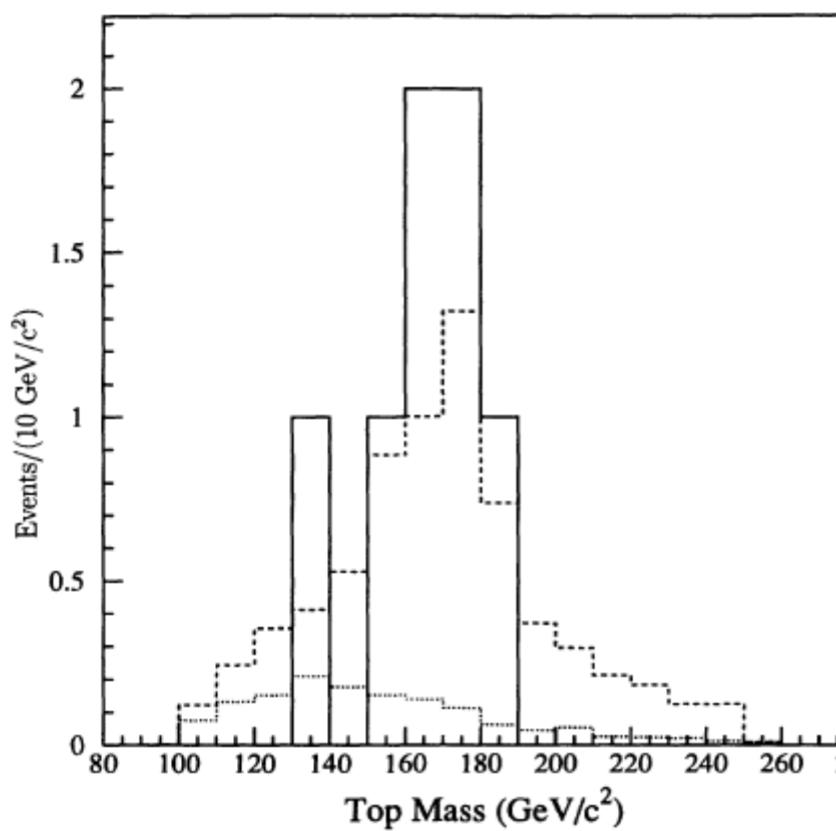


[[http://www-cdf.fnal.gov/physics/new/
top/2010/mass/TMT_p28_public/](http://www-cdf.fnal.gov/physics/new/top/2010/mass/TMT_p28_public/)]

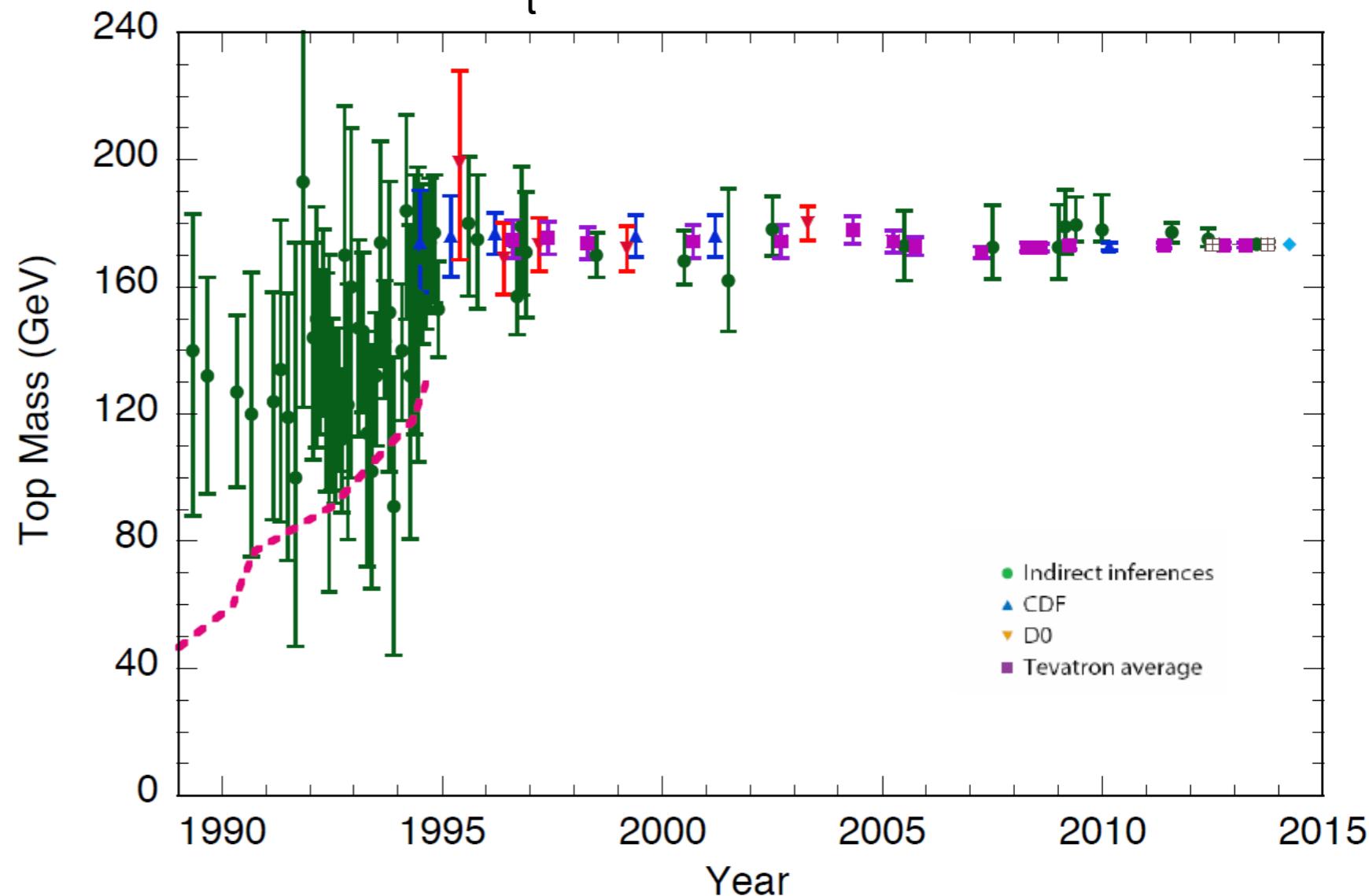
Top Quark Mass

first measurement
(CDF, 1994, 7 events)

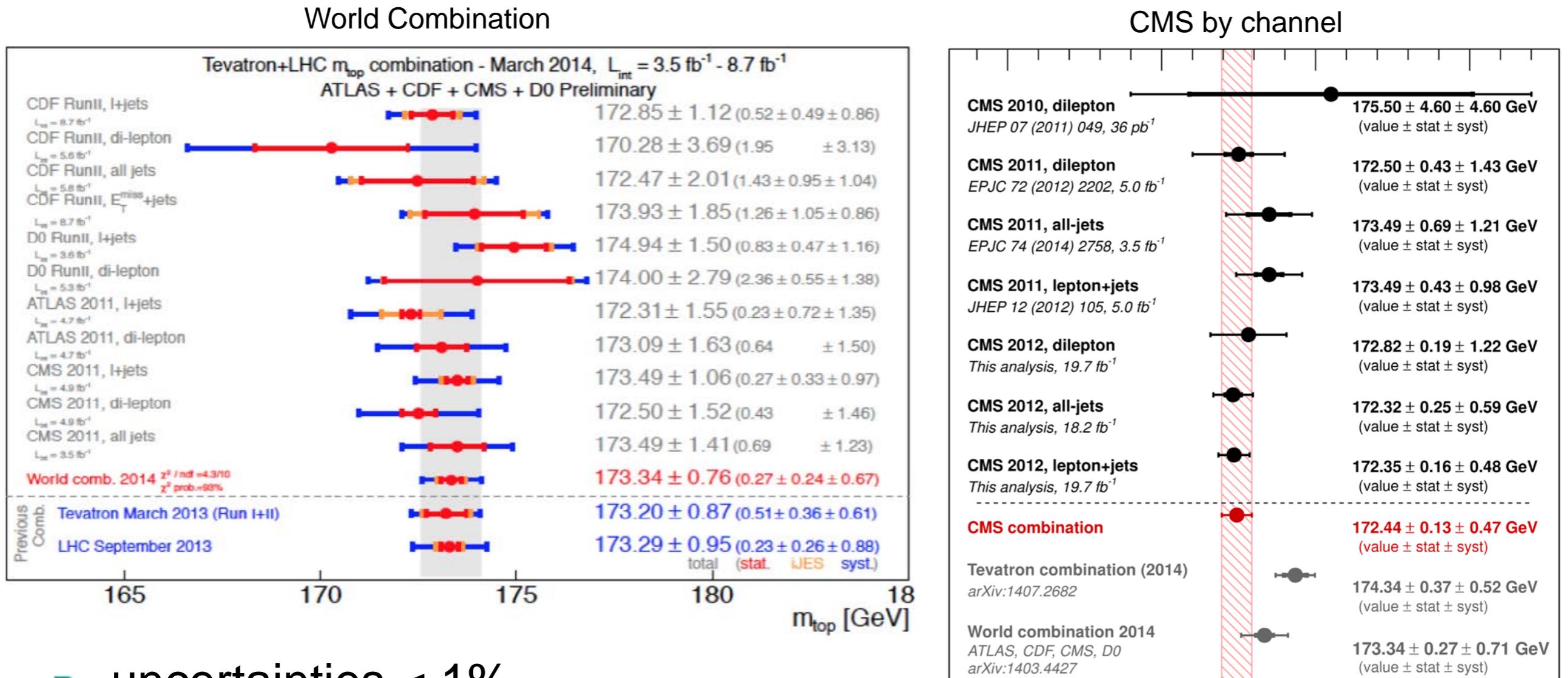
$$M_t = 170 \pm 10^{+13}_{-12} \text{ GeV}$$



now
(world average 2014)
 $M_t = 173.34 \pm 0.76 \text{ GeV}$



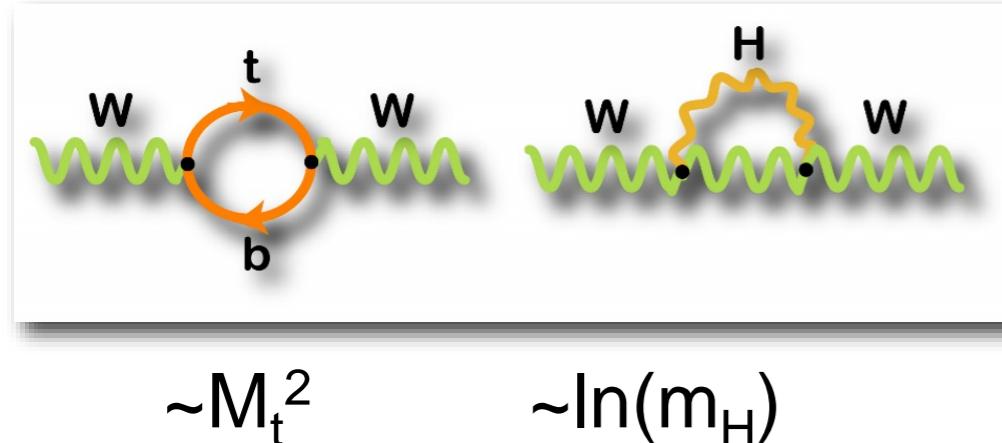
Measuring the Top Mass



- uncertainties < 1%
- newer LHC measurements limited by systematic uncertainties
- Visible tension between tevatron and LHC

Measuring the Top Mass

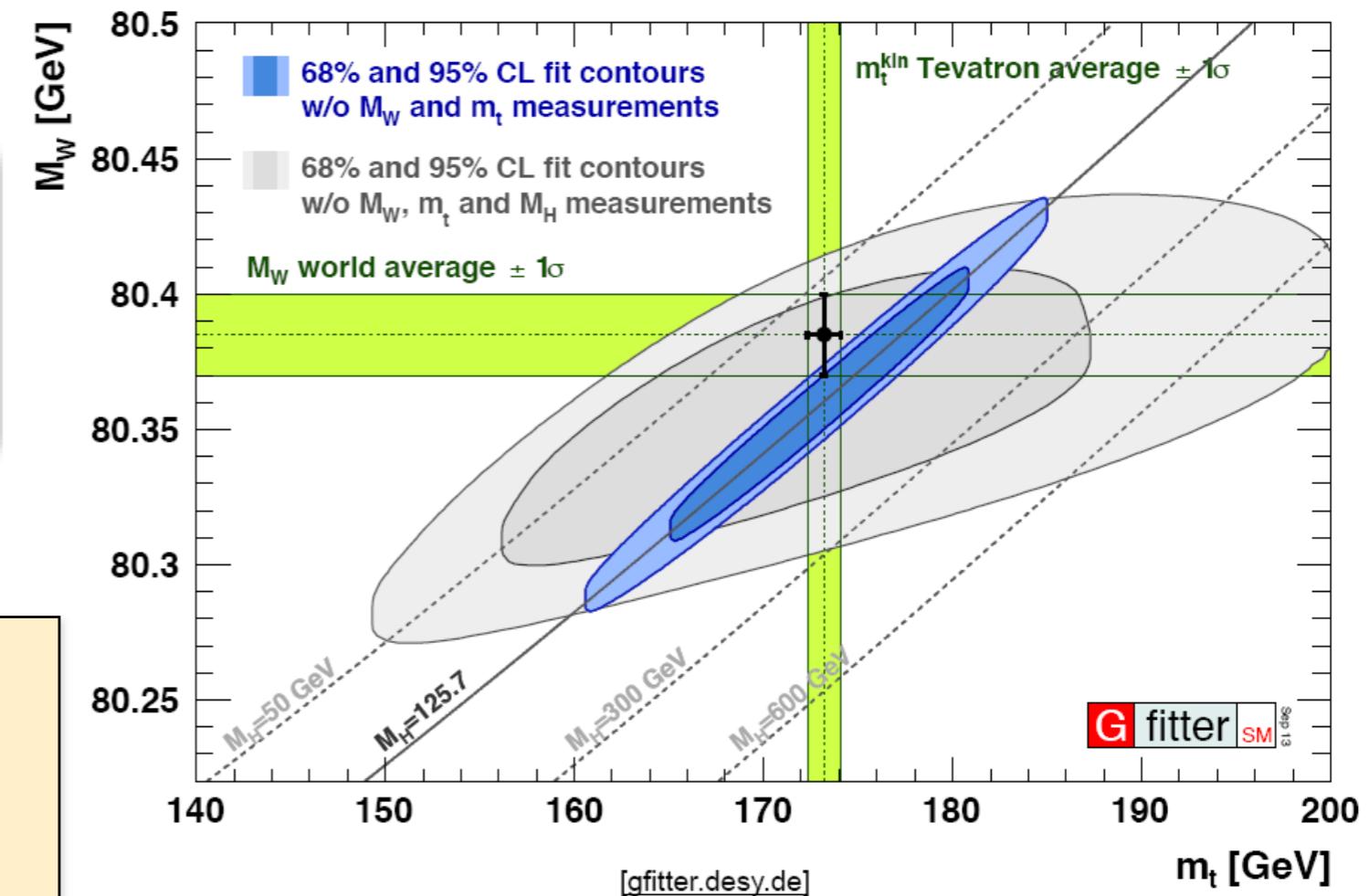
M_W , M_t , M_H intermixed at loop level



expect from EWK data :

$$M_H = 90^{+36}_{-27} \text{ GeV}$$

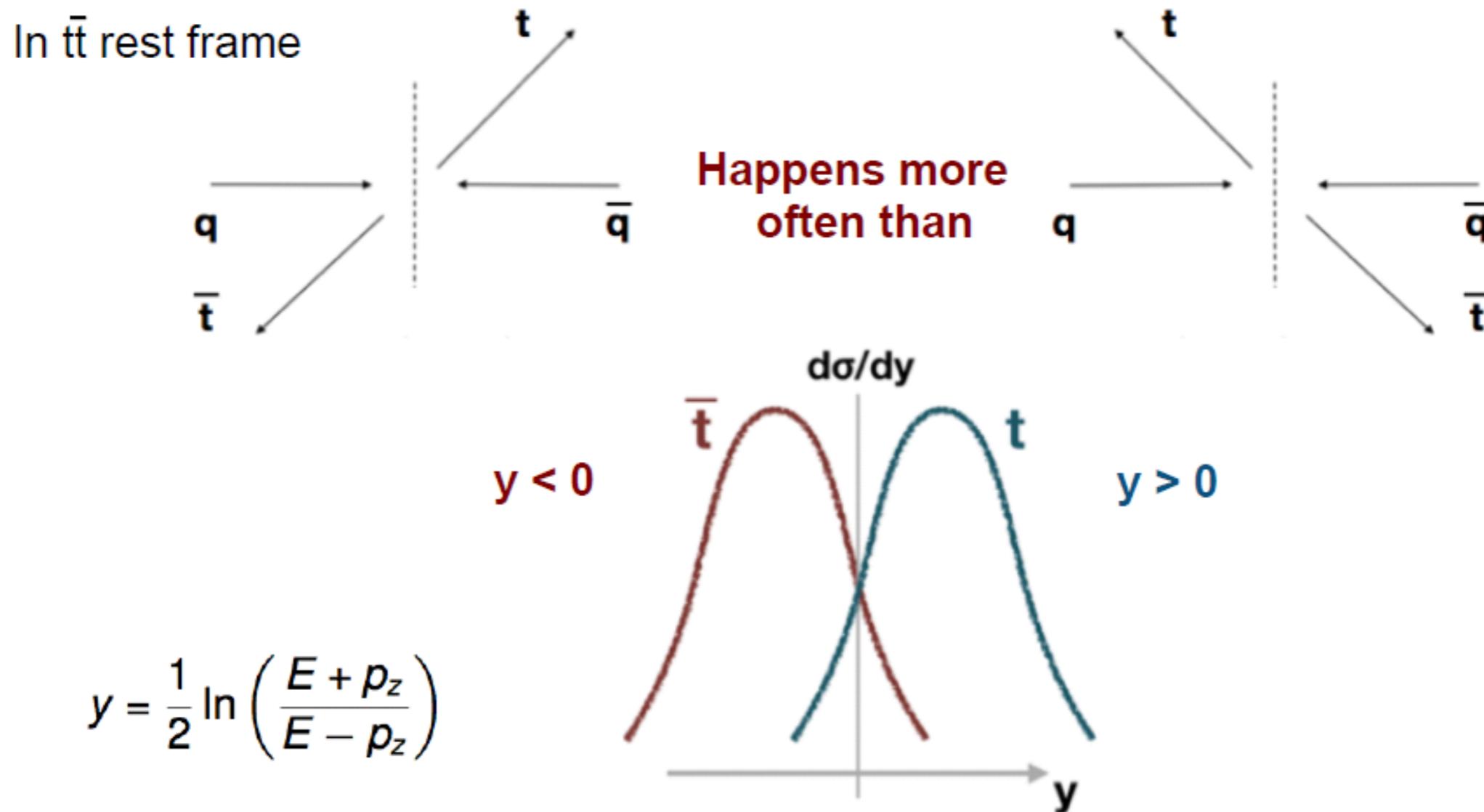
$M_H < 152 \text{ GeV} @ 95\% \text{ CL}$



- Measured M_W , M_H , M_t consistent with SM
- constrain exotic models (i.e. SUSY) instead

Top Pair Asymmetry

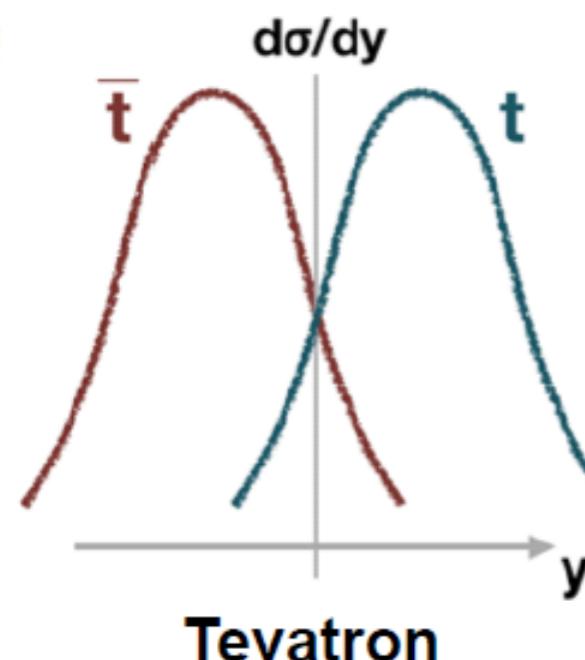
- Similar idea as A_{FB} at LEP
- Gluon has pure vector coupling \Rightarrow naively no asymmetry expected (small interference in SM caused by interference effects)
- Some asymmetry seen at Tevatron
 \Rightarrow new axial-vector particle interfering with SM graphs?



Top Pair Asymmetry

- Tevatron: $p\bar{p}$ is CP eigenstate $\rightarrow pp$ (LHC) is not
 \rightarrow different way to measure the effect at Tevatron and LHC
- LHC: Quarks valence quarks, antiquark always from the sea
 \rightarrow antitop less boosted and more central than top in case of asymmetry
- LHC: Measure charge asymmetry

$$A_{FB}^{t\bar{t}} = \frac{N(\Delta y > 0) - N(\Delta y < 0)}{N(\Delta y > 0) + N(\Delta y < 0)}$$



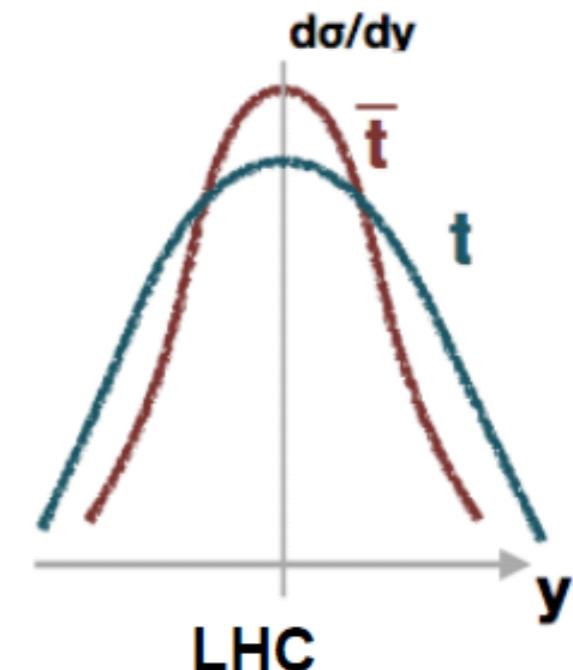
Tevatron

$$\Delta y = y_t - y_{\bar{t}}$$

$$A_C = \frac{N(\Delta|y| > 0) - N(\Delta|y| < 0)}{N(\Delta|y| > 0) + N(\Delta|y| < 0)}$$

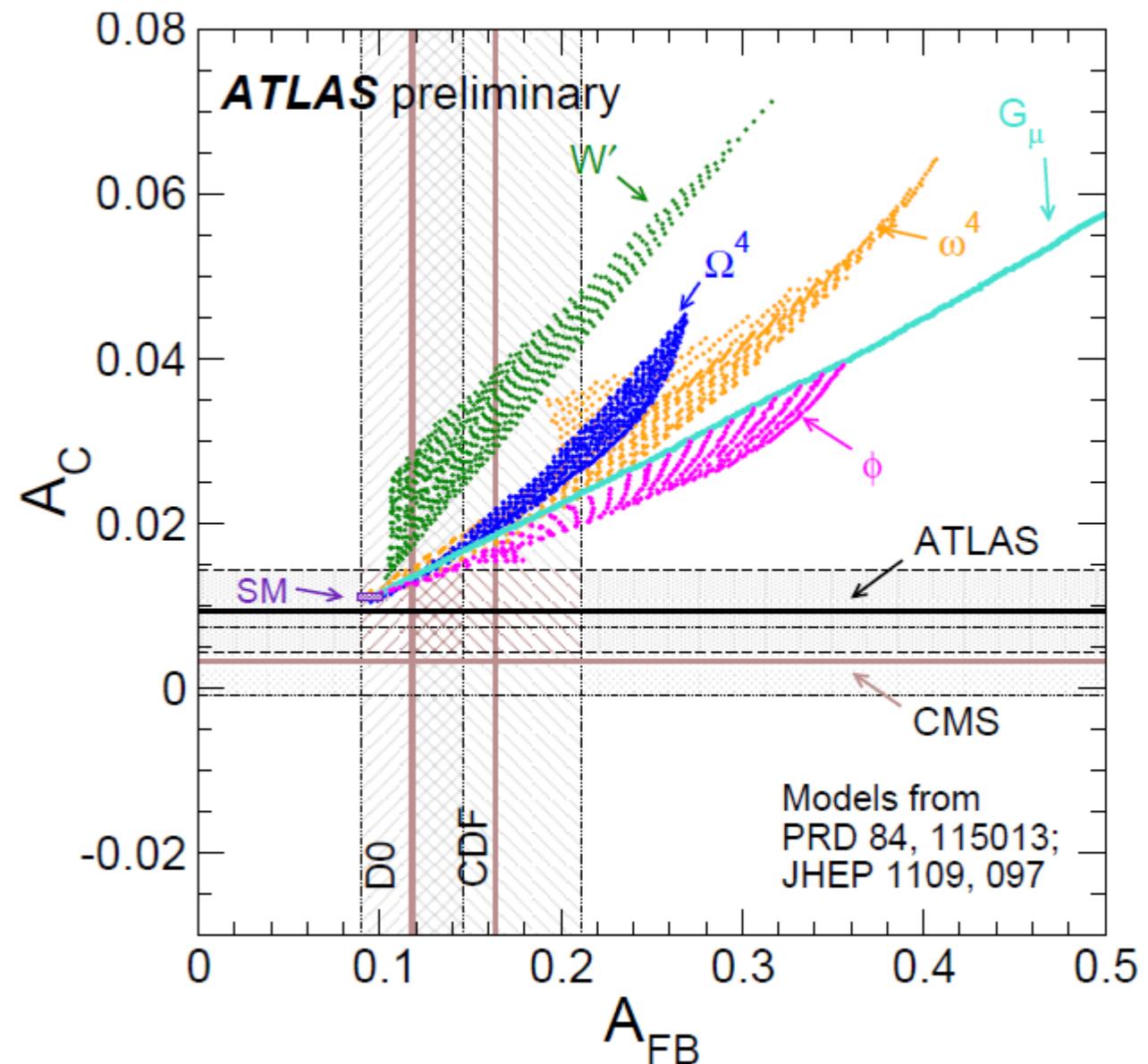
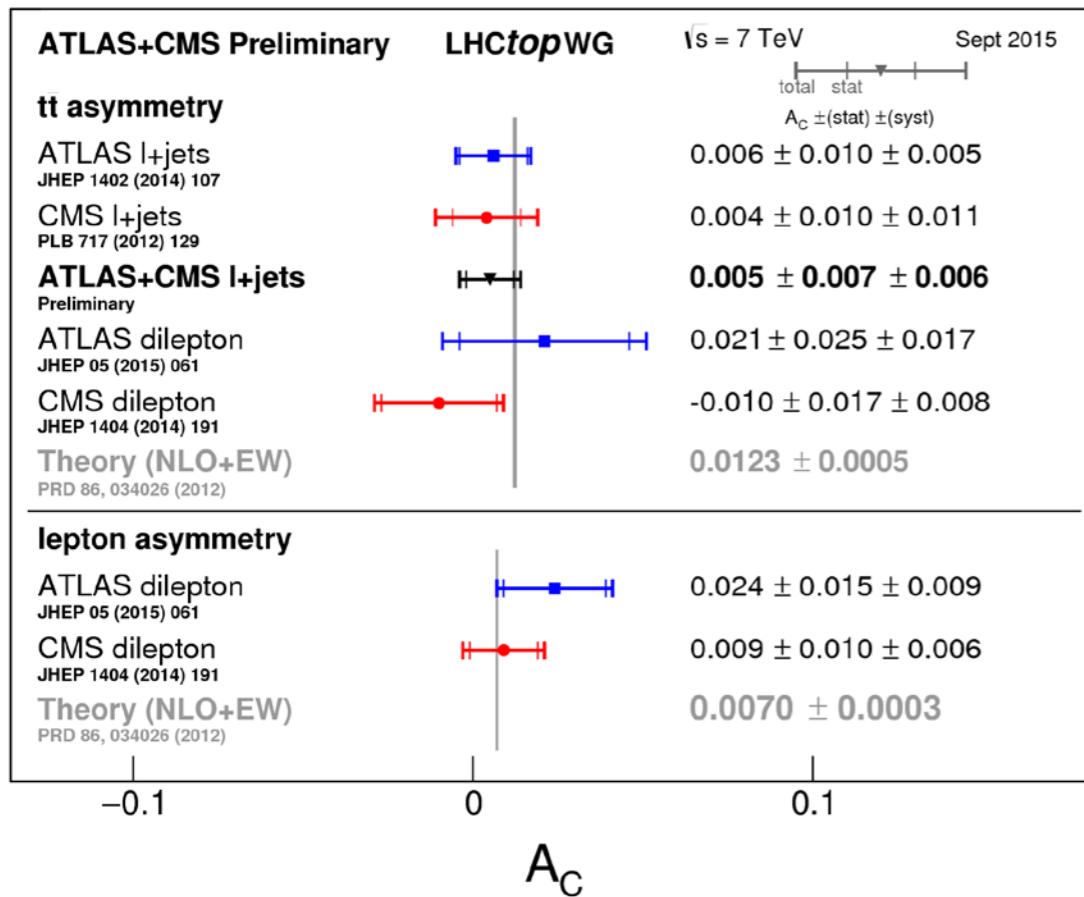
LHC

$$\Delta|y| = |y_t| - |\bar{y}_{\bar{t}}|$$



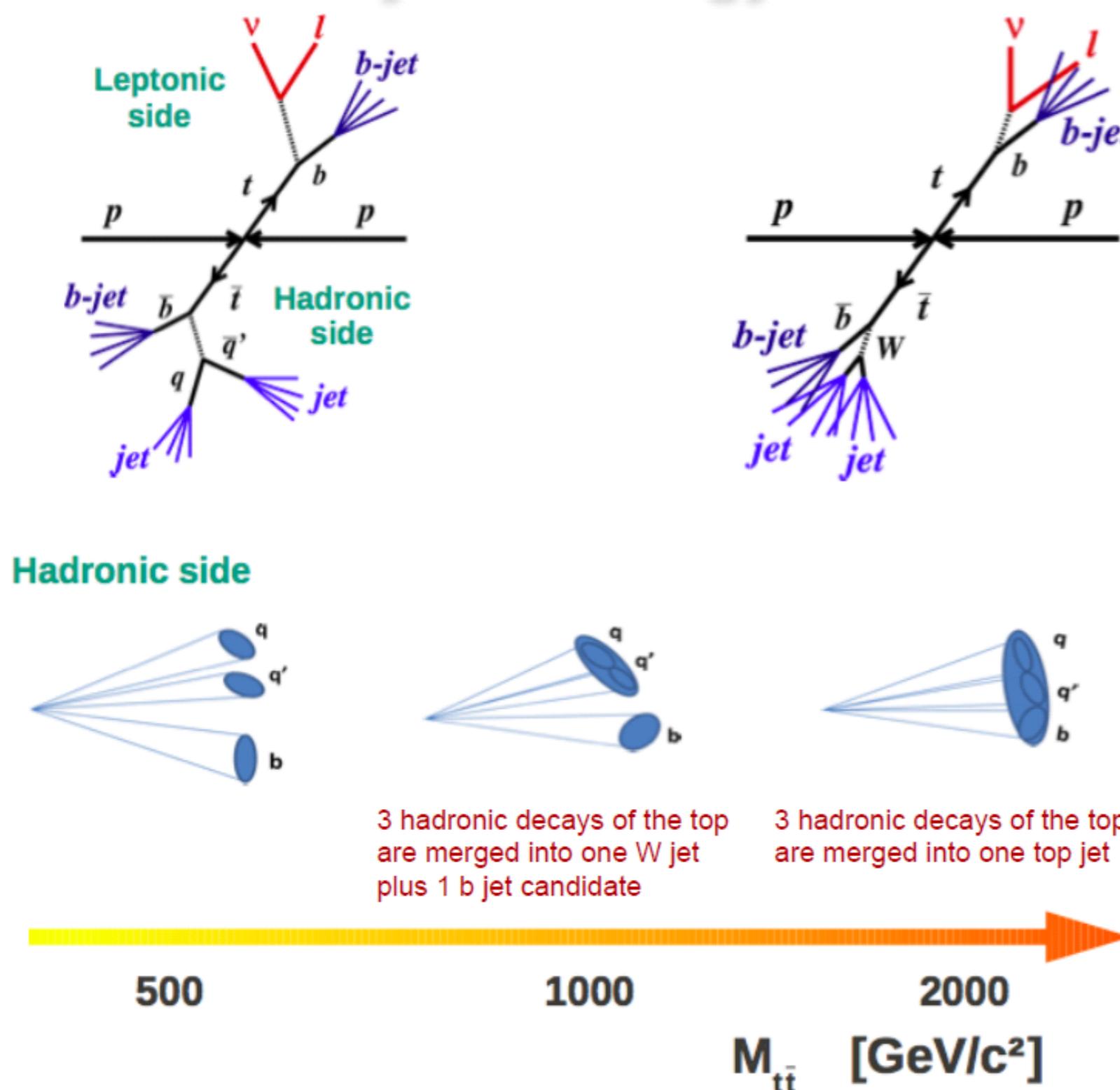
LHC

Top Pair Asymmetry



- LHC results compatible with SM
- improved theory calculations \Rightarrow getting closer to Tevatron

Top Quark Resonances



The larger the invariant top pair mass $M_{t\bar{t}}$, the more boosted the top quarks and the smaller the angles between the decay products

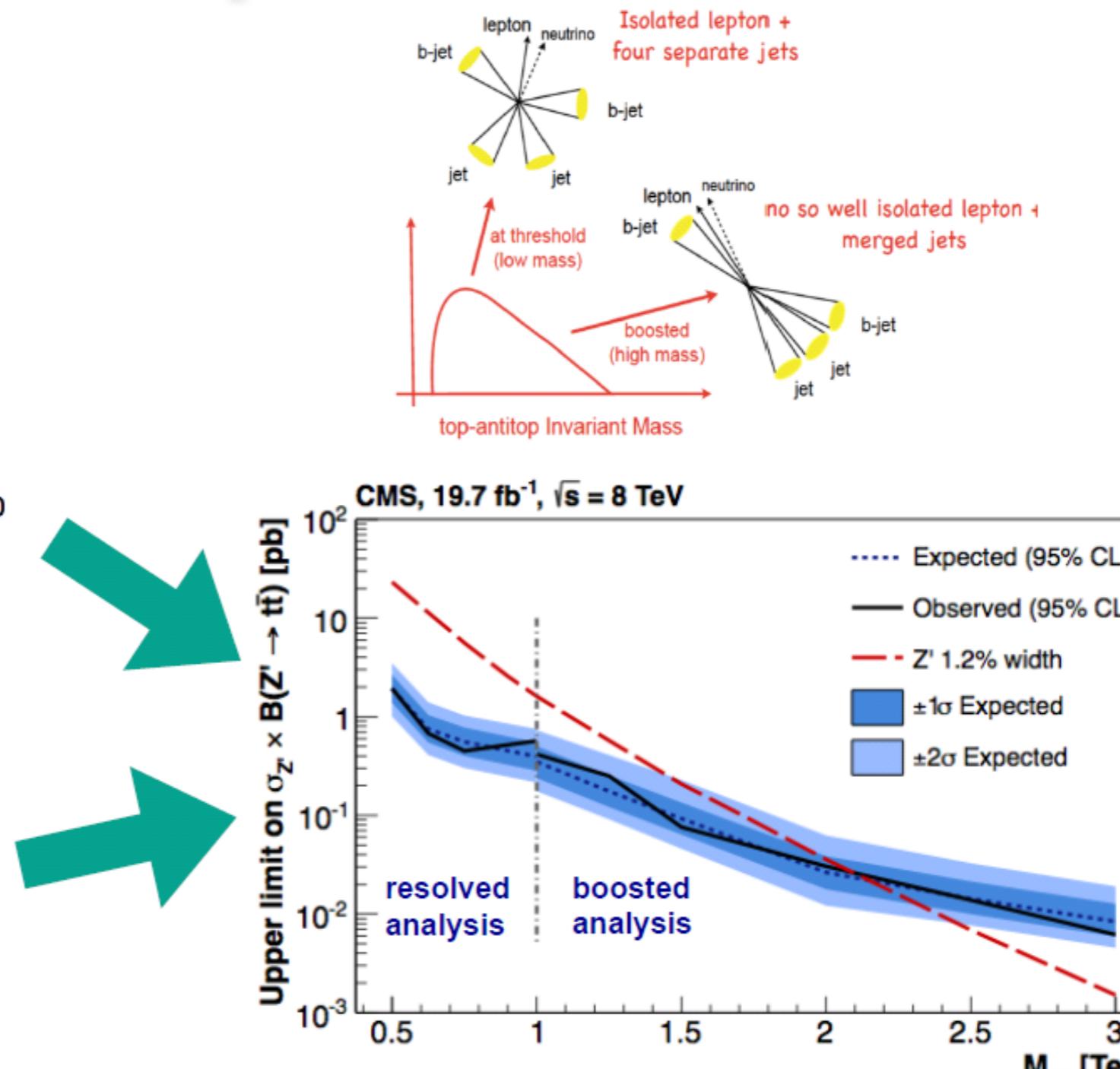
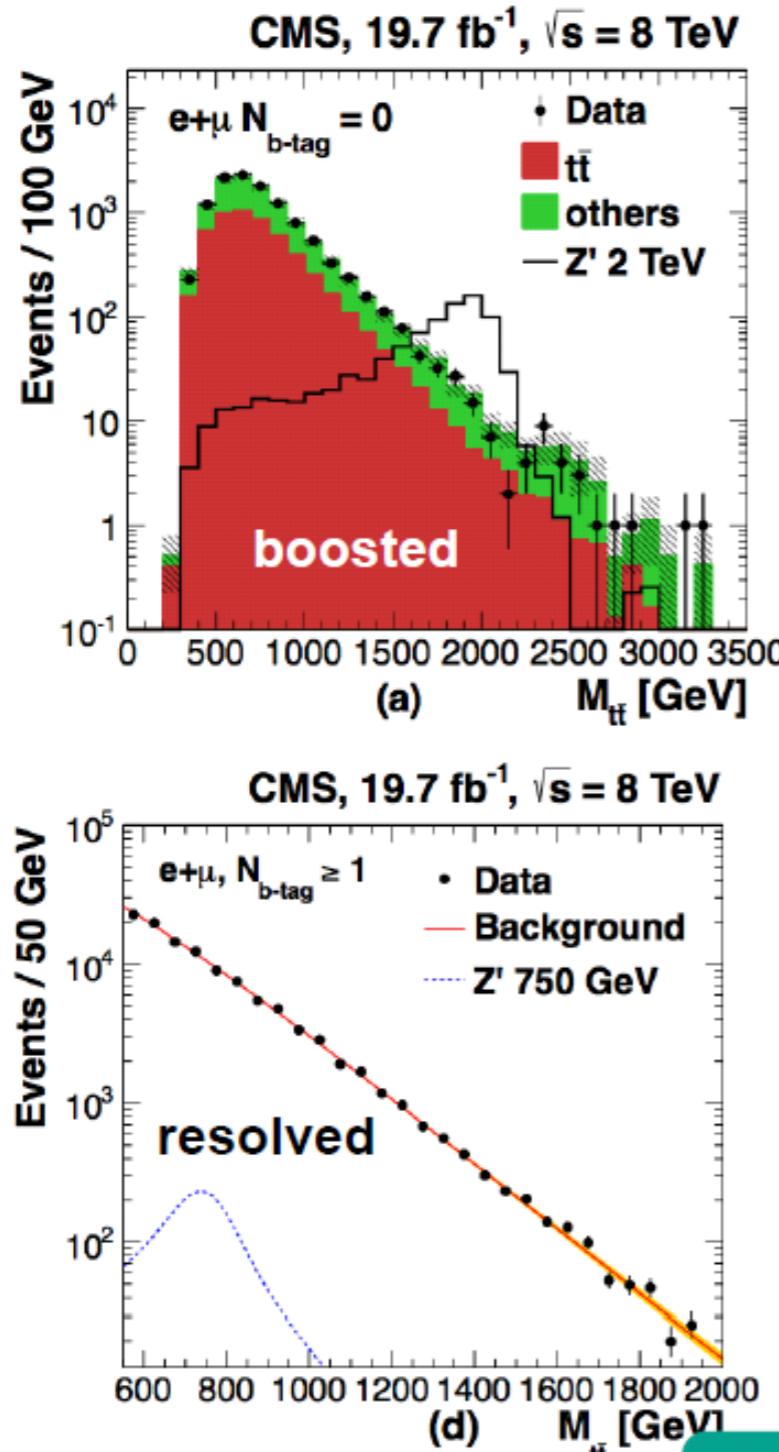
Leptonic side:

Lepton close to b -jet
or in b -jet
(lepton not isolated)

Hadronic side:

Jets overlap → reconstruction of 1 or 2 jets instead of 3
(jet with substructure)

Top Quark Resonances



Heavy resonances excluded up to 2.1 TeV (Z') and 2.5 TeV (KK gluon)

4th generation searches

- Historically: look for 4th generation decay to tW?
- Out of fashion since 2012
Higgs cross section too low
for additional heavy quarks in
loop induced processes
- Immediate switch to
„vector-like-quarks“
 - mass not generated by Higgs mechanism
 - not constrained by Higgs cross section
 - Can occur with exotic charges (e.g. 5/3)

